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## REVIEW ARTICLE

# Drug-resistant Stem Cell Models for the Hormone-responsive Luminal A Breast Cancer

Nitin Telang

Director, Cancer Research, Pre-clinical Oncology  
Palindrome Liaisons Consultants, Montvale, New Jersey, USA

[entitytoo@gmail.com](mailto:entitytoo@gmail.com)

## Abstract

**Background:** Progression of early stage breast cancer to advanced stage metastatic disease represents a major cause of death in women. The Luminal A breast cancer subtype exhibits acceptable response to Chemo-endocrine and targeted therapy. However, these treatment options are associated with intrinsic/acquired therapy resistance and emergence chemo-resistant cancer initiating stem cell population, and resultant progression to advanced stage metastatic disease. These limitations emphasize an unmet need for the development of reliable models for cancer stem cells that facilitate identification of efficacious therapeutic alternatives. Documented human consumption, low systemic toxicity, preclinical cancer growth inhibitory efficacy and stem cell targeting efficacy of natural products, such as dietary phytochemicals and nutritional herbs, provide mechanistic leads for these agents as testable therapeutic alternatives.

**Objectives:** The objectives of the present review are to i.) Provide a systematic discussion of published evidence relevant conceptual background of conventional/targeted therapy and nutritional herbs as testable alternatives, ii.) Growth inhibitory efficacy of nutritional herbs in a cellular model for the Luminal A breast cancer, iii.) Breast cancer stem cell biology and stem cell models for therapy-resistant breast cancer, and iv.) Future research directions.

**Conclusions:** Collectively, all the elements discussed in the present review validate mechanism-based experimental approaches to identify and prioritize potential therapeutic alternatives.

**Future Research:** This review provides a rationale for investigations on patient-derived tumor samples that may minimize extrapolation of the preclinical data for their clinical relevance and translatability.

**Introduction:**

Progression of metastatic breast cancer represents a major cause of death in women. The incidence of breast cancer, 287,850 newly diagnosed cases and 43,250 cancer related deaths have been projected for 2023<sup>1</sup>. Global gene expression profiling of breast cancer has classified the breast cancer as Luminal A, Luminal B, HER-2-enriched and triple-negative subtypes<sup>2</sup>. Expression status of hormone and growth factor receptors determines the treatment options. Conventional chemotherapy with anthracycline, carboplatin and paclitaxel represent the mainstream treatment options. Molecularly targeted pathway selective pharmacological inhibitors such as selective estrogen receptor modulators, selective estrogen receptor degraders, aromatase inhibitors and cyclin-dependent kinase inhibitors represent agents for mainstream targeted breast cancer therapy<sup>3</sup>. The Luminal A breast cancer subtype represents a predominant subtype with a positive response to therapy. However, these long-term treatment options are associated with systemic toxicity, intrinsic/acquired therapy resistance and emergence of chemo-resistant cancer initiating stem cell population and resultant metastatic disease progression.

The limitations of conventional/targeted therapy emphasize an unmet need to identify less toxic, efficacious therapeutic alternatives. Natural products such as dietary phytochemicals, nutritional herbs and naturally-occurring bioactive agents have documented human consumption, exhibit cancer growth inhibitory efficacy in preclinical

models<sup>4-9</sup>, and cancer stem cell targeted efficacy<sup>10-14</sup>. The advantages of natural products provide a strong rationale to evaluate these agents as testable alternatives for therapy-resistant breast cancer. In this context it is notable that drug-resistant stem cell model developed from the HER-2-expressing tumorigenic cells exhibit stem cell targeted inhibition in response to treatment with natural products such as an endogenous derivative of vitamin A and a terpene present in Rosemary<sup>14</sup>.

Present review discusses published evidence that is directly relevant to i.) Growth inhibitory efficacy of nutritional herbs in a cellular model for Luminal A and aromatase expressing post-menopausal breast cancer subtypes, ii.) Conceptual and technical aspects of cancer stem cell biology, iii.) Development and characterization of drug-resistant stem cell model for the Luminal A subtype and iv.) Future research directions that minimize extrapolation for clinical relevance and translatability of preclinical data.

**Experimental Models:** Cellular models for Luminal A and aromatase expressing Luminal A subtypes represent clinically relevant systems because of similarities of hormone receptor expression. Non-tumorigenic 184-B5 model represents a relevant control to tumorigenic MCF-7 and MCF-7<sup>AROM</sup> models. Molecular characterization and clinical applicability of the models is illustrated in Table 1.

Table 1: Experimental Models

Model	Characteristics				Clinical Subtype
	ER	PR	HER-2	AROM	
184-B5	-	-	-	-	Normal breast, non-tumorigenic
MCF-7	+	+	-	-	Breast carcinoma derived
MCF-7 <sup>AROM</sup>	+	+	-	+	Aromatase positive

ER, estrogen receptor; PR, progesterone receptor; HER-2, human epidermal growth factor receptor-2; AROM, aromatase.

In comparison with the non-tumorigenic 184-B5 cells, MCF-7 and MCF-7<sup>AROM</sup> cells exhibit hyper-proliferation as evidenced by accelerated cell cycle progression and down-regulated cellular apoptosis. Furthermore, increased AI colony formation of the tumorigenic cells represents an *in vitro* surrogate end point for *in vivo* tumor development<sup>4-9</sup>.

**Nutritional Herbs:** Nutritional herbs are routinely used in traditional Chinese medicine

for general health purposes, estrogen-related health issues and palliative treatment of cancer patients<sup>15, 16</sup>. Traditionally, the herbal formulations are boiled in water and consumed by the patients. To simulate patient consumption, non-fractionated aqueous extracts of the herbs are used for the experiments.

Information regarding nutritional herbs, source for herbal extract and major bioactive agents is provided in Table 2.

Table 2: Nutritional Herbs

Botanical Name	Source of Extract	Bioactive Agent
<i>Cornus officinalis</i> (CO)	Fruit	Anthocyanin
<i>Epimedium grandiflorum</i> (EG)	Leaf, Stem	Prenylflavone
<i>Lycium barabrum</i> (LB)	Fruit, Bark	Flavone, Terpene
Taheebo NFD Marugoto (TNM)	<i>Tabebuia avellanedae</i> Inner bark	$\beta$ -lapachone, Naphhofuran Dione

**Lead compound efficacy:** Collectively, isolation and/or synthesis of potential bioactive agents, structure-activity assays for growth inhibitory effects and biomarker modulation represent essential preliminary

investigations for screening of potential drug candidates as therapeutic alternatives. Confirmatory experiments using high-throughput screening and molecular biomarker assays for gene expression

profiling provide mechanistic evidence for efficacy. Rank order sequence for efficacy varies depending on individual test compound and on specific end point that is being measured. This sequence provides evidence for relative potency and mechanistic leads for individual test agent.

Mechanistic assays and quantitative end points optimized for the parental tumorigenic

cells as well as the drug-resistant cancer stem cells facilitate the identification of potential mechanistic leads for growth inhibitory efficacy of nutritional herbs. The assays and their respective quantitative end points are illustrated in Table 3.

**Table 3: Mechanistic End points**

Assay	Quantitative End point
Parental cells	
Anchorage independent colony formation	Colony number
Cell cycle progression	G <sub>1</sub> : S+G <sub>2</sub> /M ratio
Cellular apoptosis	% Sub G <sub>0</sub> , caspase 3/7 activity, BCL-2, BAX expression
E <sub>2</sub> metabolism	2-OHE <sub>1</sub> : 16 $\alpha$ -OHE <sub>1</sub> ratio
Aromatase activity	E <sub>1</sub> formation
Gene expression	ESR-1, AROM, PR, PS2, GRB2, Cyclin D1. $\delta\delta$ Cq (PCR)
Stem cells	
Tumor spheroid formation	Tumor spheroid number
CD44	Cellular uptake, RFU
NANOG	Cellular uptake, RFU
OCT-4	Cellular uptake, RFU

2-OHE<sub>1</sub>, 2-hydroxyestrone; 16 $\alpha$ -OHE<sub>1</sub>, 16 $\alpha$ -hydroxyestrone; E<sub>1</sub>, estrone; ESR-1, estrogen receptor-1; AROM, aromatase; PR, progesterone receptor; PS2, estrogen responsive gene; GBRB2, growth factor receptor binding protein2;  $\delta\delta$  Cq, relative gene expression; PCR, polymerase chain reaction; CD44, cluster of differentiation44; NANOG, DNA-binding transcription factor; OCT-4, octamer-binding transcription factor4, RFU, relative fluorescent unit.

Cell cycle progression is determined by monitoring the cell population in distinct phases of the cell cycle using flow cytometry. Cellular apoptosis is determined by the cell population in the subG<sub>0</sub> phase of the cell

cycle, and quantified by the expression status of apoptosis specific proteins. Cellular metabolism of E<sub>2</sub> is examined by the formation of individual metabolites. Gene expression is examined by polymerase chain

reaction assay. Stem cells are characterized by tumor spheroid formation and expression of stem cell specific molecular markers that are quantified by relative fluorescent units (RFU) due to cellular uptake of fluorescently labelled antibody<sup>7-9, 22</sup>.

### Cellular and molecular functions of 17 $\beta$ -estradiol (E<sub>2</sub>):

**ER-mediated signal transduction:** 17 $\beta$ -estradiol (E<sub>2</sub>) represents a major positive growth regulator for estrogen receptor (ER- $\alpha$ ) expressing target tissues. This hormone functions as a physiological ligand ER-mediated multiple-step process of signal transduction that culminates by binding of ER to estrogen responsive element on DNA and upregulated expression of downstream estrogen responsive target genes such as ESR-1 (gene for ER- $\alpha$ ), progesterone receptor (PR) and aromatase (AROM). Furthermore, the signal transduction process results in upregulated expression of estrogen-regulated genes such as PS2, GRB2 and cyclin D1<sup>17, 18</sup>.

**Cellular metabolism of E<sub>2</sub>:** Growth modulatory function of E<sub>2</sub> is also dependent on cellular metabolism of E<sub>2</sub> wherein E<sub>2</sub> metabolites exhibit distinct functions in breast carcinogenesis<sup>19,20</sup>. During oxidative metabolism of E<sub>2</sub>, estrone (E<sub>1</sub>) is formed that functions as a common precursor for the formation of 2-hydroxyestrone (2-OHE<sub>1</sub>) or 16 $\alpha$ -hydroxyestrone (16 $\alpha$ -OHE<sub>1</sub>). The metabolites formed from E<sub>2</sub> such as 2-hydroxyestradiol (2-OHE<sub>2</sub>) and 4-hydroxylated estradiol (4-OHE<sub>2</sub>) have opposite growth modulatory functions.

**MCF-7 model:** The MCF-7 cells express hormone receptors and represent a model for the Luminal a subtype of breast cancer. Experiments on MCF-7 cells have shown that treatment with E<sub>2</sub> and 16 $\alpha$ -OHE<sub>1</sub> increase AICF *in vitro* and tumor growth *in vivo*, while treatment with 2-OHE<sub>1</sub> inhibits the growth of cells *in vitro* and *in vivo* *in vitro*<sup>21</sup>.

Treatment of MCF-7 cells with the nutritional herbs CO, EG and LB inhibit AICF with a rank order sequence of LB > CO > EG. At the mechanistic levels these herbs inhibit cell cycle progression via G<sub>1</sub> and/or G<sub>2</sub>/M phase arrest with a rank order sequence of LB > CO > EG, and increase 2-OHE<sub>1</sub>: 16 $\alpha$ -OHE<sub>1</sub> ratio in favor of the anti-proliferative metabolite 2-OHE<sub>1</sub> with a rank order sequence of EG < LB < CO<sup>7, 22</sup>.

**MCF-7<sup>AROM</sup> model:** During the menopause peripheral estrogen synthesis proceeds via the action of aromatase that converts androstene dione to E<sub>1</sub> and testosterone to E<sub>2</sub>. Aromatase represents a target for pharmacological inhibitors that are used as treatment option for post-menopausal aromatase expressing breast cancer<sup>3</sup>.

The MCF-7<sup>AROM</sup> cells are transfected with the aromatase gene, and represent a model for post-menopausal breast cancer. Treatment of MCF-7<sup>AROM</sup> cells with the natural product TNM from the inner bark of *Tabebuia avellanedae* tree leads to inhibition of AICF. At the mechanistic level TNM treatment downregulates the expression of E<sub>2</sub> responsive genes ESR1, AROM and PR and of E<sub>2</sub> regulated genes PS2, GRB2 and cyclin D1.

The induction of cellular apoptosis by TNM is associated with increased activity of caspase 3/7 and reciprocal modulation of anti-apoptotic BCL-2 and pro-apoptotic BAX genes<sup>9</sup>. It is also notable that in a comparison of aromatase inhibitory activity TNM is effective at substantially lower concentration than that of pharmacological aromatase inhibitors letrozole and exemestane. These data suggest a superior efficacy for aromatase inhibition by TNM.

**Cancer stem cells:** All the epithelial organ sites including upper aero-digestive tract, breast, prostate and intestines are lined by an epithelial layer where homeostatic growth control and tissue regeneration is preserved by a gradient of cell proliferation differentiation and apoptosis. Multiple signaling pathways including Wnt/ $\beta$ -catenin, NOTCH and Hedgehog are responsible for growth regulation of normal adult stem cells. Evolution, maintenance and biological functions of normal adult stem cells is the culmination of complex interplay of these signal transduction pathways<sup>23</sup>.

Cancer stem cells represent a minor subpopulation in the primary tumor. These hyper-proliferative stem cells exhibit chemo-resistance and cancer initiating properties. The Wnt/ $\beta$ -catenin, NOTCH and Hedgehog are disrupted in therapy-resistant cancer stem cells<sup>24-25</sup>.

The chemo-resistant cancer stem cells also exhibit activation of RAS/ BRAF/ MEK/ERK signaling pathway leading to growth advantage of stem cell population. Small

molecule inhibitors of MEK have documented clinical efficacy<sup>26</sup>.

Activation of PI3K, AKT, and mTOR signaling pathways are essential for growth advantage and survival of cancer stem cell population where pathway selective pharmacological inhibitors are in clinical use<sup>27, 28</sup>.

Cellular plasticity in cancer stem cells plays an essential role in epithelial-mesenchymal transition (EMT), leading to metastatic progression of the disease. Activation of the NF $\kappa$ B/STAT-3 signaling pathway is associated with cellular plasticity. Modulation of epithelial specific and mesenchymal specific protein expression and of select nuclear transcription factors SLUG, SNAIL and ZEB represent sensitive markers for EMT and represent molecular targets for therapeutic efficacy of pharmacological inhibitors<sup>29-31</sup>.

**MCF-7/TAM-R Model:** The selective estrogen receptor modulator tamoxifen (TAM) represents a mainstream agent for targeted therapy of the Luminal A and Luminal B breast cancer subtypes. Long-term TAM treatment is associated with intrinsic/acquired therapy resistance. Long-term treatment of MCF-7 cells eliminates TAM-sensitive phenotype and facilitates the growth of TAM resistant (TAM-R) phenotype.

The isolation of TAM-resistant phenotype is achieved by long-term maintenance of cells in the presences of cytotoxic concentration of TAM. This selective pressure promotes progressive growth of the resistant phenotype. The characterization of putative



stem cells is achieved by monitoring the status of select stem cell specific biological and molecular markers. The MCF-7/TAM-R cells exhibit increased viable cell number and increased tumor spheroid formation. The latter being a biological stem cell marker. Additionally, MCF-7/TAM-R cells exhibit increased expression of stem specific cell surface marker CD44, and of the nuclear transcription factors NANOG and OCT-4<sup>14</sup>. The nuclear transcription factors OCT-4, Klf-4, Sox-2 and c-Myc are known to play essential role in maintenance of induced pluripotent stem cells<sup>32, 33</sup>. The expression status of biological and molecular stem cell markers provide evidence for cellular and molecular characterization of the present breast cancer stem cell model. The specificity and sensitivity of biological and molecular stem cell markers and their applicability as modifiable end points is evident by stem cell targeting growth inhibitory efficacy natural products in the 184-B5/HER-LAP-R stem cell model<sup>14</sup>. In this model cells resistant to the EGFR/HER-2 inhibitor lapatinib (LAP) are isolated and characterized to represent the HER-2-enriched breast cancer subtype<sup>14</sup>.

**Conclusions:** This review has discussed published evidence for growth inhibitory efficacy of Chinese nutritional herbs in cellular models for hormone receptor expressing and aromatase expressing breast cancer and development of drug-resistant cancer stem cell model. Collectively, these aspects suggest that the validated experimental approaches may provide mechanistic leads for natural products as testable alternatives

for potential drug candidates in treatment of therapy resistant breast cancer.

**Future research:** Intrinsic or acquired resistance to targeted endocrine therapy for hormone receptor positive luminal A and Luminal B breast cancer subtypes represents a formidable challenge. For example, studies focused on intrinsic/acquired resistance to aromatase inhibitors using the MCF-7<sup>AROM</sup> model resistance to letrozole resulted in downregulated expression of ER- $\alpha$  and hyperactivation of HER-2/MAPK signaling pathway. Although activation of the HER-2 signaling in this model suggests acquired susceptibility to HER-2 selective therapeutic efficacy<sup>34</sup>. In addition, susceptibility to the ER- $\alpha$  degrader fulvestrant and acquired cross-resistance to individual aromatase inhibitors such as letrozole and exemestane has been documented<sup>35</sup>. Collectively, this evidence emphasizes investigations to identify therapeutic alternatives that may function independent of therapy resistance.

The human telomerase reverse transcriptase (hTERT) represents a specific universal marker for immortalized cancer-initiating stem cells. This enzyme may represent a therapeutic target for pharmacological agent and natural products<sup>36</sup>.

Epigenetic modifications impact cell plasticity and gene transcriptional activity via nuclear histone modifications, DNA methyltransferase activity and gene promoter methylation. Small molecule pharmacological inhibitors and natural products functioning as epigenetic modifiers may be effective in therapy-resistant cancer stem cells<sup>37</sup>.

Epithelial-mesenchymal transition (EMT) characterizes cellular plasticity in cancer stem cells. This process is associated with reciprocal modulation in expression of epithelial and mesenchymal specific cellular proteins cadherin and vimentin, respectively and of the transcription factors SLUG, SNAIL and ZEB<sup>24,25,27,28</sup>. The NFκB/JAK-STAT-3 signaling pathway plays a critical role in EMT.

Specific inhibitors and modifiers this pathway may represent testable drug candidates<sup>29-31</sup>.

Preclinical investigations are dependent on extrapolation for clinical relevance and translatability. This limitation may be reduced by using the models developed from patient-derived tumor samples such as patient-derived tumor explants (PDTX) and tumor organoids (PDTO)<sup>38, 39</sup>.



**Corresponding Author:**

Nitin Telang  
Director, Cancer Research  
Pre-clinical Oncology  
Palindrome Liaisons Consultants,  
Montvale, New Jersey, USA  
Email: [entitytoo@gmail.com](mailto:entitytoo@gmail.com)

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