REVIEWS ARTICLE

Summative Entrustment Decision-Making in a National Entrustable Professional Activity-Based Residency Training Programme: Where theory meets practice

M.P. Smit¹, R.J.B.J. Gemke², K.A. van Loon², M. de Visser³, final authorship shared by M. de Hoog¹ and J. van der Velden⁴*

¹Erasmus MC-Sophia Children’s Hospital, Rotterdam, The Netherlands.
²Amsterdam University Medical Centre, The Netherlands.
³Radboud University Medical Centre, Nijmegen, The Netherlands.
⁴Radboudumc Amalia Children’s Hospital, Nijmegen, The Netherlands.

*Janielle.vanderVelden@radboudumc.nl

Abstract:

Introduction: The Entrustable Professional Activity (EPA) framework was introduced to operationalise and translate competency-based medical education into daily practice. Adoption of EPAs is recommended and supported in guidelines by educational research on trust and entrustment decision-making. However, systematic field studies evaluating the experiences of medical professionals (residents and supervisors) working with an EPA framework in daily practice are lacking. Still these evaluations are necessary to support the design and implementation of new EPA-based residency training programmes. This study provides an in-depth insight and a more comprehensive understanding of the experiences of professionals working in an EPA-based residency training programme.

Methods: We conducted a qualitative study using a constructivist approach. Focus groups were used for separate interviews with residents and supervisors assessing their experiences with the first national EPA-based residency training programme in the Netherlands. Thematic analysis was used to analyse the results of the focus groups and to define relevant themes.

Results: The EPA framework enabled residents to enhance and individualise their training programme. Personal leadership proved to be essential for finding a balance between the requirements of the national training programme and exploration of residents’ individual talents, experience and learning curve. Supervisors’ supportive and guiding role is crucial throughout the process of EPA acquirement. Independent from each other, supervisors and residents indicated that trust (and not exhaustive testing) is essential in the summative assessment by a Clinical Competence Committee (CCC). Supervisors see added value in the mandatory portfolio that residents compile to help them prepare for the CCC meeting. Starting to work without supervision is an important but challenging goal once an EPA has been acquired. The ability to further individualise the training programme after an EPA has been acquired, varies among residents.

Discussion: We found that residents and supervisors see added value in working and learning in an EPA-based residency training programme. Awareness and encouragement of self-regulated learning skills could potentially help create a balance between programme requirements and individualisation. When discussing a portfolio, trust and gut-feelings during CCC meetings is fundamental and helpful for supervisors to get a comprehensive view of the resident’s performance. An autonomy-supportive supervision strategy could encourage and improve residents’ autonomy and practice of working without direct supervision after acquiring an EPA.

Keywords: Entrustment decision-making, EPA-based training programme, innovation of resident training, evaluation of resident training individualised residency training programme
Abbreviations
CCC: Clinical Competence Committee
EPA: Entrustable Professional Activity
SRL: Self-regulated learning
TOP2020: Training Optimisation for Postgraduate Paediatrics in 2020
UMC: University Medical Centre

Introduction
Entrustable Professional Activities (EPAs) are increasingly being introduced in postgraduate medical education (PGME) programmes. Recommendations and guidelines for the design of EPA-based training programmes have been widely published\(^1\). In addition, numerous studies have addressed the technical and procedural aspects of entrusting residents with an EPA without extensive evaluation and testing\(^2,3\). However, studies that systematically evaluate the experiences of professionals (residents and supervisors) working with an EPA-based training programme in daily practice are scarce.

The concept of EPAs was first described in 2005 and further developed in an AMEE guideline in 2015\(^4,5\). EPAs are components of professional practice that can be entrusted to residents once they have demonstrated the ability to successfully integrate multiple competencies\(^5\). EPA-based training programmes have been introduced worldwide to operationalise and translate competency-based medical education into daily practice\(^6,7\). This translation was necessary because existing competency frameworks (e.g., ACGME, CanMED) only describe the personal, individual roles of professionals and do not describe the integration of these roles in daily clinical work\(^1,6,7\).

The EPA-based programme provides supervisors with guidance and supports them in assessing the progress of residents in clinical workplaces\(^6\). The summative entrustment decision of an EPA is made by a Clinical Competence Committee (CCC), a group of well-informed supervisors. The acquirement of an EPA also involves patient safety, since it is the starting point for residents to practice unsupervised\(^6\). Since EPAs play an essential role in the professional development of residents, a valid, reliable and reproducible process is required\(^2\).

Trust plays a key role in the entrustment decision-making process. However, it is personal and challenging to substantiate. Trust in the context of traineeships has been described as ‘the reliance of a supervisor or medical team in a trainee to execute a given professional task correctly and in their willingness to ask for help when needed’\(^7\). Many ‘entrusting factors’ (trainee-, supervisor-, task- and system factors) are involved in gaining trust\(^9,10\).

Despite the growing understanding of how an entrustment decision is made, the design and implementation of an EPA-based residency training programme is challenging and can be optimised by the sharing of knowledge and experience by professionals in daily practice. Therefore, the current study was conducted to assess the operationalisation and to gain a more comprehensive understanding of the experiences of professionals working with a
national EPA-based paediatric residency training programme in the Netherlands.

Materials and methods

Setting
To achieve the aim of this study, we focused on the first EPA-based residency training programme in the Netherlands: Training Optimisation for Postgraduate Paediatrics in 2020 (TOP2020)\(^1\). The TOP2020 was implemented nationally in 2017 and is now operational in all eight medical specialist teaching regions. Each region includes several general hospitals and a university medical centre (UMC). A paediatric resident will receive workplace-based training in at least one UMC and one general teaching hospital in a medical specialist teaching region. Because EPA-based programmes intend to enable flexible and time-variable individualised training, the length of rotations is not the same for each resident\(^2\).

Entrustment Decision-Making in TOP2020
The TOP2020 programme includes nine generic EPAs that have been developed according to existing guidelines\(^2\).\(^7\). During the rotations in the different hospitals, residents will be exposed to all EPAs. A standardised procedure has been introduced to decide whether a resident can acquire an EPA\(^2\). In brief, the procedure is as follows: it starts with the collection of evidence of performance as described in the training plan (e.g., progress and feedback reports and test results). Subsequently, a group of well-informed staff members will discuss the resident during the CCC meeting, concluding with a decision on entrustment\(^8\). The level of supervision required during rotation depends on a resident’s level of training and competency. Each level of competence - novice, advanced and competent - requires a different level of supervision\(^9\).\(^13\). For example, a resident with minimal clinical experience requires close supervision. As the rotation progresses, residents will gain more and more (clinical) experience; in most cases this will lead to a gradual reduction in supervision. When the resident is competent and the EPA is acquired, the resident is ready for unsupervised practice. Unsupervised practice means that supervision is not mandatory but available at the resident’s request. In this way, residents experience considerable independence and autonomy during their training programme.

Study design and participants
We conducted a qualitative study to gain a deeper understanding of the experiences of the entrustment decision-making process in an EPA-based residency training programme\(^14\). We set up two independent face-to-face focus groups in the teaching regions, one for residents and one for supervisors. This approach ensured that participants could speak freely, without the constraints of possible hierarchical relationships. All eligible participants were contacted by an independent office to avoid any potential conflict of interest. After at least two weeks of reflection, the focus group session took place, and informed consent was signed beforehand.

The focus groups consisted of 6-10 participants and lasted 60-90 minutes.
Supervisor focus groups included supervisors from each participating centre in the teaching region. Since more than enough paediatric residents were interested in participating, we used purposive sampling to create representative focus groups. We ensured that the groups were as diverse as possible by taking into account gender, age, years of training and current hospital of placement (general or academic). The requirement for inclusion was that participants were trained according to TOP2020. There were no exclusion criteria.

**Constructivist approach**

The constructivist theory describes the coexistence of multiple realities and how individuals construct new knowledge or understanding based on past experiences and knowledge. This approach is suitable for addressing our research aim of constructing an understanding of a new training programme in a complex healthcare setting with many interacting aspects and individuals.

We followed the constructivist approach to data collection by scheduling focus group sessions during which participants could interact, influence and add to each other's responses. In addition, the researchers' beliefs and experiences influenced the focus group because they designed the semi-structured interview guide used during the group discussions. The constructivist approach was also used to analyse and interpret the transcripts. We enriched the coding and identification of the themes with our perspectives by taking into account what was said by the participants, both literally and implicitly. Reflexivity was practised by regularly discussing the analytical process, perspectives and findings of the research team members.

**Research team**

The research team consisted of six researchers with diverse backgrounds and areas of expertise. The principal investigator (MS) is a PhD-student and paediatric resident. MdV and KvL are medical educationalists, experienced educators and senior researchers. MdH, RG and JvdV are paediatricians, (former) directors of the pediatric residency training programme and professors of medical education. RG and MdH were involved in developing TOP2020, endorsed by the Dutch Association of Paediatrics, and JvdV was responsible for the implementation and evaluation of TOP2020. To avoid potential conflicts of interest, MdH, RG and JvdV neither conducted nor participated in the focus group sessions.

**Data collection**

We developed a semi-structured interview guide to help explore the phases of the entrustment process. The focus group sessions took place between March and June 2019. MdV was the main moderator, ensuring that all participants had the opportunity to share their experiences and opinions. MS had an observational role, paying particular attention to non-verbal nuances and asking for clarification when necessary. Data saturation was reached after ten focus group sessions in five different teaching regions. These ten focus groups included data from...
five supervisors and five residential focus groups.

Data analysis
All focus group sessions were audio recorded and transcribed verbatim. The tapes were transcribed confidentially by a professional agency. MS read and reread all transcripts in order to anonymise them and prevent traceability to participants. The anonymized transcripts were entered into qualitative data analysis software (ATLAS.ti®). The transcripts were analysed using inductive thematic analysis following the guidelines of Braun and Clarke. Transcripts were coded in 3 phases: descriptive coding, interpretive coding and identifying overarching themes.

In the first phase, MS and MdV independently coded a transcript without a predefined codebook. They coded using a constructivist approach but with a focus on the aim of the study. After coding one transcript, they conducted a comprehensive analysis and reached a consensus on a shared codebook. During the interpretive coding process MS and MdV identified connections between codes and interpreted the underlying meaning of what the participants had said. Disagreements tended to revolve around codes that were closely related in meaning. Disagreements were resolved through discussion or, where necessary, by expanding the definition of the code. Final adjustments were made to the codebook. After five transcripts (2 supervisor and 3 resident groups) consensus on the codebook was reached. MS used the final version to code all the transcripts. Finally, the overarching themes were identified following a discussion about the coded phrases and the relationships between them within the research group.

Results
Our data show that residents and supervisors distinguished two phases in the EPA-based residency training programme. 1) the training phase before the entrustment of an EPA; and 2) the phase following the entrustment of an EPA. The process of entrustment decision making with the CCC meeting takes place between these two phases. Further analysis revealed three central themes in the phases: individualised training, role of supervisors, and the practical process.

Training phase before the entrustment of an EPA

Individualised training
A main finding was that both residents and supervisors felt that the EPA-based residency training programme facilitated individualisation. It also emerged that individualisation had several prerequisites, including personal leadership, self-reliance, and independence. Personal leadership enabled residents to explore opportunities for individualisation. They learn to take matters into their own hands, set goals and take responsibility for achieving those goals (Quotations 1 and 2, see table 1). In an EPA-based curriculum, residents may seize opportunities to organise and personalise their training programme in consultation with the programme director and accounting for constraints of the clinical setting (Quotation 3, see table 1).
Role of supervisors
Many residents need support and guidance from their supervisors and programme director throughout the process of EPA entustrment (Quotation 4, see table 1). Guidance at the start of the training programme is crucial, as the programme director determines the entry level and takes into account previous experience, resulting in an individualised training programme.

Supervisors were very content to have a supportive role, to provide tailored supervision, and to allow residents to grow towards the EPA acquisition. However, some supervisors felt that residents did not keep sufficient track of their progress towards the acquisition of an EPA (Quotation 5, see table 1). They noted the risk of shifting responsibility for successful completion of a rotation and personal goals from resident to supervisor.

Many residents do not recognise themselves in this shift of responsibility. They feel minimal supportive guidance from supervisors or programme director in particular when it comes to practical matters and the possibility of individualising their rotation (Quotation 6, see table 1). Nevertheless, most residents feel supported in discussing their development, recognising professional limits and asking for supervision when needed. Residents reported almost unanimously that they discuss an important consideration with their supervisors and the programme director: Am I reaching the required level of competence?

Practical process
According to supervisors, residents are responsible for obtaining the mandatory requirements and organising the portfolio as defined in the TOP2020 training plan (Quotation 7, see table 1). Residents’ opinions on this issue were mixed. Some of them found it worthwhile to collect evidence of performance since it contributed to their learning curve. Others considered it a time-consuming burden and did not see the added value (Quotation 8, see table 1), believing that feedback based on, for example, a brief observation does not represent their overall performance.

Supervisors are expected to reflect on a resident’s performance, which many find difficult for a number of reasons. First, providing comprehensive feedback in a set format is difficult, which is why it often remains practical because trust issues or gut feelings are difficult to put into words. Second, some fear the possible consequences of their feedback reports (Quotation 9, see table 1). Many programme directors recognised this reluctance on the part of their colleagues (Quotation 9, see table 1).
Table 1. Training phase before the acquirement of an EPA
Overview of themes, corresponding codes, quotation examples.

<table>
<thead>
<tr>
<th>Theme</th>
<th>Corresponding codes</th>
<th>Selected quotations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individualised training</td>
<td>Degree of self-management (DSM), Individualised training (IT), Timing (TI), Earlier acquired competencies (EAC)</td>
<td>Q1. Resident (AtlasTi Quote 2:14) I did not apply for my Intensive Care EPA because, in my opinion, I had insufficient exposure (...). At the end of the day, I have to feel competent. I need to become a paediatrician. (DSM, TI)</td>
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<tr>
<td>Selected quotations</td>
<td></td>
<td>Q2. Supervisor (AtlasTi Quote 6:79) Some residents take full responsibility for their education(...). We clearly notice when residents take the initiative (...). (DSM)</td>
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<td>Unfamiliarity</td>
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<td>Q3. Resident (AtlasTi Quote 2.56) (...) I had been doing the outpatient clinic for a long time; I got the hang of it. To stay challenged, I started supervising others (...). (EAC, IT)</td>
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<tr>
<td>Role of supervisor</td>
<td>Role direct supervisor (DS), Role programme director (PD), Role other supervisors (OS)</td>
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<tr>
<td>Selected quotations</td>
<td></td>
<td>Q4. Supervisor (AtlasTi Quote 1:99) Depending on the experience of residents, I have to consider: ‘How much time do you need to achieve an EPA?’ I need to help with the planning. The training programme gives a timetable, but of course is cannot always be followed. There is tension. I have to take residents by the hand and keep asking ‘How is your progress?’ (TI, IT, PD)</td>
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<tr>
<td>Practical process</td>
<td>Preparations (PRE), Evidence of performance (EOP)</td>
<td>Q5. Supervisor (AtlasTi Quote 3:5) It remains important that residents retain their responsibility. I have to make this clear all the time because working with EPAs is more challenging. (DS)</td>
</tr>
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<td>Selected quotations</td>
<td></td>
<td>Q6. Resident (AtlasTi Quote 7:22) Nobody checked with me. ‘Hey, do you know what you need to collect for your portfolio? How is your progress?’ Now I am running out of time as I am already halfway through my Neonatal Intensive Care rotation. There is a lot of responsibility involved in getting your EPA. (DS, PD, OS)</td>
</tr>
<tr>
<td>Preparations</td>
<td></td>
<td>Q7. Supervisor (AtlasTi Quote 1:110) (...) now we have a kind of format. Residents can emphasise what they want and present what they have done. In principle – it doesn’t always happen, of course – residents submit this completed format when they apply for an EPA. This is combined with the information from supervisor meetings. (…) So, we now clearly leave the responsibility more and more to residents. (PRE, EOP)</td>
</tr>
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<td>Evidence of performance</td>
<td></td>
<td>Q8. Resident (AtlasTi Quote 5:15, 5:35) They already trusted me during the shifts. (…) They need to be more flexible; why should I get feedback forms from four different supervisors? (PRE, EOP)</td>
</tr>
<tr>
<td>Quotations</td>
<td></td>
<td>Q9. Supervisor (AtlasTi Quote 8:64) Many supervisors say, ‘I haven’t seen enough, I can’t judge, I won’t fill in the questionnaire’. (…) I struggle with this. How can I give enough good feedback? (…) In the meantime, I explained to everyone that individual supervisors do not decide whether residents will be entrusted, because that was their fear. We still need the discussion during the CCC meeting. (PRE)</td>
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</table>
The entrustment decision-making process of an EPA
Residents and supervisors identify the entrustment decision as a key moment in the growth of resident competence that takes place between the two phases. Several themes emerged from the analysis that are relevant to this key moment.

Portfolio
Residents and supervisors submit their evaluation reports independently, which encourages reflection before the CCC meeting. Residents submit their portfolio, which they both felt was primarily the resident’s responsibility.

Many residents felt that supervisors did not really need the portfolio to assess their level of performance; they reported that they already knew what level the resident was working at through daily contact. When asked which role the portfolio should have in the decision-making process, residents and supervisors unanimously said that, ‘the portfolio should not be decisive’. They made it clear that trust of supervisors in residents is more important than mandatory feedback forms and reports.

According to supervisors, the requirements for the portfolio are minimal, but still helpful for making residents’ level of performance more explicit. From the summarised portfolio and the preparation for the CCC meeting, supervisors have the impression that the whole group is well informed, up to date and prepared.

CCC meeting
Most residents reported that they had no insight into or understanding of the role of trust during the CCC meeting. They felt that supervisors already knew from daily contact whether or not they could trust a resident to work without direct supervision. Furthermore, they considered the CCC meeting a necessary formalisation, since their independence was already gradually increasing during the rotation (Quotation 10, see table 2).

Supervisors recognised the natural and gradual progression towards independence, but did not see the CCC meeting as simply formalising what was already known or done. Supervisors said that trust in a resident is determined by multiple trust factors (supervisor, trainee, task and system factors) and can therefore be an elusive concept (Quotations 11 and 12, see table 2). They found it helpful and essential to discuss residents’ performance with other supervisors. Examples of relevant discussion topics: Do the promising qualities become apparent in practice? Is the resident aware of his/her limitations? Will the resident ask for supervision when needed? Supervisors reported that the standardised entrustment procedure, with the CCC meeting as the final step, helps decide whether a resident is competent and capable of working without direct supervision. This mainly concerned residents with previous experiences in different hospitals. Supervisors experienced that the final decision-making in the CCC meeting was almost always unanimous. They also reported that they felt relieved that the
entertainment decision was a group responsibility.

Feedback
After the CCC meeting, residents receive substantive feedback and conclusion from the programme director, sometimes accompanied by a mentor. Residents sometimes felt that the programme directors found it difficult to translate the group-supported feedback into a more nuanced and practical feedback, since they often watered down critical remarks. Many residents speculated that programme directors were afraid to jeopardise their friendly working relationship by giving critical feedback. Most residents considered it a missed opportunity to develop themselves for professional development and said that they preferred to receive specific and constructive feedback. Many programme directors recognised themselves in the opinions of residents, and found it challenging to make the feedback specific and practical for residents without being too critical (Quotation 13, see table 2).

Table 2. The entrustment decision-making process of an EPA
Overview of themes, corresponding codes, quotation examples

<table>
<thead>
<tr>
<th>Theme</th>
<th>CCC meeting, corresponding codes:</th>
<th>Feedback (FE)</th>
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<tr>
<td>Criteria of trust (CoT) 3:12, 3:9, Consequences of the decision (CoT)</td>
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<th>Selected quotations</th>
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<tr>
<td>Q10. Resident (AtlasTi 5:15) Everyone has complete faith in you. But in the end they say, ‘Yes, but we don’t have those mandatory feedback forms, so we can’t give you the EPA’. But the whole group has already given me the trust to do shifts! (CoT)</td>
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<td>Q11. Supervisor (AtlasTi 10:84) Sometimes, I like to discuss in the CCC meeting: ‘Guys, what are we talking about? What are we pursuing? What is the essence? Are we entrusting the resident with the EPA?’ For me, it is essential that the resident knows his limits and does what he promised (….) But trust means different things to different CCC members. As a group, we will get there, despite the fact that we have different ways of thinking, but we all have to answer the question: Do we trust the resident with the EPA? (CoT)</td>
</tr>
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<td>Q12. Resident (AtlasTi 4:46) I think we should be aware that supervisors are experienced. They see a lot of residents and they will feel ‘is the resident ready, yes or no’. Residents want to hear concrete feedback but I think that supervisors can’t always fully describe it. (CoT)</td>
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</table>

<table>
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<th>Theme</th>
<th>feedback, corresponding codes:</th>
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<tr>
<td>Q13. Programme director (AtlasTi 3:31) What I do notice, and I have found this really difficult, is that other supervisors tend to give the feedback to me, not to the resident. This is really difficult, I have fallen into this trap several times. When resident asks for clarification, I can’t give it because I can’t refer to my own experience because I’m copying someone else. (…) I have learned not to give feedback without an example. (FE)</td>
</tr>
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</table>
The phase following the acquirement of an EPA

*Individualised training*

At the start of each focus group session, residents and supervisors indicated that the EPA-based programme did not contribute to a gradual increase in autonomy and responsibility. It was argued that the progression to eventually unsupervised practice is autonomous and not linearly related to the design of the training programme (Quotation 14, see table 3). Later, as the focus group session progressed, more and different insights were presented, and the initial scepticism gradually made way for a more nuanced view.

Many residents found that EPAs helped them to take more control during their training and to communicate their learning goals to their supervisors. They found it easier to explain their level of competence and whether or not they need supervision during shifts (Quotation 15, table 3). Residents had different opinions about the feasibility of designing their training programme. Some see opportunities to broaden their personal educational goals in addition to general clinical requirements. For example, by taking time to develop their own science and management skills, or by attending specialist outpatient clinics. Contributing factors to arrange these possibilities included assertiveness, self-confidence and discussing special wishes and interest with the programme director at an early stage. Some residents felt discouraged from individualising their programme because the programme director did not provide practical resources or time. They felt personally disadvantaged when, for example, other residents were allowed to take an extra course or rotation. Other residents reported that clinical healthcare tasks consumed all their time and energy, and that they experienced no opportunities for individualisation following the acquirement of an EPA. These residents felt underprivileged because other colleagues seemed to have more possibilities for individualisation (Quotation 16, table 3).

Supervisors indicated that EPAs are helpful for individualisation, since EPAs are requested and acquired at different times, allowing for individual trajectories of the training programme for each resident. The inter-individual differences between the possibilities for realizing individualisation were acknowledged by supervisors and programme directors. Supervisors almost unanimously agreed that the design of the training programme was not essential for creating opportunities for individualisation. There will always be residents who see opportunities to fulfil their individual learning goals and aspirations, with or without EPAs. Supervisors and programme directors agreed that they were more likely to support self-directed residents in fulfilling their wishes.

*Role of supervisors*

Supervisors found it reassuring that they are provided with information about the level of expertise of each individual resident. At the
start of a shift, they only need to ask a resident what level of supervision for which EPA is desired. However, many supervisors still found it challenging to reduce control and allow residents to work unsupervised, even those with an acquired EPA. The almost unanimous explanation was that they had a strong sense of responsibility for their vulnerable patients.

Practical Process
Both residents and supervisors found it difficult to tailor rotations because of their continuing clinical care responsibilities and the need to fill shifts. In practice, adjustments to the schedule could only be made prior to the start of the rotation, making it difficult to tailor rotations based on a resident’s development during rotations.

Table 3. The phase following the acquisition of an EPA
Overview of: theme, corresponding codes, and quotation examples.

| Theme individualised training, corresponding codes: |
| Working without supervision (WWS), Individualised training (IT) |

Selected quotations
Q14. Resident (AtlasTi 4:54) At that time I hadn’t passed my Emergency EPA and the supervisor said: ‘you really don’t need to consult me on simple pathology’ Or ‘here is the emergency pager, take it, you can do the supervision’. At that time, I did not yet have my Emergency EPA. It is indeed a natural scale. They just say at some point, ‘you can actually do this, so I trust you with it’. (WWS)

Q15. Resident (AtlasTi 9:97 en 9:103) I had a bit of a rough start. I had to prove that I had already passed the EPA. Once that was proven, I was able to expand. I did supervisory work on the acquired EPA. So, I was able to make it more personal. It was beneficial for me (...). Even the most conservative paediatrician has now accepted it. Some still say, ‘but it means that you take all the responsibility, right?’ ‘Yes, I understand’. Formalising the process helped paediatricians (WWS, IT)

Q16. Resident (AtlasTi 4:86) Sometimes, it is not fair (...); for example, the final profiling rotation at the end of the training programme. In principle, we are not allowed to do this rotation abroad. But now some residents do the rotation abroad. Now they can’t participate in all the shifts! The idea is that you do the final rotation in your own hospital, but some do it abroad, and now I can’t individualise my final rotation! (IT)
Table 4. Summary of main results.
Experiences of residents and supervisors working and learning in an EPA-based residency training programme

<table>
<thead>
<tr>
<th>Training phase before the acquirement of an EPA</th>
<th>Strengths</th>
<th>Challenges</th>
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</table>
|                                                 | - Residents see possibilities to individualise their EPA-based training programme by showing personal leadership, self-reliance and independence  
  - During the training programme, residents reflect and discuss with supervisors whether they have reached the required competency level, making residents more self-aware regarding the progress of their abilities |           |
| The entrustment decision-making process of an EPA | Strengths | Challenges |
|                                                 | - Thanks to the summarised portfolio of residents and pre-meeting preparations by supervisors, CCC members are well informed  
  - Supervisors find the group-based discussion essential in making a well-considered decision  
  - The entrustment decision during the CCC meeting almost always provides a unanimous result |           |
|                                                 |           | - Residents believe that the portfolio is unnecessary since in their experience, supervisors are already aware of a resident’s level of performance; they also believe that trust is far more important than a collection of mandatory feedback  
  - The group-supported feedback after the CCC meeting is often attenuated by the programme director, which jeopardises the professional development of residents |
| The phase following the acquirement of an EPA    | Strengths | Challenges |
|                                                 | - EPAs are helpful for residents to discuss their supervision needs  
  - Supervisors find the EPAs supportive and guiding. During shifts, they do not have to make an individual judgement of the level of expertise at which a resident performs  
  - Self-directed residents see possibilities to individualise the training programme and feel supported by the programme director |           |
|                                                 |           | - Residents who are less self-directed do not obtain possibilities and feel less support from the programme director to individualize their training programme  
  - Supervisors find it challenging to let entrusted residents work without supervision  
  - In daily practice, it is challenging to individualise the length of the training programme when residents have already started their rotation |
Discussion
This study provides a more comprehensive understanding of how medical residents and supervisors value an EPA-based residency training programme. Three main themes emerged when learning and working in an EPA-based programme in daily practice: self-regulated learning, entrustment and autonomy.

Self-regulated learning
EPAs aim to provide opportunities for residents to follow their learning path and individualise their training in a flexible and time-variable way\textsuperscript{12}. EPAs encourage residents to reflect on their personal competencies and needs, which is an essential step towards developing self-regulated learning (SRL) skills required for individualised training\textsuperscript{21}. SRL can be defined as ‘the degree to which students are metacognitively, motivationally, and behaviourally active participants in their own learning process’\textsuperscript{22}. Further development of SRL will be beneficial, not only in terms of individualisation in the EPA-based training, but also because high levels of SRL is associated with more effective learning and easier lifelong learning\textsuperscript{23,24}.

Our study revealed that an essential prerequisite for individualising the training programme is the ability of residents to show leadership. For instance, combining personal goals with the organisational rotation schedule was considered a major challenge by some residents in realising individualised training programmes. Potential inequalities arise since less self-directed and self-confident residents effectuate fewer opportunities to individualise their training programme. Some residents were unaware of the importance of personal leadership in enabling individualisation. Our findings raise the question whether sufficient attention and guidance is paid to SRL during residency training\textsuperscript{22}. Guiding residents and faculties to improve SRL is likely to be beneficial, as improving implementation and outcomes of SRL will only be possible if it is seen as a team effort\textsuperscript{23}.

A lifelong learning mindset is essential for residents and medical specialists to keep up with the constant changes and innovations in healthcare. In such a mindset, entrustment of an EPA is not the end point of learning, but a milestone on a lifelong learning journey. If, in daily practice, residents experience entrustment as an end point, working and learning with EPAs may encourage assessment-driven learning, which is potentially detrimental to a lifelong learning mindset\textsuperscript{25}. Therefore, the focus of SRL guidance should not be on how to tick off EPAs, but how to use EPAs during a lifelong learning journey.

Entrustment
Summative entrustment involves a complex decision-making process, for which the CCC meeting was highly valued by supervisors. For supervisors, it was important to have a group discussion about gut feelings and trust. The entrustment decision of a professional activity was no longer seen as an individual
responsibility, but as a group-supported decision. The individual assessment of residents by CCC members prior to the CCC meeting ensured that the views of all supervisors were represented and collected independently. Supervisors reported that CCC meeting outcomes were almost always unanimous. When opinions were divided at first, short discussions were sufficient to reach consensus.

Well-prepared meetings and detailed guidance on the aim and conduct of CCC meetings in this national EPA-based residency programme might have contributed to the positive experience of CCC meetings in our study. Previous literature shows the importance of a ‘shared mental model’, where group members have a common understanding of the purpose of the meeting, know how to interpret information, and how to make decisions. Group assessments have proven to be valuable. Furthermore, a group decision-making reduces the halo effect compared to individual judgement. The portfolio was appreciated by supervisors, and they often felt more comfortable and guided by semi-quantitative Likert scales and quantitative questions such as ‘how many critically ill patients did the resident see in the emergency department?’ Although checking boxes in the portfolio may seem like a convenient tool for supervisors, this usually does not reflect actual exposure, level of performance or professional growth during rotations. Narrative feedback and group discussion on trust during the CCC meeting provides more insight into the resident’s level of performance. Especially as trust is a subjective element, also called gut feeling, which becomes reproducible when professionals share their experiences.

Despite its merits, many residents felt that preparing their portfolio was a burden with no added value. They argued that supervisors already knew their level of professional performance through daily contact, and emphasised that acquisition of competences is a continuous, iterative process that cannot be exhaustively captured in quantitative measures.

Recent literature shows that the use of portfolios does not support SRL in workplace-based settings, especially when there is frequent or close supervision. However, portfolios can add value by ensuring that all CCC members are well informed and prepared for the meeting, since multiple sources of information are necessary for the validity of entrustment decisions. Furthermore, the portfolio can capture conditional and indispensable information that is necessary before an EPA can be entrusted. Since portfolios play an increasingly important role in the recertification of medical specialists, we hypothesise that working with portfolios during residency may help prepare for future obligations.

Following the entrustment decision, residents receive aggregated group-based feedback. Data analysis revealed that residents and supervisors felt that the feedback could be improved, particularly by making it more specific and learning-oriented. Both groups acknowledged that
the fear that critical feedback could affect the continuation of a constructive working relationship, sometimes hampered the manner of feedback. Therefore, in professional assessment and high stakes decision-making it is essential that critical feedback is formulated in a constructive way that facilitates a resident’s subsequent learning curve\(^3\). To establish a supportive and safe feedback culture, four domains need to be considered: feedback providers, feedback recipients, feedback relationships, and institutional context \(^3\). Several suggestions were made during the focus group meetings to improve the feedback culture. It was suggested to include a mentor, as an independent supervisor, in the CCC meeting and have the mentor give feedback on behalf of other supervisors and programme director in order to create a safe feedback relationship. Another suggestion was to invite residents to join the CCC meeting for the final minutes, which would allow CCC members to clarify their feedback to the resident face to face. However, residents can feel intimidated when they meet their supervisors after they have just discussed their performance, which could put the safe context required for receiving feedback at risk\(^4\).

**Autonomy**

The EPA-concept aims to guide residents and supervisors when establishing a graded increase in autonomy and responsibility towards readiness for independent practice of key tasks of the profession\(^8\). Many residents experienced that acquiring EPAs empowered them to express their supervision needs. Nevertheless, supervisors recognised challenges in working with entrusted residents. Reducing control sometimes conflicted with their high sense of responsibility for their patients.

Many factors play a role in helping supervisors provide autonomy supportive supervision, e.g., medical legislation, national and local protocols and policies\(^35,36\). This challenge needs to be addressed, since it hinders the development of autonomy in an EPA-based residency training programme. Furthermore, it may be helpful for supervisors if residents are more explicit about knowing and monitoring their own limits during the entrustment process.

**Strengths and limitations**

We studied a fully operational national EPA-based residency training programme in a country ranked top five in the world for healthcare quality and efficiency\(^37\). This study provided a comprehensive insight into and understanding of how professionals experience working and learning in an EPA-based residency training programme. Since the implementation of our EPA-based residency training programme in 2017, the programme has been used as a template for other residency training programmes in the Netherlands.

Limitations of the study were that only paediatric residents and supervisors in one
health care system were included, thereby limiting extrapolation to other settings. In addition, there was no interim analysis of the data. We analysed the data after the focus group sessions had taken place.

**Future implications**

This study was conducted two years after the national implementation of the EPA-based residency training programme. In line with our findings, we believe that further research could focus on faculty development, with a particular focus on how to optimise SRL, feedback, and autonomy following the entrustment. In addition, longitudinal research would be interesting. After all, since there is more familiarity with working, acquiring and entrusting EPAs, experiences of residents and supervisors may change over time. We are also interested in comparing differences, experiences and well-being affected by EPAs between various EPA-based residency training programmes at national and international level.

**Conclusion**

We found that residents and supervisors see added value in working and learning in an EPA-based residency training programme. The central themes were: self-regulated learning, entrustment and autonomy. Awareness and encouragement of self-regulated learning skills could potentially help create a balance between programme requirements and individualisation. When discussing a portfolio, trust and gut-feelings during CCC meetings is fundamental and helpful for supervisors to get a comprehensive view of the resident’s performance. Following the entrustment decision, residents are open to concrete feedback since it contributes to their professional development. However, providing critical feedback can be challenging for supervisors. In order to encourage residents’ autonomy and practice of working without direct supervision, we need to identify what is missing at a national, regional and local level that could contribute to autonomy-supportive supervision.
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Corresponding author:
Prof. J. van der Velden
Radboudumc Amalia Children’s Hospital,
Geert Grooteplein Zuid 32, 6525 GA,
Nijmegen, The Netherlands.
Tel: +31 243614415
Email: janielle.vanderVelden@radboudumc.nl

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