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RESEARCH ARTICLE

Obstructive sleep apnea alters microRNA levels: Effects of continuous positive airway pressure

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ABSTRACT

Background: Obstructive sleep apnea (OSA) has been linked to cytokine-mediated chronic inflammatory states. Continuous positive airway pressure (CPAP) is an established therapy for OSA, but its effects on inflammation remain unclear. A recent study from our group identified soluble cytokine receptors altered in OSA patients and modified by CPAP adherence. However, the upstream regulatory pathways responsible for these shifts in proinflammatory cascades with OSA and CPAP therapy remained unknown. Accordingly, this study mapped OSA and CPAP-modulated soluble cytokine receptors to specific microRNAs and then tested the hypothesis that OSA and CPAP adherence shift cytokine-related microRNA expression profiles.

Study Design: Plasma samples were collected from patients with OSA (n=50) at baseline and approximately 90 days after CPAP initiation and compared to referent control subjects (n=10). Patients with OSA were further divided into cohorts defined by adherence vs nonadherence to CPAP therapy. The microRNAs that mapped to soluble cytokine receptors of interest were subjected to quantitative polymerase chain reaction.

Results: At baseline, increased hsa-miR-15a-5p, hsa-miR-15b-5p, hsa-miR-16-5p, hsa-miR-195-5p, hsa-miR-424-5p, hsa-miR-223-3p, and hsa-miR-223-5p were observed in patients with OSA compared to controls (p<0.05). In CPAP adherent patients (n=22), hsa-miR223-3p and hsa-miR223-5p decreased at follow-up (p<0.05) whereas there was no change in miR levels from baseline in non-adherent CPAP patients (n=28). The miRs hsa-miR223-3p and hsa-miR223-5p mapped to both proinflammatory and innate immunity activation; the inflammasome.

Conclusion: A specific set of microRNAs, including hsa-miR223-3p and hsa-miR223-5p, may serve as a marker of inflammatory responses in patients with OSA, and be used to assess attenuation of inflammasome activation by CPAP.

Keywords: miR, microRNA, Soluble Cytokine Receptors, Inflammation, Continuous Positive Airway Pressure, Adherence, Obstructive Sleep Apnea, Cardiovascular Disease, Inflammasome

Introduction

Obstructive sleep apnea (OSA) is a prevalent medical issue affecting an estimated 17% of adults, and is considered a risk factor for many other pathologies, especially of cardiovascular nature.^{1,2} OSA is characterized by repeated episodes of upper airway collapse and brief cessation of airflow.³ This upper airway collapse has been associated with local pharyngeal inflammation.⁴ Further, these episodes lead to sympathetic activation, perturbations in oxygen levels, accumulation of oxidative stress, and systemic inflammatory cytokine cascade activation.⁵⁻¹² Finally, cytokine activation has been proposed to enhance this sympathetic response, therefore causing a feed-forward mechanism for exacerbation of OSA.^{2,13} A similar phenomenon has been documented in different inflammatory pathologies, such as coronary artery disease, hypertension, and other cardiovascular diseases.^{2,13-17} More importantly, the cytokine activation with OSA has been linked to increased risk and or exacerbation of cardiovascular disease.¹³⁻¹⁷ Thus, identifying the molecular pathways and checkpoints regarding inflammation with OSA, in particular cytokine activation, could potentially lead to new diagnostics and therapeutic strategies.

The current standard of care for OSA includes continuous positive air pressure (CPAP) therapy. CPAP therapy effectively maintains airflow throughout a patient's sleep by preventing the upper airway collapse that otherwise causes apnea-induced hypoxia. Past studies have identified that the intermittent hypoxia with OSA can cause local and systemic inflammation, and that CPAP may alter this process through attenuation of specific cytokine signaling cascades.^{4-12, 15-23}

However, the molecular basis for these changes in cytokine activation with OSA and CPAP remains poorly understood. Moreover, whether a molecular signature emerges with respect to regulation of inflammation which would hold diagnostic value in this context is also unexplored. The guiding hypothesis of the present study was that post-transcriptional pathways which regulate indices of inflammation can be measured by plasma profiling in OSA patients receiving CPAP therapy.

MicroRNAs (miRs) are critical post-transcriptional regulators of cytokine production.²⁴⁻²⁶ miRs can bind to cytokine transcripts and prevent translation, but can also regulate cytokine signaling indirectly by binding to transcripts of other molecules with inhibitory/anti-inflammatory properties. Moreover, cytokine activation can also alter miR profiles and thus form a potential feedback loop.^{19,25} Although miR measurements in plasma have been performed in OSA patients previously,^{16,17,19,27} these studies have not examined the effect of CPAP adherence using a focused miR array which may regulate cytokine production. Accordingly, the present study had two objectives. First, to develop a cytokine focused miR array using target prediction modeling,²⁸ which could be deployed in plasma samples from OSA patients. Through this approach, it was anticipated that a specific cassette of miRs would be altered in OSA patients when compared to referent control values. Second, quantify these miRs at baseline and following a 90 day follow-up period as a function of CPAP compliance. The results from this portion of the study would determine if miR levels which are altered with OSA are modifiable by CPAP.

Study Design and Methods

PATIENTS

Patients with treatment naïve OSA were recruited from pulmonary and sleep medicine clinics at the Mount Sinai Health System (New York, NY). OSA was confirmed by home sleep apnea testing using WatchPAT-200 and -300 devices (Itamar Medical, Caesarea, Israel). OSA was defined as a peripheral arterial tonometry–respiratory desaturation index (pRDI) greater than or equal to five respiratory events per hour of sleep. Pre-CPAP pRDI values were evaluated and categorized as mild, moderate, or severe based on the American Academy of Sleep Medicine definition.²⁹ Events were associated with either a 3% oxygen desaturation or an arousal (as measured by WatchPAT actigraphy). All participants gave written informed consent (Mount Sinai Institutional Research Board approval #18-00543). Following baseline blood sample collection, patients were prescribed clinically indicated CPAP treatment using auto-titrating devices with a pressure range set between 4 and 20 cm of H₂O. Adherence to CPAP was monitored remotely over a 90-day period. At 90 days (range: 80-100 days) a second blood sample was collected and final CPAP usage data was analyzed. For the purposes of providing a reference for miR profiling, non-OSA referent control samples (n=10) with an average age of 55±3 years (6 F/4M) were utilized as described in a previous study.³⁰ All referent control patients were prescreened and selected to exclude any chronic inflammatory/cardiovascular comorbidities.

miR EXTRACTION & PROFILING

The blood samples were placed on ice, centrifuged, plasma collected and aliquoted (500 uL) and maintained at -70degC until

extraction was performed. Plasma was first thawed on ice and then subjected to miR extraction (miRNeasy Serum/Plasma Advanced Kit cat # 217204, Qiagen, Valencia, CA). The extracted miR pool was then subjected to quality analysis (Agilent RNA 6000 Nano Kit Santa Clara, CA). The miR pool was then reverse transcribed (miRCURY LNA RT Kit cat # 339340, Qiagen, Valencia, CA). This study utilized a miR array (YCA41416 Qiagen, Valencia, CA), which contained 17 individual miRs (Table 1) which targeted cytokine receptors that have previously been linked to OSA: soluble tumor necrosis factor receptor superfamily member 8 (sCD30), soluble epidermal growth factor receptor (sEGFR), soluble interleukin 1 receptor type I (sIL1RI), soluble interleukin 2 receptor alpha (sIL2Ra), soluble vascular endothelial growth factor 1 receptor (sVEGFR1), and soluble vascular endothelial growth factor 2 receptor (sVEGFR2).^{5-12,15,16,20-23,31,32} These soluble cytokine receptors were mapped to miRs using Target Scan (Target Scan Human 7.2, Whitehead Institute for Biomedical Research).²⁸ In addition, miRs which mapped to innate immune activation, the nod-like receptor family pyrin domain containing 3 (NLRP3)-inflammasome was included.^{33,34} The activation of the NLRP3 inflammasome has been reported in OSA patients.³² The resulting cDNA from the miR primers was used for SYBR Green PCR (miScript SYBR Green Kit 4000 cat # 339347, Qiagen, Valencia, CA). Quantitative RT-PCR was performed (Bio-Rad CFX96 Touch) according to the vendor protocol. The maximum threshold cycle (C_T) for detection was set at 35 C_Ts. miRs that cycled above 35 C_Ts were excluded from the remainder of PCR analysis. C_T values of the mean for Qiagen interplate calibrator was used to adjust for plate-to-plate variation.

DATA ANALYSIS

CPAP adherence was as CPAP usage of greater than or equal to 4 hours per night on 70% of nights during the study period.^{29,35} The demographics of CPAP adherent and non-adherent cohorts were examined using a frequency distribution and chi-squared analysis. Age comparisons were performed using a two-sample t-test.

Relative miR levels were first computed as delta CT (dCT) values using an internal reference miR, hsa-miR451a. The delta dCT (ddCT) was calculated as the difference in analyte dCT value from that of the mean referent control value. The fold change was then calculated using the 2^{-ddCT} approach as described previously,^{36,37} for baseline and for the 90-day follow-up time point. A paired t-test was performed to detect changes between samples taken at baseline versus after 90-days of CPAP therapy, with the adherent and non-adherent groups analyzed separately. An independent samples t-test was performed to compare 90-day values in terms of CPAP compliance. Finally, a multivariate analysis of variance (ANOVA) was performed with miR expression levels as the output variable of interest, considering adherence and time as treatment effects. A p value of < 0.05 was used for statistical significance. IBM SPSS Statistics 28 (IBM, New York USA) software was used for all analysis. Summary data are presented as the mean \pm standard error of the mean.

Results

Patient demographics for the study are summarized in Table 2. Age (51 ± 2 , 46 ± 2 yo), body mass index (32 ± 1 , 32 ± 1), and sex distribution (27%, 29% female) were similar

between CPAP adherent and non-adherent groups, respectively ($p > 0.05$). Mean peripheral arterial tonometry–respiratory desaturation index (pRDI) for the total sample set was 30.4. There was no significant difference detected in baseline pRDI between patients adherent and non-adherent to CPAP therapy (29 ± 3 vs 32 ± 4 respectively, $p = 0.377$).

Using quantitative PCR and the miRs identified in Table 1, 10 of the 17 miRs did not meet the cycle threshold in the referent control samples and thus could not be properly indexed. Of the 7 miRs which did cycle, these were markedly changed in the OSA patients at baseline (Table 3). Notably, a robust increase in hsa-miR15b-5p, hsa-miR223-3p, and hsa-miR223-5p were observed. At a 90 day follow-up interval, these 7 miRs remained elevated in the OSA patients as a group. However, hsa-miR223-3p, and hsa-miR223-5p were decreased from baseline values in those OSA patients compliant to CPAP. In contrast, these miRs remained unchanged from baseline values in the OSA patients not compliant with CPAP. Using these 7 miRs, multivariate ANOVA did not reveal any treatment effect of time nor adherence.

Table 1. A series of 17 miRs were selected for study as these mapped to specific inflammatory pathways which have been identified previously in OSA patients.

Table 1. MicroRNAs with High Probability of Targeting Cytokine Cascade

	hsa-miR15a-5p	hsa-miR15b-5p	hsa-miR16-5p	hsa-miR195-5p	hsa-miR378g	hsa-miR424-5p	hsa-miR497-5p	hsa-miR129-5p	hsa-miR141-3p	hsa-miR186-3p	hsa-miR190a-3p	hsa-miR200a-3p	hsa-miR204-3p	hsa-miR211-3p	hsa-miR367-5p	hsa-miR223-3p	hsa-miR223-5p
sEGFR	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		
sIL1RI	x	x	x	x	x	x	x	x		x	x		x	x	x	x	x
sIL2Ra	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		x
sVEGFR1	x	x	x	x	x	x	x	x	x		x	x	x	x	x		
sVEGFR2	x	x	x	x	x	x	x	x	x	x	x	x			x		
sCD30					x				x	x		x	x	x			

Table 2. Patient demographics for the OSA patients in which miR plasma profiling was performed.

Table 2. Patient Demographics in OSA Patients	
Patients, n	50
Female, n (%)	14 (28%)
Male, n (%)	36 (72%)
Age + SEM	48±2
BMI + SEM	32±1
Race, n (%)	
White	24 (48%)
Black	11 (22%)
Asian	4 (8%)
Unsure	6 (12%)
Other	5 (10%)
Ethnicity, n (%)	
Hispanic	18 (36%)
Other	32 (64%)
pRDI value, n (%)	
Mild (0-15)	9 (18%)
Moderate (15-30)	19 (38%)
Severe (30+)	22 (44%)

pRDI = Respiratory Desaturation Index; CPAP = Continuous Positive Airway Pressure

Table 3. Seven miRs were identified to be increased with OSA compared to referent controls and 2 miRs changed as a function of CPAP compliance.

Table 3. Changes in miRs as a function of OSA and CPAP Compliance					
	RC	Baseline OSA total	90 days OSA total	90 days Compliant to CPAP	90 days Non-compliant to CPAP
hsa-miR-15a-5p	1.04 ± 0.09	16.61 ± 1.67*	14.87 ± 1.71*	14.46 ± 2.31*	15.20 ± 1.54*
hsa-miR-15b-5p	1.16 ± 0.20	79.76 ± 8.78*	67.29 ± 3.52*	69.30 ± 9.87*	77.79 ± 7.59*
hsa-miR-16-5p	1.08 ± 0.11	16.10 ± 1.76*	15.61 ± 1.58*	13.27 ± 1.74*	16.58 ± 1.68*
hsa-miR-195-5p	1.26 ± 0.42	23.07 ± 4.08*	17.07 ± 2.73*	17.18 ± 1.47*	22.62 ± 3.88*
hsa-miR-424-5p	1.14 ± 0.19	17.11 ± 2.26*	14.91 ± 2.64*	17.42 ± 3.12*	20.73 ± 3.30*
hsa-miR-223-3p	1.27 ± 0.27	173.15 ± 16.19*	203.26 ± 20.93*†	172.97 ± 59.07*†	225.24 ± 104.44*
hsa-miR-223-5p	1.01 ± 0.07	169.09 ± 13.88*	146.11 ± 6.03*†	133.01 ± 14.37*†	151.23 ± 19.68*
Total Sample Size (n)	10	50	50	22	28

OSA = Obstructive Sleep Apnea, CPAP = Continuous Positive Airway Pressure, RC = Referent Control

* P < 0.05 by t-test vs referent control

† P < 0.05 by paired t-test vs baseline

Discussion

In the present study, we utilized an array of miRs which mapped to soluble cytokine receptors that have demonstrated involvement in chronic cardiopulmonary inflammation and/or the inflammasome. From this approach, 7 miRs were increased in OSA patients compared to referent control values. Following a study interval of 90 days with CPAP, those patients which were designated as compliant to CPAP, 2 of these miRs decreased from baseline values. The unique findings of the present study is that a specific cassette of miRs, which map to specific inflammatory cascades, were elevated in OSA patients and only 2 appeared to be influenced by CPAP treatment. These findings suggest that plasma miR profiling may provide insight into the underlying molecular mechanisms associated with inflammation in OSA patients and that a select number of miRs may hold value as a biomarker for assessing CPAP effectiveness/compliance.

Several studies have linked inflammation, in particular cytokine activation, to OSA, and identified shifts in relative cytokine levels in OSA patients as a function of CPAP use and adherence.^{5-7,9-12,15,20,31,32} However, the upstream molecular checkpoints for these inflammatory pathways, particularly dynamic post-transcriptional regulation by miRs, remain relatively unexplored in this context. Past studies that have examined miR levels in the context of OSA have either focused on a specific miR or were not stratified with respect to CPAP use and adherence.^{17,19} For example, Li and colleagues reported an increase in miR-664a-3p in patients with OSA and atherosclerosis, suggesting a common regulatory pathway may underlie both conditions.¹⁷ MiR-664a-3p has been linked to the downregulation of

cytokines such as interleukin 6, tumor necrosis factor, and mitogen-activated protein kinase 1.³⁸ However, the present study did not examine miR-664a-3p as it did not fit the criteria of mapping to a cytokine receptor pathway identified previously in OSA patients.^{5-7,9-12,15,20,31,32} Consistent with the present study, a past report identified that mir-15b-5p was increased in OSA patients and linked to a canonical cytokine signaling pathway, NF- κ B.¹⁹ However, this past study did not examine changes in miR levels as a function of CPAP adherence. A past study by Santamaria-Martos et al. did examine multiple miRs in OSA and identified that miR hsa-miR-345 was reduced following six months of CPAP.³⁹ However, this previous study did not index miR levels to referent control levels and did not examine miR levels as a function of CPAP adherence. The present study was hypothesis driven with respect to selecting an array of miRs specific to inflammatory cytokine pathways which have been identified to be activated in OSA patients. As such, hsa-miR-345 was not included in the present analysis but may warrant inclusion in a future study.

Of the 7 specific miRs which were increased in OSA patients, the majority of these mapped with high probability to the soluble cytokine receptors sEGFR, sIL1R1, sIL2Ra, sVEGFR1, and sVEGFR2.³³ Prior studies by our group have linked these soluble cytokine receptors to the chronic inflammation in patients with cardiovascular disease.^{30,40} Several of these soluble cytokines, such as the IL1 and VEGF receptors have been reported in OSA patients.⁴⁰⁻⁴² Past studies have identified an increased risk of cardiovascular disease in OSA patients which may be due to the activation of these proinflammatory cytokines.^{1,10,12,13,21}

However, a direct causal relationship has not been clearly established. For example, a reduction in cytokine levels is not a uniform finding in OSA patients following a period of CPAP therapy.^{6,9,10,12,20,31} In the present study, these specific miRs which potentially regulate these soluble cytokine receptors, appeared to be unaffected by a period of CPAP therapy. Thus, it could be postulated that the persistent elevation of these specific miRs is due to a continued underlying inflammatory process in OSA patients which was unaffected by CPAP. However, it must be recognized that the results from the present study are associative and a direct mechanistic relationship between the elevation in a specific miR to a specific cytokine pathway was not established. Nevertheless, some of the miRs identified to be increased in OSA patients been demonstrated to modulate various cytokine pathways.⁴³⁻⁴⁵ For example, a prior study by Li et al. demonstrated hsa-miR-15a-5p can regulate the STAT3/CX3CL1/NF-kB pathway.⁴³ In another study, silencing hsa-miR-15b-5p may induce cellular apoptosis in in the context of a chronic inflammatory state.⁴⁵ When another miR identified to be increased in OSA patients, hsa-miR-195-5p, was silenced in a rat model profibrotic signaling pathways were attenuated.⁴⁶ Increased levels of hsa-miR-424-5p, which was identified in OSA patients, has been implicated in the regulation cell division, migration, and cell cycle regulation.⁴⁷ Moreover, hsa-miR-424-5p has been shown to inhibit the inflammatory activity of interleukins and NF-kB.⁴⁸ Finally, the miR hsa-miR-16-5p, is broadly thought of as having tumor suppressor activity in addition to negatively regulate various cytokines associated with chronic inflammatory states.⁴⁹

Another unique finding of the present study was a relative reduction in hsa-miR-223-3p and hsa-miR-223-5 following 90 days of CPAP adherence. These miRs map with high probability to soluble receptors of the IL-1 and IL-2 cytokine families, which are involved in T-cell recruitment and inflammatory responses.⁵⁰⁻⁵⁴ Increased activity levels of both cytokines have been associated with many autoimmune, vascular, and malignant pathologies; as such these cytokines have been targeted for numerous therapies. Hsa-miR-223-3p and hsa-miR-223-5 are also tightly linked to the NLRP3 inflammatory cascade.⁵⁵⁻⁵⁷ Elevated NLRP3 and inflammasome activation have been identified as the link between the intermittent hypoxia observed in patients with OSA and chronic inflammatory pathology.³² Our finding that hsa-miR-223-3p and hsa-miR-223-5 are reduced in patients with OSA who are adherent to CPAP therapy suggests that CPAP therapy reduces NLRP3 levels inflammasome activity. However, the present study did not quantify NLRP3/inflammasome pathway and thus this remains speculative. Nevertheless, these findings suggest that hsa-miR-223-3p and hsa-miR-223-5 are may be strong candidates to be included in a biomarker matrix in terms of assessing the effectiveness/compliance of CPAP in OSA patients.

LIMITATIONS

As with most studies that examine miR profiles in a clinical disease state, we used a peripheral blood sample, which may not directly reflect local miR changes within the pulmonary system. Additionally, the present study used a small set of referent normal subjects. However these values were simply used for indexing purposes. The present study did not examine the effect of comorbidities or covariates on

miR profiles as a larger sample size would have been required to perform subset analysis. However, the findings of the present study strongly support a future larger OSA patient study with serial measures and CPAP adherence, race/ethnicity, and sex as covariates.

SUMMARY

The present study identified a specific cassette of inflammation-related miRs that were increased in patients with OSA, and two miRs that fell as a function of CPAP adherence. These results indicate that in the context of OSA, CPAP use can modify post-transcriptional regulators of key inflammatory processes likely to contribute to the development and exacerbation of OSA.

Conflicts of Interest:

None

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