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CASE REPORT

Combination of Transcranial Direct Current Stimulation and Action Imitation Training in Post-Stroke Aphasia Rehabilitation: Implications from a Single Case Study.

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ABSTRACT

Background. One of the most used non-invasive brain stimulation (NIBS) techniques in aphasia rehabilitation is Anodal Transcranial Direct Current Stimulation (A-tDCS). Its application is supported by the fact that brain plasticity, when facilitated by language intervention, can be improved by non-invasive brain stimulation. The effects of neuromodulation combined with various language and communication therapies have led to promising results in the rehabilitation of patients presenting with acquired aphasia. An Action Observation Therapy such as IMITAF seems to contribute to improvements in language skills, mainly in naming. This experimental clinical protocol aims to investigate the rehabilitative potential of A-tDCS on perilesional areas, combined with computerized neuropsychological training.

Method. <u>Participant</u>: Mr. V., 66-year old right-handed male, Italian native speaker. The patient suffered an ischemic stroke due to left carotid artery dissection which resulted in right hemiparesis, mixed transcortical aphasia, characterized by non-fluent speech and dysarthric and disfluent speech.

<u>Treatment</u>: The subject underwent unipolar montage stimulation, with anode placed in F5 and intra-cephalic reference on Fp2. For safety reasons, a stimulation intensity of 1.5 mA was applied for 20 minutes once a day in combination with IMITAF level I, which required the subject to repeat bisyllabic words.

<u>Procedure</u>: The stimulation protocol lasted a total of 4 weeks and was divided into 2 different modes. Clinical Training: 40-minute treatments (no.10 sessions) and involved the combined administration of a-tDCS (20-minute online mode) and 40-minute training.

Home-Based Training: 40-minutes trainig carried out at the patient's home, via PC (no. 8 sessions).

Results. Mr. V. completed the protocol treatment sessions without reporting any adverse effects, such as scalp redness, tingling or headache. The results showed an improvement in the auditory/visual comprehension of words/sentences after A-tDCS and Action Observation Therapy, which has maintained one month after treatment.

Conclusions. Together with IMTAF neuropsychological training, the constant and repeated use of A-tDCS, on the perilesional areas, contributed to improvements in language skills thus promoting an overall recovery of communicative skills in an individual with chronic post-stroke non-fluent aphasia.

Introduction

Aphasia is an acquired language disorder resulting from damage to the brain areas responsible for comprehension and production of language. The most common causes of this disorder include vascular lesions, traumatic brain injury and brain tumors¹, with a prevalence of 250,000 cases in the UK and 1 million in the USA².

Aphasia can involve different levels of language processing with deficits in both oral and written comprehension and production. Most aphasia patients show some degree of spontaneous recovery within the first two to three months after the event, due to functional neuronal reactivation and reorganization. Recovery occurs in most patients as they move from the acute to the chronic phase of their condition³.

The most important factors in recovery process are the lesion location and size, aphasia type and severity, the treatment received and the nature of early haemodynamic response⁴.

Post-stroke aphasia is typically associated with infarction in the territory of left middle cerebral artery (MCA). Focal cerebral ischemia is caused by disruption of the blood supply to the brain resulting from cerebral artery occlusion. This area of necrosis forms the infarct core, while brain regions with perfusion above the viability threshold may survive if therapeutic intervention restores normal blood flow⁵. These potentially recoverable regions are called 'penumbra'6. Restoring blood flow from the penumbra to specific cortical areas of the left hemisphere in aphasic patients can recover specific aspects of language function such as naming and writing^{7,8}. While acute hypoperfusion and tissue necrosis first affect circumscribed brain regions and their fiber tract connections, secondary damage or deactivation due to apoptosis, inflammation, neurodegeneration or diaschisis can, however, also affect remote brain areas and can result in a more widespread disruption of the entire functional network even in the non-ischemic hemisphere^{9,10}. At the same time, neuroplastic mechanisms can spontaneously activate within a few days or weeks.

Structural and functional connectivity analyses have recently revealed that major language areas in the left hemisphere are part of a richly interconnected network that extends into the right hemisphere¹¹. Although damage to specific brain regions and their connections occurs mainly in the left hemisphere, functional magnetic resonance imaging (fMRI) examinations have shown that in some cases language function is shifted to right hemisphere areas¹². The lesion can cause interhemispheric inhibition disruption, thus releasing activity in regions homologous to the lesion site. Patterns of reorganization in language networks can be observed after acute injury¹³.

Right-hemisphere brain activity can be observed beyond the subacute phase and can also coexist with the reactivation of perilesional areas in the left hemisphere^{14,15}. The recruitment of perilesional areas may result from the release of corticortical inhibitory inputs (collateral disinhibition) from the infarcted speech areas¹⁶. Recruitment of homologous language pathways in the right hemisphere is instead believed to occur through transcallosal disinhibition by the left-hemisphere lesion^{17,18}.

The role of the right hemisphere in language recovery is still unclear and debated, and for years it had been considered dysfunctional. A number of studies have, however, emphasized the potential benefits of its activation after a left-hemisphere lesion¹⁹. The right hemisphere could thus play a facilitative and adaptive role in the acute and subacute phases, but be maladaptive in the chronic phase, as it would prevent activation of the spared perilesional tissue and contribute to enhanced recovery²⁰.

The reactivation of perilesional regions in cases of enhanced recovery is not controversial^{21,22}. The remaining activations surrounding the peri-infarct cortex have been correlated with therapy-induced language improvement²³. The perilesional areas in the left hemisphere could therefore be considered as trigger circuits for possible language recovery²⁴.

In the hierarchical model of compensatory strategies for post-stroke aphasia²⁰, the right hemisphere areas can only support some language recovery if the essential language areas in the left side are severely damaged.

This model would also be compatible with studies showing links between language improvement and a repositioning of the language network towards perilesional areas, particularly in the left inferior frontal gyrus^{25,26}.

Based on these data, therapeutic modulation of the activity of the language network should theoretically favor an activity re-positioning in key regions of the left hemisphere for optimal recovery and/or reduce activity in homologous areas of the right hemisphere²¹.

Most of the rehabilitation treatments for acquired aphasia are aimed at recovering the impairment through specific exercises addressed to the damaged function.

Cognitive approaches, on the other hand, target solely the patient's impaired language domain. However, there are rehabilitation protocols working indirectly on the language area²⁷. In clinical practice, a series of treatments aims at exploiting the activation of a specific neuronal network, namely the mirror neurons.

In this sense, some studies have shown a significant relationship between gestures and the processing of communicative intention²⁸, and also for the combination of gestures with verbal production as a rehabilitation technique, which can have significant therapeutic effects for the functional recovery of aphasic patients²⁹. To date, the observed evidence has led to the development of various rehabilitation techniques such as the 'Observation with Intent To Imitate' (OTI) and the 'Action Observation Therapy' (AOT). These therapeutic behavioral interventions are therefore presented as recovery models rather than compensatory models. The treatments are able to influence brain reorganization, with the aim of recovering damaged motor functions, instead of compensating them through new strategies learning³⁰. Looeiyan et al.,³¹ devised a revised version of IMITATE, called IMITATE-R, which involves not only the observation of the actors' mouth movements, but also some videos showing finalized hand gestures. IMITATE has been translated into several languages, including Italian. The Italian program built on this model is called IMITAF³².

Unlike most behavioral treatment strategies for aphasia, non-invasive brain stimulation (NIBS) techniques have been specifically used to remodel language networks plasticity and, as such, are entirely based on post-stroke neural network reorganization models²⁴.

In transcranial Direct Current Stimulation (tDCS), small currents (usually 1-2 mA) are applied to the scalp for several minutes via two large surface electrodes (usually 5×5 cm or 5×7 cm). It is believed that the applied currents are insufficient to directly induce action potentials as in Transcranial Magnetic Stimulation (TMS), but would modulate neuronal resting membrane potentials.

Although changes in membrane potentials are transient, tDCS can help strengthen synaptic connections by producing long-lasting effects which persist even after stimulation^{33,34}. Prolonged stimulation can in fact result in long-term

potentiation (LTP). Brown et al.,³⁵ described LTP as the strengthening of the neural connection between two simultaneously activating neurons. Similarly, long-term depression (LTD) refers to a lasting decrease in neuronal activation. These two phenomena represent a strengthening and weakening of synaptic connections respectively, which are essential for the acquisition and storage of new information²⁴.

According to the literature review carried out by Zettin M. et al.,^{24,32} the neurostimulation technique would favor a better recovery of the impaired when administered language functions in combination with neurobehavioral treatment (online-tDCS), compared to the sole use of tDCS stimulation. The review also shows a better efficacy of anodal stimulation on the perilesional area (AtDCS), with intracephalic referral, especially in cases of moderate or chronic damage. For both safety and methodological reasons, tDCS should be administer for no more than 30 minutes per session, 1 to 2 mA, for 5-15 active stimulation sessions²⁴.

Aims and Objectives

The aim of this experimental protocol report was to investigate the rehabilitation potential of anodal transcranial Direct Current Stimulation (A-tDCS), combined with IMITAF computerized neuropsychological training, in patients with chronic aphasia following brain injury.

It is assumed that the constant and repeated use of A-tDCS on the perilesional areas, combined with neuropsychological training, may lead to improvements in language skills, mainly in naming, and promote an increase in spontaneous language production and a general recovery of communicative skills.

The secondary aim of this protocol was to find out whether the effects of the neurorehabilitation treatment were maintained one month after stimulation; this data would be obtained through a follow-up assessment.

The present Single Case Study involved an initial assessment of language parameters using behavioral rating scales. This was followed by the administration of the rehabilitation protocol by means of tDCS and finally a textual and qualitative behavioral assessment, post-training, and followup.

Design

The present experimental clinical protocol falls into the Single Case Study category. This work involved

assessment (Figure 1).

means of a-tDCS, and finally a behavioral re-

an initial assessment of language parameters using behavioral rating scales. This was followed by the administration of the rehabilitation protocol by

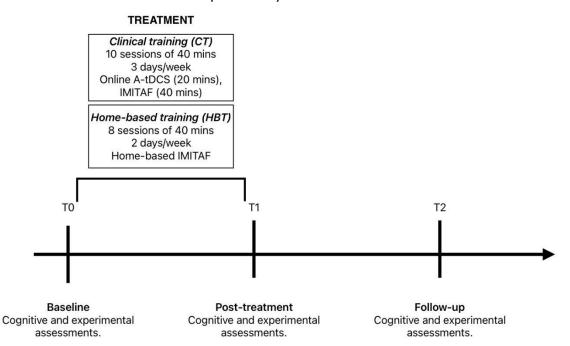


Figure 1. The stimulation protocol lasted a total of 4 weeks and was divided into 2 different modes: Clinical Training (CT) and Home Based Training (HBT). Cognitive and experimental assessments were administered before, after, and 4 weeks after the treatment.

Experimental Clinical Protocol

CASE HISTORY

Mr. V. is a 66-year old right-handed male, native Italian speaker, and with 8 years of schooling. Prior to the traumatic event, he used to work as a small businessman. In September 2018, the patient suffered an ischemic stroke caused by left carotid artery dissection. The ischemic vascular lesion involved the frontal-opercular cortex and basal nuclei, resulting in extensive left thalamo-capsular, occipital and temporo-parietal ischaemic hypodensity, as well as right hemiparesis, mixed transcortical aphasia, characterized by non-fluent and dysfluent speech.

During the first year after the traumatic event, the patient underwent outpatient neurorehabilitation sessions in an Italian hospital. This intervention was aimed at improving the contralateral haemiparesis and the bucco-facial praxic deficit.

On admission to the Puzzle Centre in 2019, one year after the brain injury event, the clinical picture and symptomatology were characterized by: - severe impairment of oral language encoding, in the absence of written language encoding; - severe impairment of lexical repetition skill. Communication was characterized by conduits d'approche and dysfunctional mimic-gestural communication efficacy.

Treatments carried out during the rehabilitation period were aimed at improving bucco-facial apraxia, language production and comprehension, implementing mimic-gestural communication efficacy, and monitoring mood.

In 2023, a language assessment was performed with Neuropsychological Examination for Aphasia (ENPA) battery³⁶ and Aachener Aphasia Test (AAT)³⁷. It was not possible to assess performance in lexical decision, repetition and naming tasks. The patient was not able to produce spontaneous language, reading and writing but he was able to perform words and sentences comprehension.

The Test of Attentional Performace (TEA/TAP)³⁸ and the Attentive Matrices test³⁹ were also administered, which excluded the presence of attention deficits.

The neurological assessment excluded the presence of mood disorders, hearing or visual impairment. The clinical history excluded episodes of epilepsy, psychosis, major depression, alcohol abuse and/or drug addiction. The patient was not under pharmacological treatment, therefore any interference of a drug with neuromodulatory treatment with A-tDCS was excluded.

The data analyzed in this clinical protocol were collected in accordance with the Declaration of Helsinki. Prior to participation, the patient and the caregiver read and signed an Informed Consent Form.

MATERIALS

Several diagnostic and rehabilitation tools were used for this experimental proposal, and specifically:

- Neuropsychological Examination for Aphasia (ENPA)³⁶;

- Aachener Aphasia Test (AAT)³⁷;

- Attentive Matrices³⁹;

- Test of Attentional Performace (TEA/TAP) 38;

- PC with Pentium 15 processor, Windows 10 operating system and 15 inch screen.

- IMITAF computer software (16 levels), Puzzle Centre Turin, Italy;

- Transcranial direct current stimulation (tDCS HDCstim, Neouronika, Milan, Italy);

- Transcranial Current Stimulation (tDCS).

COMPUTERIZED NEUR TREATMENT ParlaTO

NEUROPSYCHOLOGICAL

The administration of IMITAF was carried out with the presence of a neuropsychologist, at the rehabilitation centre, or by a trained caregiver, when the training was carried out at home³². The treatment can be carried out at home. When used at home, the patient is always supported by a caregiver, who has already been trained in software operation and administration timing. In this case, the caregiver had to ensure that the patient performed the exercise correctly. Any difficulties encountered by the patient and/or caregiver were discussed with the psychologists involved in the study³². Mr. V. was assigned IMITAF Level 1, based on the results of the TO assessment.

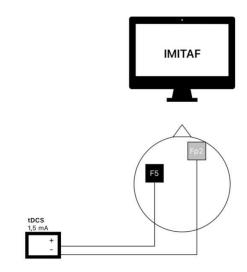
The treatment comprised the video presentation of different audiotory-visual tasks, specifically the use of bisyllabic words at the first level. After the viewing and listening phase, the subject was asked to imagine the word or action heard and to repeat it. The facilitator, if necessary, would provide supportive feedback³².

NEUROREHABILITATION TREATMENT WITH tDCS

The stimulation adopted the HDCstim method (Neouronika, Milan, Italy). The equipment comprised two 35 cm² (5 cm \times 7 cm) conductive silicone electrodes that were inserted into cellulose sponge pockets moistened with saline and conductive gel to ensure proper electrical conduction. The electrode sizes were chosen to improve the focus of stimulation on the target cortices and to minimize cortical effects under the reference electrode⁴⁰. Based on evidence from the literature review by Zettin et al.²⁴, the perilesional stimulation area was identified by examining the neurological diagnostic computed tomography (CT) report. The points on the scalp were identified using the International EEG-10/10 system. The use of this system facilitated the daily placement of the electrodes on the scalp and avoided possible positioning errors.

The patient underwent stimulation with monopolar montage (A-tDCS) and intra-cephalic reference, placed on the supraorbital area contralateral to the lesion (Fp2). The stimulation site identified corresponds to the subject's perilesional areas, Brodmann areas 44 and 45, more precisely F5 of the frontal cortex. For safety reasons, a stimulation intensity of 1.5 mA was applied for 20 minutes once a day^{24,41}(Figure 2).

a)



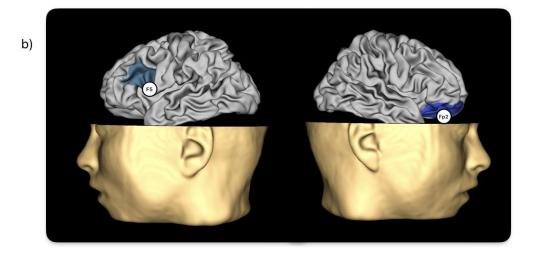


Figure 2. a) Stimulation with monopolar montage (A-tDCS) of perilesional areas (F5). Intra-cephalic reference (Fp2) b) Stimulation sites: F5, frontal lobe premotor cortex area, left hemisphere. Fp2, supraorbital area contralateral to lesion, right hemisphere.

Procedures

STIMULATION PROTOCOL

The stimulation protocol lasted a total of 4 weeks and was divided into 2 different modes (Figure 3): - **Clinical Training (CT)**, which involved 10 treatments carried out at the Puzzle Rehabilitation Centre (Turin, Italy). The treatments were divided into 3 weekly sessions. The tenth session was held on the fourth week. Each session lasted a total of 40 minutes and involved the combined administration of a-tDCS (20-minute online mode) and 40-minute IMITAF training.

- **Home-Based Training (HBT)** made up of 8 treatments carried out at the patient's home. The 8 treatments were divided into 2 weekly sessions spread over the 4 weeks. The total duration of each session was 40 minutes. In this mode, only the IMITAF training was administered to the patient via PC.

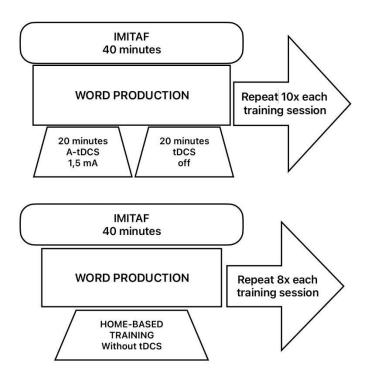


Figure 3. Stimulation protocol with a total duration of 4 weeks, divided into 2 different modes: Clinical Training (CT) and Home-Based Training (HBT).

The stimulation parameters chosen for this experimental design comply with the indications for a safe tDCS use^{42,43,44}.

During the first therapy sessions, Mr. V. reported itching/burning at the site where the anode was placed. In order to monitor pain/discomfort and adverse effects, a Likert-based rating scale (0 to 10) was created where 0 expressed no discomfort and 10 expressed maximum discomfort. During the first neuromodulatory treatment, the patient reported the highest degree of discomfort, which was equal to 10. To meet this discomfort, the operator administered a film of conductive gel between the skin and the electrode. The itching/burning sensation gradually decreased to level 1.

At each treatment session, the 20-minute tDCS was performed along a 20-minute speech treatment. During this phase, the patient was asked to repeat the words shown on the video in the presence of a caregiver who provided bucco-facial feedback to facilitate the repetition of the target word. The electrodes were then removed and the subject completed the remaining 20 minutes of IMITAF, for a total 40-minute treatment.

A total of 102 high-frequency words were presented. During the training Mr. V. observed and repeated words (note: words of the Italian repertoire), such as "Ape", "Bene", "Cane", and words with more complex consonant clusters, such as ""Bravo", "Corpo", "Lingua". The designated facilitator monitored the subject's self-efficacy and motivation.

Results

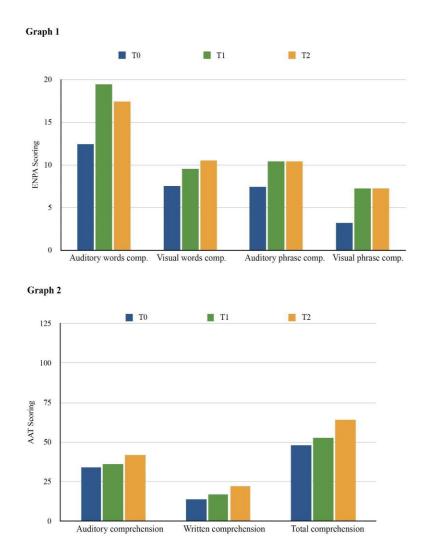
Mr. V. completed all ten treatment sessions in clinical mode without reporting any adverse effects, such as scalp redness, tingling or headaches.

The results of the standardized tests are shown in Graphs 1, and 2. Comparing the raw scores obtained during the baseline assessment (TO) and the post-training assessment (T1), a slight improvement in the auditory/visual words comprehension of or sentences can be seen. In particular, in the ENPA test³⁶ an improvement of 7 points was observed for auditory words comprehension, 2 points for visual words comprehension, 3 points for auditory sentences comprehension and 4 points for visual sentences comprehension. These scores were maintained at the follow-up assessment (T2), one month after treatment.

The improvement obtained was also reflected in the qualitative analysis of the AAT scores³⁷, in which an increase in the score from the post-treatment assessment (T1) to the follow-up (T2) of 8 points for listening and reading comprehension was observed.

Performance on the Attentive Matrices³⁹ and the Test of Attentional Performace (TEA/TAP)³⁸ was examined from pre- to post-treatment to determine whether there was a decrease in performance that could be attributable to the effects of tDCS. Most scores remained stable during the test phases (e.g., TEA/TAP alertness subtest) or increased from pretreatment (TO) to follow-up (T2) (e.g., Attentive Matrices), thus supporting the idea that prolonged and repeated tDCS was not detrimental to these non-linguistic functions.

Secondary outcomes were identified by qualitative observation of the patient during neurobehavioral training. High-frequency words of the IMITAF Level 1 were considered correct when pronounced in the absence of errors or phoneme substitutions. 244 items were presented, ranging from 13 to 40 items per session. Mr. V. repeated a total of 92 items.



Graphs: Mean task accuracy in three test phases (T0, T1, T2). Neuropsychological Examination for Aphasia (ENPA)36; 2. Aachener Aphasia Test (AAT)37.

Discussion

Results show improvement in auditory/visual words/sentences, comprehension of which maintained one month after treatment (Follow-up, T2). Also in qualitative analysis of scores on the AAT test³⁷, an increase in score was observed from the post-training assessment (T1) to the follow-up (T2) for listening and reading comprehension. During the training, the patient demonstrated mastery of some high-frequency-use words, such as "Ape", "Bene", "Cane". However, difficulties in reproducing words with more complex consonant clusters persisted, such as "Bravo", "Corpo", "Lingua". In these cases, the operator provided phonological feedback of the target word to encourage continuation of the task and monitor the subject's self-efficacy and motivation. This aspect could have potentially affected performance during treatment sessions. Literature on aphasia behavioral therapy indicates that intervention approaches including both semantic and phonological cues lead to higher performance than interventions which do not implement this approach⁴⁵.

However, language performance was not found to be significantly better to make the patient's language intelligible, but the acquisition of specific high-frequency-use vocabulary generated: increased awareness, increased communicative skills, and consequent improvement in motivation and quality of life. Furthermore, the observed effect of A-tDCS does not seem to depend on engagement of attention or concentration processes. These results suggest that prolonged and repeated tDCS is not detrimental to these non-linguistic functions. Preliminary results and the current data cannot completely rule out the learning, long lasting effect that occurs as a result of repeated application over time due to the involvement of learning mechanisms and plasticity⁴⁶.

These results further highlight the potential role of tDCS in modulating and facilitating language performance in individuals presenting with poststroke aphasia. Online tDCS is based around the idea that cortical activity might increase if the electrical stimulation is paired to specific language tasks²⁴. We believe that the improvement induced by tDCS may be due to the method's ability to trigger adaptive neuroplasticity in neurological patients. Galletta et al.⁴⁷ identified four main mechanisms: (1) reactivation of canonical networks that have been partially damaged or rendered dysfunctional by brain injury; (2) recruitment of compensatory networks in mostly contralateral homologous cortical regions; (3) additional recruitment of perilesional areas with suboptimal functioning; and (4) inhibition of 'maladaptive' networks⁴⁷. We believe that by using the online AtDCS paradigm on perilesional areas of stroke patient, we could induce reactivation of canonical networks, additional recruitment of perilesional areas. In addition, considering that spontaneous processes of plastic reorganization after stroke decline rapidly to exhaustion in a few months, the combination of tDCS stimulation, could allow to prolong the timeframe within rehabilitation treatment can be positively administered. Evidence demonstrates that intensive rehabilitation is consistently related with increased therapeutic success⁴⁷. A massive practice for short periods of time, as in the case of IMITAF, may be more effective than longer-lasting but less frequent or intensive practice³².

Combined treatment of the two techniques would maximize the rehabilitation effect, as tDCS preactivates damaged neural circuits, making them more responsive to behavioral rehabilitation stimulation through digital devices²⁴. Thus, it appears that tDCS does not directly affect performance, but enhances the skills involved in the task performed⁴⁸. It is important to note that tDCS emerges as an extremely promising technique that is both easy to use and free of side effects when used according to the guidelines^{42,43,44}.

It is acknowledged that these findings are preliminary, and the present data cannot entirely rule out the practice effect. Therefore, future studies should use parallel versions of the same neuropsychological assessments to evaluate cognitive performance pre- and post-stimulation.

Due to the use of a single-case design, the results should be verified in a larger group of participants. Future studies should consider crucial elements, such as the necessity to include large randomized controlled trials to monitor patients' progress over time. However, finding a large homogeneous sample and drawing universal conclusions from the outcome of a study can be complex and often unfeasible. When configuring brain injury treatment, it is important to select the right parameters and stimulation site based on the patient's individual characteristics. Structural imaging from the individual's medical record, such as MRI or CT, can help clinicians better tailor the treatment. Personalised stimulation could therefore optimise the patient's recovery²⁴. Thus, our singlecase study might pave the way to the application of Online A-tDCS combined with individualized speech therapy in patients with aphasia.

Conclusions

In this experimental clinical protocol, A-tDCS was applied in combination with IMITAF computerized neuropsychological training. The intensive treatment, which specifically works on action, observation and imitation, recalls the principles of In particular, language is closely AOT. interconnected with gestures, based on the "motor theory of speech perception"49. Gestures and actions observation can represent a powerful tool in rehabilitation. According to the principles of AOT, observation and imitation of a gesture performed by a third person can promote a faster and more effective recovery of motor impairments⁵⁰ and language deficits^{30,1}. It is therefore possible to suggest that simple systematic and repeated observation of actions may be an effective alternative therapeutic strategy for word retrieval in aphasic patients²⁴. In our single-case study, the behavioral intervention included video presentation of different audiotory/visual tasks using bisyllabic words. After the viewing and listening phase, the subject was asked to imagine the word or action heard and to repeat it³². Together with neuropsychological training, the constant and repeated use of A-tDCS, on the perilesional areas, contributed to improvements in language skills, thus promoting an overall recovery of communicative skills in an individual with chronic post-stroke nonfluent aphasia²⁴. The use of non-invasive brain stimulation techniques to improve performance through methods such as tDCS represents an Relatively attractive prospect. safe and versatile^{42,51}, tDCS is increasingly promoted for motor and cognitive improvement in healthy and clinical populations^{52,53}. Despite a growing scientific literature, the effects of tDCS remain uncertain^{54,55}. In addition to considerable variability in reported effects, studies suggest high inter-individual differences in tDCS response^{56,57}. Examinations of technical factors such as electrode placement^{58,59}, intensities^{60,61}, and stimulation schedule⁶² have not adequately resolved inconsistencies in reported tDCS effects or the wide intra- and inter-individual variability in tDCS response.

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