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REVIEW ARTICLE

Exploring Histologic Emphysema in a Rural Lobectomy Cohort: Insights and a Review

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ABSTRACT

Exposure to cigarette smoking is extensive in rural Appalachia where one in four adults smokes. The clinical implications of this habit are evident among patients with some of the highest national rates for chronic obstructive pulmonary disease (COPD), lung cancer, and pulmonary fibrosis. Individuals undergoing surgical lung resection for suspicious lung nodules or masses at a major rural academic center in the area demonstrated an excessive burden of histologic emphysema (73.5%). This destructive process of the alveoli was linked to a significant burden of comorbid conditions, various radiologic patterns of interstitial lung diseases and interstitial lung abnormalities, histologic fibrosis, inflammatory processes (respiratory bronchitis, desquamative interstitial pneumonia, peribronchiolar metaplasia), anthracosis, and lung cancer. Physiologically, this combination of injuries imposed substantial limitations. Findings presented may enhance the understanding of concurrent changes occurring in the smoker. The complex inter-relationships and disparities between clinical COPD, radiologic and histologic emphysema are defined. While emphysema remains an irreversible pathology, associated inflammatory and fibrotic conditions are possibly amenable to earlier smoking cessation strategies and available disease-modifying therapies.

Keywords: Cigarette Smoking, Emphysema, Fibrosis, Histology, Radiology, Smoking Cessation.

Introduction

Emphysema, a histologic subtype of chronic obstructive pulmonary disease (COPD), is characterized by abnormal, permanent enlargement of air spaces distal to the terminal bronchioles with destruction of their walls¹. Despite being defined by changes to lung parenchyma, invasive biopsy for diagnosis is almost never used. Instead, surrogates like documenting noxious exposure, spirometry-based airflow obstruction, and often CT chest imaging are employed. These diagnostic approaches may fail to catch asymptomatic patients with early emphysema, making characterization of early disease challenging.

Identifying a single risk factor for multiple chronic lung injuries, as with cigarette smoke-induced lung diseases, poses a challenge for clinicians. Despite being the primary risk factor, the prevalence of COPD, pulmonary fibrosis, and lung cancer vary significantly in smokers^{2,3}. Shared mechanisms of injury starting with cigarette smoke-induced inflammation may lead to distinct phenotypic presentations in patients, influencing the clinical management approach for each chronic lung ailment. However, this approach becomes challenging when proven therapeutics show an inadequate response, raising suspicion of alternative diagnoses or simultaneous pathology remains elusive.

To unravel the paradox of parallel chronic lung injuries associated with cigarette smoking, we examined findings from a lung resection cohort presenting with pulmonary nodules/masses. We describe correlations between histologic emphysema with diverse clinical, physiologic, radiologic, and pathologic findings and conduct a comprehensive review

of the available literature on the relationships between emphysema and other smoking-related injuries.

Materials and Methods

Study design: After obtaining the approval of the institutional review board, we retrospectively identified thoracic oncology clinic patients (n=381) who underwent lung resection for suspicious lung nodules/masses at WVUH between January 1, 2017 to December 31, 2020. Electronic medical records were reviewed to collect data regarding demographics, smoking and exposure profile, comorbidities, and pulmonary function tests (PFTs). Detailed methodologies, encompassing radiology and pathology case definitions, and inclusion/exclusion criteria were outlined elsewhere^{4,5}. In this investigation, we explored clinical, physiologic, radiologic, and pathologic correlations of histologic emphysema.

Statistical analysis: Standard descriptive statistical measures were applied, including frequencies for categorical variables and means±standard deviations (SD) for continuous variables. Differences between the groups were assessed using Chi-square or Fisher exact test for categorical variables and Student's t-test for continuous variables. Two-tailed tests of significance were utilized, with a significance level set at $p < .05$.

Results and Discussion

In the study cohort, 73.5% (n=280) and 26.5% (n=101) demonstrated the presence and absence of histologic emphysema, respectively. Baseline characteristics including demographics, smoking habits, occupational exposures, comorbidities, and mortality data

were presented in Table 1. Radiological and pathological findings of the groups were described in Table 2. The clinical, radiologic, and histologic impact of smoking cessation was demonstrated in Table 3 with groups of current smokers (40.3%) and former smokers (47.5%). For ex-smokers, the median duration since smoking cessation was 9 years. Figure 1 presents various PFT measures between the groups with and without histologic emphysema. Lastly, Figure 2 provides the complex inter-relationship between different modalities of diagnosis consisting of histologic emphysema (73.49%), radiologic emphysema (84.25%) and clinical COPD (58.06%).

1. EMPHYSEMA AND CIGARETTE SMOKING

Cigarette smoking (CS) is the major recognized risk factor for emphysema. Mechanistic pathways are thought to possibly include reactive oxygen species and inflammatory oxidative stress-induced cell apoptosis⁶. Smoking rates in rural areas, including the Appalachian region, are notably higher than in urban areas, with a prevalence of 33% which is more than double the national average⁷. Our study revealed that patients with histologic emphysema were more likely to be current smokers (47.5% vs. 21.8%, $p < .001$), with higher daily cigarette consumption (25.06 ± 13.88 vs. 13.89 ± 12.64 , $p = 0$) and longer smoking duration (38.94 ± 14.10 vs. 22.17 ± 20.04 , $p = 0$), resulting in greater total pack years (51.47 ± 33.84 vs. 23.42 ± 26.20 , $p = 0$, Table 1). Consequently, the prevalence of COPD in rural areas, including Appalachia, is alarmingly high^{8,9}.

Historical autopsy studies have confirmed a dose-dependent relationship between smoking and emphysema¹⁰. In our rural cohort, we demonstrated a similar response

between cigarette smoking and emphysema, including centrilobular and para-septal subtypes⁴. Both duration and intensity of smoking are strongly associated with development of emphysema however, duration of smoking has been implicated significantly more^{11,12}. Our findings suggest that histological emphysematous changes may precede radiographic detection, beginning with early smoking exposure of more than 10 pack years⁴.

2. EMPHYSEMA AND COMORBID CONDITIONS

Emphysema, as part of COPD often presents with other comorbidities. Various catabolic factors contribute to declining BMI and muscle mass¹³. The severity of emphysema inversely correlates with the body mass index (BMI) with lower BMI values being associated with progression and poorer prognosis¹⁴⁻¹⁶. In our rural Appalachian cohort, patients with histological emphysema exhibited significantly lower BMI compared to those without emphysema (27.71 ± 6.84 kg/m² vs. 30.20 ± 6.87 kg/m², $p = .001$, Table 1). Despite lower BMI in emphysema patients in our rural population, it was higher than reported in previous studies, possibly influenced by higher obesity rates in rural Appalachia^{16,17}. Emphysema patients often have multiple comorbidities due to shared risk factors. Common comorbidities in COPD patients include hypertension, obesity, hyperlipidemia, gastroesophageal reflux disease (GERD), and coronary artery disease (CAD)¹⁸. An increased burden of comorbidity has been associated with higher mortality, hospital readmission, and health expenditures¹⁹⁻²¹. In rural areas, the impact of comorbidities on emphysema patients is not well-studied, emphasizing the

need for further population-based research in these regions.

3. EMPHYSEMA AND MONOCYTE INTERACTION

Smoking one cigarette introduces 10-40 mg particulate matter, suspended in a gas, into the respiratory tract. With a mean particle size approximating 0.1 μm , the particles will deposit in the distal airways and the alveolar region²². Monocytes, myeloid-derived blood cells, play a crucial role in the human body's innate and adaptive immune systems²³. These cells can contribute to early inflammatory responses by transforming into classical CD14⁺⁺CD16⁻ subtypes or expanding into non-classical CD14^{DIM}CD16⁺ subtypes. Alveolar macrophages (AM), as sentinel cells, initiate and resolve immune responses against microbes and inhaled particles. Cigarette smoke exposure has been found to dysregulate AM functions, influencing recruitment, phenotype, immune function, and homeostatic roles²⁴.

In response to injury, AM can express two polarized states: M1, a pro-inflammatory phenotype, or M2, an anti-inflammatory phenotype, depending on the local environment^{24,25}. While resident lung macrophages were traditionally considered self-renewing²⁶⁻²⁸, recent literature supports the extravasation of circulating monocytes into the lungs and their differentiation to macrophages in response to ongoing inflammation²⁹⁻³¹. Following infection or inflammatory stimuli like cigarette smoke, the production of "non-classical" CD14^{DIM}CD16⁺ monocytes (5-8% of blood monocytes) substantially increases³²⁻³⁴, allowing them to migrate into the lungs, initiate monocyte-to-

macrophage programs, and undergo macrophage maturation/polarization³⁵⁻³⁸.

Patients with severe COPD show a considerable elevation in circulating monocytes, particularly non-classical monocytes³⁹. Elevated blood monocyte counts are correlated with comorbid conditions, including chronic respiratory disorders⁴⁰. An increased monocyte count is reported as a marker for the risk of acute exacerbation of COPD.⁴¹ In our rural lobectomy patient cohort, individuals with emphysema exhibited significantly higher absolute (0.69 ± 0.20 vs. $0.61 \pm 0.22 \times 10^2/\mu\text{L}$, $p < .001$) and percentage (9.18 ± 2.32 vs. 7.48 ± 2.42 , $p = 0$) monocyte counts compared to those without emphysema (Table 1). We have previously demonstrated a positive linear correlation between percentage monocyte, cigarette pack years (CPY), and the accumulation of radiologic and histologic lung injuries, including emphysema⁴. A dose-dependent relationship between smoking and monocytosis has also been reported in a large observational cohort⁴². The observed dose-dependent link between CS, peripheral monocytosis, and the progressive concentration of emphysematous changes over time underscores the significant role of monocytes in the pathogenesis and progression of lung injuries. Additionally, in our rural cohort, a higher absolute monocyte count was associated with increased mortality⁴, supporting previous associations between higher monocyte counts and poor prognosis in chronic lung diseases⁴³⁻⁴⁷.

Table 1: Baseline characteristics of cohort

Variables % or mean±SD	Group with histologic emphysema (n=280, 73.5%)	Group without histologic emphysema (n=101, 26.5%)	p-value
Demographics			
Age, years	66.70±9.03	64.11±12.38	ns
Body mass index (BMI), kg/m ²	27.71±6.84	30.20±6.87	.001
Male	46.4	37.6	ns
Smoking behavior			
Never smoker	4.6	32.7	0
Current smoker	47.5	21.8	<.001
Ex-smoker	47.9	45.5	ns
Years since smoking cessation	10.21±12.62	14.45±16.48	ns
Cigarette smoked per day	25.06±13.88	13.89±12.64	0
Duration of smoking	38.94±14.10	22.17±20.04	0
Composite pack years	51.47±33.84	23.42±26.20	0
Exposures			
Coal	8.6	5.9	ns
Silica	3.9	3.0	ns
Asbestos	8.9	1.0	ns
Comorbidities			
COPD	66.4	38.6	<.001
Hypertension	68.6	70.3	ns
Hyperlipidemia	60.0	55.4	ns
Coronary artery disease	37.5	29.7	ns
GERD	41.1	39.6	ns
Peripheral arterial disease	14.6	5.9	.021
Non-lung cancer malignancy	10.0	13.9	ns
Hypoxemic respiratory failure	16.4	6.9	.018
Labs			
Absolute monocyte, x10 ² /μL	0.69±0.20	0.61±0.22	<.001
Percentage monocyte	9.18±2.32	7.48±2.42	0
Mortality	23.2	15.8	ns

Abbreviations: COPD= chronic obstructive lung disease, GERD= gastro-esophageal reflux disease, ns= non-significant

4. EMPHYSEMA AND ITS PHYSIOLOGICAL EFFECTS:

Emphysema induces numerous changes in various indices of PFTs, yet it's crucial to

recognize the low sensitivity of PFTs in diagnosing early-stage emphysema. Over one-fourth of patients may exhibit structural emphysema before a significant decline in

PFTs is evident⁴⁸. As a result of its being a heterogeneous disease with varying severity in different lung regions, emphysema can lead to changes in regional function that are not effectively captured by PFTs⁴⁹.

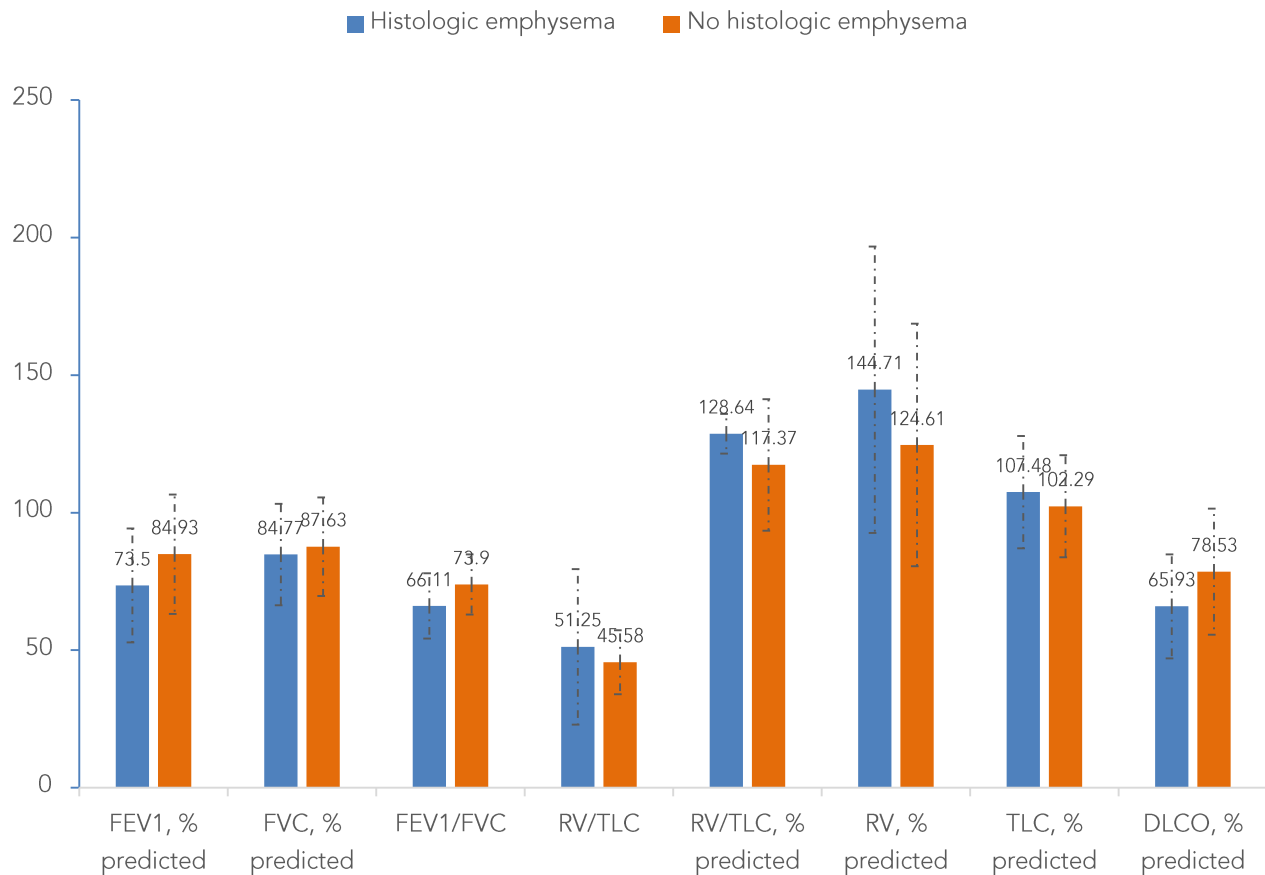
The primary physiological feature in emphysema is expiratory flow limitation due to the destruction of alveoli and small airways. This can impact all three components of PFTs—spirometry, lung volumes, and diffusion capacity for carbon monoxide (DL_{CO}). The classic emphysema pattern includes airflow limitation (reduced forced expiratory volume in one second (FEV_1)/forced (vital capacity (FVC) ratio and reduced percentage predicted FEV_1), air trapping/hyperinflation (elevated residual volume (RV) and/or elevated total lung capacity (TLC), and diffusion impairment (reduced DL_{CO}). These PFT changes are known to occur in a sequential manner, the obstructive ventilatory limitation manifesting earlier, followed by hyperinflation and diffusion impairment^{50,51}.

a. Changes in Spirometry: Our rural lobectomy patients with histologic emphysema exhibited significantly greater airflow obstruction relative to those without histologic emphysema (ratio FEV_1/FVC : 66.11 ± 11.84 vs. 73.90 ± 10.92 , $p=0$ and lower percentage predicted FEV_1 : 73.50 ± 20.71 vs. 84.93 ± 21.70 , $p < .001$) (Figure 1). The current COPD definitions involve respiratory symptoms and fixed obstructive ventilatory impairment on forced spirometry, but these criteria may misdiagnose approximately 10% of patients with radiologic emphysema⁵². Phenotypic differentiation of COPD patients has provided improved management strategies in recent years^{53,54}. While greater severity of radiologic emphysema correlated

with spirometry limitation^{52,55}, but a simplistic predictive ability of respiratory symptoms in addition to a reduced ratio (FEV_1/FVC) has been demonstrated for the same endpoint⁵⁴.

b. Changes in Lung Volumes: Small airways injury in emphysema causing flow limitation during expiration leads to air trapping, often termed as hyperinflation of lungs. Multiple PFT indices, including RV, TLC, and RV/TLC ratio, can reflect hyperinflation⁵⁶ and abnormally increased values (% predicted RV: 144.71 ± 52.05 vs. 124.61 ± 44.12 , $p=.040$, % predicted TLC: 107.48 ± 20.43 vs. 102.29 ± 18.57 , $p=.001$, and % predicted RV/TLC: 128.64 ± 27.23 vs. 117.37 ± 23.88 , $p=.001$) were observed in our cohort in patients with histologic emphysema relative to those without histologic emphysema (Figure 1). An increased number and area of pores of Kohn in smokers' lung tissue are postulated to contribute towards larger residual volume⁵⁷. A percentage predicted ratio RV/TLC $>126\%$ (by body plethysmography) has been proposed as a possible threshold value for hyperinflation⁵⁸. Hyperinflation contributes to increased airway resistance, reduced lung elastic recoil and expiratory time, and symptoms of dyspnea and limited exercise tolerance^{59,60}. Resting hyperinflation (i.e. an elevated RV/TLC ratio) in association with radiologic emphysema were demonstrated to predict the risk for the exacerbation and mortality in COPD patients⁶¹.

Figure 1: Pulmonary function tests in groups with and without histologic emphysema



Significant difference ($p < .05$) between the groups existed for all measures except % predicted forced vital capacity.

c. Changes in Diffusion Capacity: DL_{CO} , a functional measure of alveolar-capillary gas exchange, predicts exercise capacity decline in emphysema patients⁶². In our rural cohort, percentage predicted DL_{CO} (65.93 ± 18.94 vs. 78.53 ± 22.89 , $p = 0$) was significantly reduced in patients with histologic emphysema relative to those without histologic emphysema (Figure 1). Histologic studies evaluating emphysema have shown a significant association with both alveolar unit destruction and reduction in DL_{CO} .⁵⁷ The burden of radiologic emphysema, particularly the centrilobular subtype, was associated with an accelerated decline in DL_{CO} .⁶³ Clinically, these patients have worsening exercise capacity and increased mortality^{62,63}. Lastly,

diffusing capacity strongly predicted all-cause mortality in individuals with COPD, independent of radiographic emphysema^{64,65}.

5. EMPHYSEMA AND INTERSTITIAL LUNG ABNORMALITIES:

Interstitial Lung Abnormalities (ILAs) are viewed as precursor lesions that may evolve into early pulmonary fibrosis⁶⁶. They are defined as incidental findings on CT chest scans in non-dependent lung regions affecting over 5% of any lung zone⁶⁷. Notably, cigarette smoking is a recognized risk factor for ILAs^{5,66,67}. ILAs are categorized based on distribution and the presence of fibrosis, including subpleural nonfibrotic or subpleural fibrotic. Radiographic features of ILAs can

encompass ground-glass opacities, reticular abnormalities, non-emphysematous cysts, lung distortion, traction bronchiectasis/bronchiolectasis, and honeycombing⁶⁷.

Given their shared risk factor of cigarette smoking, it is reasonable to anticipate a coexistence of emphysema and ILAs and that they may predict each other's presence. In our study of rural patients undergoing lobectomy for suspicious nodules, ILAs were highly prevalent (35.2%), with subpleural reticulation (fibrotic ILA) being the most common finding. The study also demonstrated that diagnoses of COPD, radiographic emphysema, and histologic emphysema predicted the presence of ILA/ILD in our smoking cohort⁵. In a large population cohort, a significant association between ILAs and paraseptal emphysema was observed⁶⁸. When comparing patients with or without histological emphysema, there was no difference in the prevalence of any ILA patterns (44.6% vs. 41.6%, $p=.595$) (Table 2). However, mixed centrilobular ground glass opacities (CL-GGO) and subpleural reticulation (20.0% vs. 8.9%, $p=.013$) and isolated traction bronchiectasis/bronchiolectasis (26.8% vs. 16.8%, $p=.045$) demonstrated significantly higher prevalence in patients with histologic emphysema (Table 2).

Studies evaluating the association between subclinical ILAs and radiologic emphysema have yielded discordant results. An earlier study by Washko et al. demonstrated an inverse relationship with emphysema, with a prevalence of 8% in the COPD gene cohort⁶⁹. However, they excluded regions with radiographic emphysematous changes, which may include have included smoking-related interstitial fibrosis. These investigations lacked

histologic confirmation for ILAs, and a large proportion of patients met criteria for "indeterminate" ILA^{70,71}. Recent studies have suggested that ILAs can have a higher prevalence in patients with COPD/emphysema than the general population (up to 40.5%)⁷²⁻⁷⁴. Moreover, we previously established an association of mixed CL-GGO and subpleural reticular ILA pattern with histologic evidence of fibrosis⁵. Patients undergoing lobectomy for lung cancer reported a higher prevalence of radiographic interstitial changes and showed a correlation with the histologic pattern of smoking-related interstitial fibrosis (SRIF) with emphysema⁷⁵.

The co-occurrence of ILAs in emphysema can have several important clinical implications. These patients were likely to experience excessive respiratory symptoms and limited exercise capacity (reduced 6-minute walk distance; 6MWD)⁷³. Among COPD patients, the coexistence of ILAs has been linked with enhanced disease severity and hospital admissions with frequent exacerbations^{72,76}. ILAs can modify PFT changes, with studies reporting a differential impact on spirometry and lung volumes, likely reflecting the pseudo-normalization of PFTs from opposing effects of obstructive (emphysema) and restrictive ventilatory impairments (ILAs, associated fibrosis) which warrants further exploration^{73,77}. An accelerated decline in diffusion capacity has been increasingly observed among patients with co-existing emphysema and ILAs^{5,73,76}, suggesting the potential utility of reduced DL_{CO} as an additional early diagnostic endpoint.

6. EMPHYSEMA AND FIBROSIS:

Rather than simply resulting from a destructive process, emphysematous lung

tissue is characterized by active remodeling involving extracellular matrix synthesis, apoptosis, and alveolar cell proliferation⁷⁸. Coexisting interstitial fibrosis is frequently observed in emphysema patients given the robust relationships with risk factors of cigarette smoking and older age for both conditions^{79,80}. Recognition of their overlapping clinical, physiological, and radiological features has led to the identification of a distinct syndrome known as combined pulmonary fibrosis emphysema (CPFE)⁸¹. Additionally, patients with connective tissue diseases often exhibit coexisting fibrotic interstitial lung disease (ILD) and emphysema, suggesting a complex susceptibility of fibrotic patients to develop emphysema⁸². Despite longstanding awareness of the co-occurrence of these distinct pathophysiologic processes^{83,84}, a lack of clear definitions and a spectrum of morphologic and radiologic variants has hindered understanding. Recent efforts now allow a general definition of the CPFE syndrome⁸⁵.

Our heavily smoking rural cohort demonstrated a significant presence of radiologic ILDs (22.9% vs. 6.9%, $p < .001$) and histologic fibrosis (27.5% vs. 15.8%, $p = .019$) and honeycombing (3.9% vs. 0, $p = .042$) in patients with histologic emphysema. The most common incidental radiologic ILD patterns reported were CPFE and respiratory bronchiolitis (RB)-ILD. In addition, the presence of fibrosis twice more likely to predict emphysema in resected lung specimens⁵.

Initial diagnostic criteria for CPFE focused on clinical, physiological, and HRCT patterns⁸¹. However, a more recent statement supports heterogeneous smoking-related patterns of histologic fibrosis (most commonly usual

interstitial pneumonia (UIP), RB, SRIF, Langerhans cell histiocytosis (LCH), and desquamative interstitial pneumonia (DIP) coexisting with emphysema⁸⁵. Despite the classic definition of histologic emphysema definition mentioning permanent enlargement of distal airspaces with the destruction of walls and a lack of obvious gross fibrosis⁸⁶, it is evident that some degree of fibrosis accompanies emphysema at the tissue level⁸⁷. To address this concept, classifying fibrotic changes with emphysema based on their clinical importance was suggested. Such fibrosis could include diffuse fibrotic changes (such as UIP and nonspecific interstitial pneumonia (NSIP), which may have significant clinical and functional consequences) vs. localized, clinically occult fibrotic changes (such as RB, RB-ILD, RB-ILD with fibrosis, airspace enlargement with fibrosis (AEF), and SRIF)⁸⁸. Interestingly, they advise against reporting localized fibrosis seen incidentally on lung specimens. Conversely, clinically meaningful outcomes were associated with this form of localized fibrosis in smokers' lungs, prompting the need for further explorative studies⁸⁹⁻⁹¹. Additionally, emphysema was observed to occur in lung zones other than the apices and often admixed with fibrosis or sometimes replaced by thick-walled cysts⁹². The presence of thick-walled large cysts may represent a unique radiologic and histologic finding of CPFE^{75,93}. These cysts (>2.5 cm in diameter) tend to locate near the respiratory bronchiole with extension into one or more acini and have dense fibrous walls. The thick-walled cysts variant of CPFE was observed to have a greater extent of emphysema⁹³, and it is proposed that the cysts result from tractional dilatation of alveoli

(i.e., traction emphysema) within areas of fibrosis⁸⁵.

Along with sharing an excessive burden of chronic comorbidities of COPD, CPFE predominantly affects elderly heavy smoking males^{85,94}. CPFE patients were noted to have severe dyspnea on exertion, greater supplemental oxygen requirements, higher physician-led diagnosis of COPD, and half of the patients being prescribed inhaled bronchodilator therapy^{95,96}. The traditional impact of CPFE on PFTs was known for its relative preservation of spirometry, lung volumes, and an isolated out-of-proportion decline in diffusion capacity⁸⁵. Patients with CPFE confirmed greater impairment of diffusion capacity compared to groups with idiopathic pulmonary fibrosis (IPF) and emphysema alone⁹³. A reduced percentage predicted DL_{CO} at the time of diagnosis was a significant predictor of CPFE in a rural Appalachian heavy smoking cohort⁹⁴. Tobacco smoking in ILD was associated with the CPFE phenotype, with quicker lung function decline compared to non-smoking ILD patients⁹⁷. Recent meta-analyses showed that CPFE patients were seven-fold more likely to develop lung cancer compared to those without CPFE, with squamous cell cancer being the most common subtype⁹⁸. Similarly, compared to IPF without emphysema, CPFE was twice more likely to develop lung cancer⁹⁹. Moreover, these patients experienced increased post-operative complications, including respiratory failure, prolonged mechanical ventilation, and the need for home oxygen¹⁰⁰. Post-transplant complications, such as primary graft dysfunction, acute cellular rejection, and chronic lung allograft dysfunction, were more

prevalent in CPFE compared to IPF transplant recipients¹⁰¹. Given the notable vascular remodeling in lung tissues affected by both emphysema and fibrosis, 15-55% of CPFE patients reported having pulmonary hypertension (PH)^{85,102}. A recent meta-analysis demonstrated a three-fold increased incidence of PH in CPFE compared to patients with emphysema alone¹⁰³. The median survival for CPFE was reported between 2.1 and 8.5 years, with a 5-year survival ranging from 38-55%⁹⁵. CPFE likely predicts worse survival due to the presence of greater extents of fibrosis and emphysema; however, these estimates on mortality varied due to the heterogeneous population studied and inconsistent follow-up time^{85,94}.

7. EMPHYSEMA AND HISTOLOGIC CHANGES OTHER THAN FIBROSIS:

Accompanying histological findings seen in lung tissue of emphysema patients relative to those without histologic emphysema from our cohort included 1) anthracosis (69.3% vs. 40.6%, $p < .001$), 2) RB (17.5% vs. 4.0%, $p < .001$), 3) DIP (8.2% vs. 1.0%, $p < .001$), and 4) peribronchiolar metaplasia (12.5% vs. 0, $p = 0$) (Table 2).

Table 2: Radiological and histological findings of the cohort

Variables % or mean±SD	Group with histologic emphysema (n=280, 73.5%)	Group without histologic emphysema (n=101, 26.5%)	p-value
Radiology findings, %			
Any ILD patterns	22.9	6.9	<.001
Any ILA	44.6	41.6	ns
a. CL-GGO	12.5	11.9	ns
b. SPR	14.3	20.8	ns
c. mixed a+b	20.0	8.9	.013
d. non-emphysematous cysts	7.1	4.9	ns
Isolated traction bronchiectasis/bronchiolectasis	26.8	16.8	.045
Isolated honeycombing	2.5	2.0	ns
Histology findings, %			
Fibrosis	27.5	15.8	.019
Honeycomb changes	3.9	0	.042
Anthracosis	69.3	40.6	<.001
RB	17.5	4.0	<.001
DIP	8.2	1	<.001
PBM	12.5	0	0
Lung cancer	88.6	79.2	.019

Abbreviations: CL-GGO= centrilobular ground glass opacities, DIP= desquamative interstitial pneumonia, ILA= interstitial lung abnormalities, ILD= interstitial lung disease, ns= non-significant, PBM= peribronchiolar metaplasia, RB= respiratory bronchiolitis, SPR= subpleural reticulation.

a. Emphysema and Anthracosis:

Lung injury after smoking can correlated with exposure to particle¹⁰⁴. Smoking one cigarette exposes the human respiratory tract to a remarkable mass of particle (15,000-40,000 µg). The lungs of cigarette smokers reveal enormous numbers of both intracellular (most frequently being in macrophages) and extracellular particle. Cells become anthracotic with a heavy burden of carbonaceous material described to be brown or black in color. Focal emphysema is an early

lesion involving the branches of the terminal bronchiole. This focal emphysema is associated with the observation of anthracosis^{105,106}. Centrilobular emphysema following smoking originates in areas of “parenchymal soot deposits”, immediately adjacent to retained particle, and severity is dependent on the total quantity of particle^{104,107}. In these emphysematous foci, there is a close relationship with particles suggesting a possible participation in the destructive process leading to injury.

b. Emphysema and RB:

The greatest deposition of cigarette smoke particle (CSP, mean diameter of about 0.2-0.5 μm) occurs in the 16th to 19th generations of airways¹⁰⁸. Accordingly, RB occurs in a large number of smokers¹⁰⁹. This inflammatory response is characterized histologically by an accumulation of brownish- to blackish-pigmented macrophages (i.e. smokers' macrophages) in the respiratory bronchioles (i.e. that region with the greatest CSP deposition) and a chronic interstitial inflammatory infiltrate in the bronchiole¹¹⁰. This is either synonymous with or can progress to include interstitial fibrosis and hyperplasia of the overlying alveolar epithelial cells. These morphologic findings are frequently employed in the description of RB-ILD. Both emphysema and RBILD are almost exclusively associated with smoking. Subsequently, foci of emphysema are commonly observed in patients with RB and RB-ILD^{111,112}.

c. Emphysema and DIP:

Continued exposure to cigarette smoke can lead to greater particle deposition in the alveolar region, triggering an inflammatory response consistent with DIP. This is characterized by numerous pigmented macrophages within the distal airspaces of the lung¹¹³. The majority of patients with this lung injury are smokers¹¹⁴. While the feature that differentiates RB from DIP is the distribution and extent of macrophage accumulation (that is bronchiolocentric in the former and more diffuse in the later), there are no reliable histologic features to distinguish the two inflammatory responses with certainty and they can be considered different phases of a single response¹¹⁵. Emphysema has been demonstrated in patients with DIP¹¹⁶, likely

reflecting the shared pathways of pathogenesis following smoke exposure.

d. Emphysema and Peribronchiolar Metaplasia: Cigarette smoke-induced lung injury also includes peribronchiolar metaplasia, seen as a simple columnar and cuboidal bronchiole epithelium in adjacent alveolar walls. This phenomenon is associated with other smoking-related lung diseases (RB and DIP)¹¹⁷. Peribronchiolar metaplasia on pathologic examination of lung tissue is also increased in patients with either radiographic or histologic evidence of emphysema¹¹⁷. The response to inflammatory injury after smoking includes a diffuse epithelial metaplasia, a prominent feature of bronchopulmonary metaplasia¹¹⁸. These cells can exhibit surfactant and mucin production reflecting a primitive epithelial phenotype, a consequence of exposure to cigarette smoke with repair^{118,119}. The co-existence of emphysema and peribronchiolar metaplasia supports further examination of this relationship in an attempt to understand the chronology of events.

8. EMPHYSEMA AND LUNG CANCER:

Lung cancer stands as a leading cause of cancer-related mortality and morbidity¹²⁰. Emphysema frequently emerges incidentally during CT chest assessments for lung cancer screening, coronary disease risk stratification, or trauma. Both visual and quantitative CT evaluations of radiologic emphysema reveal an elevated risk of developing lung cancer, correlating with regional emphysema severity¹²¹⁻¹²³. Notably, the centrilobular emphysema subtype exhibits a significant association with lung cancer¹²². Among patients with emphysema, many progress to COPD, and a reported <10% decrease in FEV₁ reflects a three-fold increase in lung cancer

risk, particularly in women¹²⁴. Concurrent risk factors, such as smoking, inhalational toxin exposure, and reduced alpha-1 antitrypsin levels, further elevate the likelihood of developing lung cancer in these individuals^{120,122,125}.

Emphysema's presence in lung cancer significantly influences long-term survival. A substantial cohort study by Oelsner et al. revealed an increased incidence of mortality due to respiratory disease and lung cancer among patients with radiologic emphysema¹²⁶. The degree of airflow obstruction and the severity of emphysema play pivotal roles in determining the surgical treatment approach (lobectomy, segmentectomy) for lung cancer patients. Furthermore, emphysema is associated with a higher risk of postoperative complications and recurrence^{127,128}.

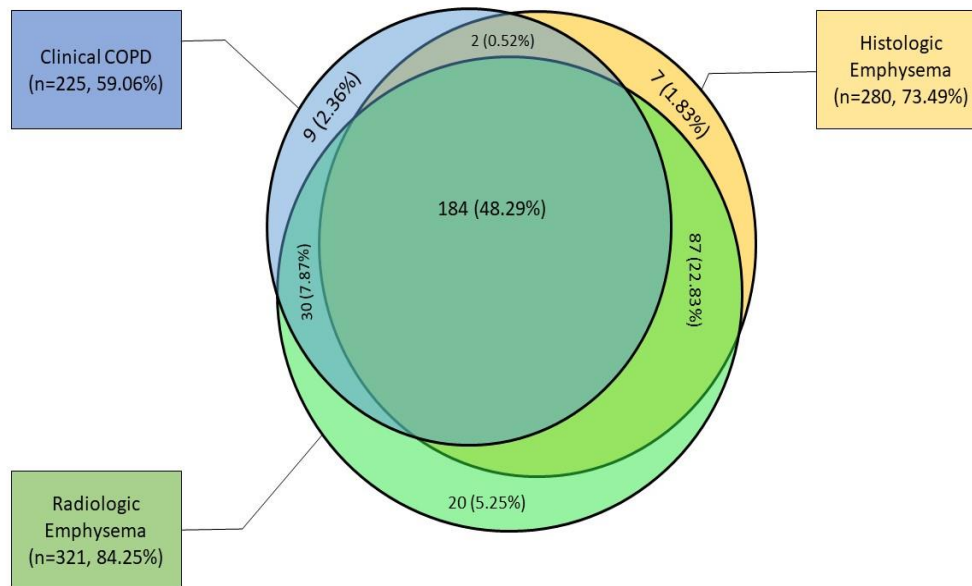
Cigarette-smoke-induced chronic inflammation, airway epithelial hyperplasia, and apoptosis are argued to represent simultaneous lung injury and aberrant repair, akin to two sides of a coin¹²⁹. Alterations in the tumor microenvironment, including matrix metalloproteinase (MMP), are observed in non-small cell lung tumors arising from emphysematous lungs, suggesting a potential biological link to the clinicopathological aggressiveness of these cancers.^{130,131} The upregulation of Transforming Growth Factor (TGF)- β known to regulate essential cellular processes for lung homeostasis, has been associated with major pulmonary diseases, including emphysema, pulmonary fibrosis, and lung cancer¹³².

9. HISTOLOGIC EMPHYSEMA, RADIOLOGIC EMPHYSEMA, AND CLINICAL COPD:

Understanding the intricate relationship among histologic emphysema, radiographic

emphysema, and their manifestation as clinical COPD is complex. While one might assume these entities commonly coexist, they also have the potential to exist independently. In our rural cohort (Figure 2), clinical COPD was identified in 58.06% (n=225) of patients, while a higher number exhibited radiographic emphysema (84.25%, n=321) and histologic emphysema (73.49%, n=280). Collectively, 48.29% (n=184) of patients presented with all three entities. Individually, 2.36% (n=9) had only clinical COPD, 5.25% (n=20) had only radiographic emphysema, and 1.83% (n=7) had only histologic emphysema. Radiologic emphysema demonstrated a stronger positive correlation with histologic emphysema compared to clinical COPD diagnosis ($r^2=0.58$, $p<.0001$ vs. $r^2=0.36$, $p<.0001$). HRCT imaging has proven sensitive for detecting low-attenuation areas corresponding to histologic emphysema. Similarly, large cohort studies indicate that radiologic emphysema proportionately increases with GOLD class severity^{133,134}. Furthermore, the greater severity of radiographic emphysema is associated with higher mortality¹³⁵.

Figure 2: Relationship between histologic emphysema, radiologic emphysema, and clinical COPD



The cohort distribution is illustrated across three domains: histologic emphysema, radiologic emphysema, and clinical COPD. Radiologic emphysema (84.2%) is the most prevalent, followed by histologic emphysema (73.5%) and clinical COPD (59.1%). About half of the cohort exhibits abnormalities in all three domains, with 22.8% having both radiologic and histologic emphysema, and 7.9% showing overlapping radiologic emphysema and clinical COPD. This highlights the relationships between these domains, emphasizing that radiologic emphysema is the most sensitive method for detecting lung parenchymal changes, correlating more with histologic changes than clinical COPD diagnosis.

Diagnosing clinical COPD involves respiratory symptoms and evidence of airway obstruction on spirometry¹³⁶. However, the correlation between spirometry and radiographic emphysema is generally weak¹³⁷. In a COPD Gene study, 26% of patients exhibited significant radiographic emphysema or signs of air-trapping without spirometric abnormalities¹³⁸. Emphysema on radiography may not correlate with airflow limitation, but there is a correlation between the severity of lung abnormalities and spirometry parameters without reaching COPD diagnosis cutoffs¹³⁹. Similarly, 30% of smokers with normal spirometry showed some degree of emphysema on HRCT¹³⁴. Clinical COPD is highly heterogeneous, lacking reliable correlation of airflow limitation measures with symptoms, exercise capacity, and disease

course. These findings underscore the importance of ongoing emphasis on smoking cessation rather than relying solely on normal spirometry for reassurance¹³³. Conversely, COPD can manifest as an airway-predominant phenotype, i.e., chronic bronchitis, without significant radiographic emphysema⁵⁴. Distinguishing between these phenotypes is crucial, as management strategies differ. Patients with airway disease without emphysema tend to be more responsive to inhaled corticosteroids and bronchodilators, while those with predominant emphysema may be candidates for surgical or procedural interventions to reduce lung volumes^{54,140}.

Similar to radiographic emphysema, histopathologic emphysema can correlate poorly with lung function parameters¹⁴¹. As

illustrated, histopathologic emphysematous changes can occur without evidence of clinical COPD or radiographic emphysema. This poor correlation likely arises from emphysema's heterogeneity, with pulmonary function and CT scans evaluating the organ as a whole, while histologic evaluation involves a portion of the lung. In our cohort, surgical lung resection included both upper and lower lobes, and emphysema known to occur predominantly in upper lobes. This offers a plausible explanation for these discrepant findings. We observed histologic emphysema as early as 10 pack-years, challenging the traditional 20-pack-year cutoff for detecting clinical COPD syndrome.

10. EMPHYSEMA AND SMOKING CESSATION:

The cessation of smoking significantly impacts the course of COPD, demonstrating well-established benefits over decades, such as relieving respiratory symptoms, enhancing lung function, improving the efficacy of standard therapeutics, reducing exacerbation rates, and decreasing mortality, and comorbid conditions¹⁴². While limited histologic changes have been evaluated post-smoking cessation, earlier studies indicated reversibility in goblet cell hyperplasia. However, fibrosis in distal airways, pigment deposition, and end-stage alveolar destruction, such as emphysema, demonstrate some persistence^{143,144}.

In our lobectomy cohort, former smokers exhibited significantly reduced rates of histologic emphysema (86.2% vs. 74.9%, $p=.010$), RB (22.4% vs. 9.5%, $p=.001$), and DIP (11.8% vs. 2.8%, $p=.001$), but not for fibrosis (25.0% vs. 27.4%) or anthracitic pigment deposition (65.1% vs. 65.4%) (Table 3). Current smoking prevalence was higher in

emphysema patients according to 2017 National Health Interview Survey data. We previously demonstrated that current smoking status independently predicts histologic RB and emphysema.⁴ Evidence of microscopic emphysema and anthracosis began with as little as ten-pack years of smoking, with continued smoking promoting its accumulation over time as the predominant histologic abnormality. RB and DIP are considered part of a spectrum of smoking-related inflammatory lung parenchymal changes, with smoking cessation viewed as the cornerstone of treatment¹⁰⁹.

Alternatively, with the widespread use of CT chest scans, several studies confirm the persistence of radiologic emphysema even after smoking cessation, with ongoing progression in current smokers^{134,145-147}. Current smokers in our study exhibited excessive rates of radiologic emphysema (97.4% vs. 91.6%, $p=.025$), including the centrilobular subtype (90.1% vs. 81.0%, $p=.019$) (Table 3). Rates of radiologic ILD patterns did not differ based on current smoking status (25.0% vs. 18.4%, $p=.147$, Table 3). Recent quantitative volumetric CT scans indicated a time-dependent reduction in lung density post-smoking cessation, corresponding to a reversal of smoke-induced inflammatory sequelae within the first two years^{148,149}. Ex-smokers from our cohort did not show a significant difference in spirometry compared to current smokers, suggesting airflow limitation stabilization during smoke-free periods (median duration of 9 years for ex-smokers in our cohort) (Table 3). However, measures of air trapping, hyperinflation, and diffusion impairment remained worse in current smokers (Table 3). Decreased

diffusion capacity was associated with a more rapid progression of emphysema in heavy smokers¹⁵⁰. Precise mechanisms for persistent lung inflammation post-smoking cessation are

not well-known, which may include influences of innate immunity and differential, site-specific DNA methylation^{143,151-153}.

Table 3: Effects of smoking cessation in the cohort

Variables (% or mean±SD)	Current smokers (40.3%)	Former smokers (47.5%)	p-value
Age, years	63.30±8.90	68.68±9.28	0
Body mass index (BMI), kg/m ²	26.79±6.49	28.76±6.24	.005
Smoking behavior:			
Years since smoking cessation	-	13.08±13.64	-
Cigarettes smoked per day	25.33±11.49	25.14±13.66	ns
Duration of smoking	43.22±10.58	36.13±13.37	0
Composite pack years	55.60±31.87	46.01±31.82	.006
PFT findings			
FEV ₁ , % predicted	72.35±20.02	75.06±21.11	ns
FVC, % predicted	84.49±18.43	84.40±17.05	ns
FEV ₁ /FVC	66.34±10.75	66.95±12.78	ns
RV, % predicted	151.16±55.33	136.99±47.54	.022
TLC, % predicted	109.94±20.73	104.29±19.43	.019
RV/TLC, % predicted	132.04±29.18	125.28±23.82	.040
DL _{CO} , % predicted	62.50±15.38	68.66±20.03	.002
Radiology findings			
Any emphysema	97.4	91.6	.025
Centrilobular subtype	90.1	81.0	.019
Paraseptal subtype	39.5	35.7	ns
Any ILD patterns	25.0	18.4	ns
Histology findings			
Emphysema	86.2	74.9	.010
Fibrosis	25.0	27.4	ns
Anthracosis	65.1	65.4	ns
RB	22.4	9.5	.001
DIP	11.8	2.8	.001
PBM	9.2	11.7	ns
Lung cancer	89.5	88.3	ns
Mortality	19.7	26.8	ns

Abbreviations: DL_{CO}= diffusion capacity for carbon monoxide, DIP= desquamative interstitial pneumonia, FEV₁= forced expiratory value in one second, FVC= forced vital capacity, ILD= interstitial lung disease, ns= non-significant, PBM= peribronchiolar metaplasia, RB= respiratory bronchiolitis, RV= residual volume, TLC= total lung capacity.

Conclusion:

Distinct heavy smoking habits of this rural Appalachian cohort resulted in excessive rates of emphysema. Subsequently, histologic emphysema showed significant correlations with clinical comorbidities, radiological and histological fibrosis, lung cancer and physiological limitations. Remarkable disparity was observed while employing the diagnostic criteria for histologic emphysema, radiologic emphysema, and clinical COPD, highlighting their complex inter-relationship. Former smokers showed lower rates of cigarette smoke-related inflammatory changes confirming the role of smoking cessation. Continued smoking contributed towards greater emphysematous damage. Fibrosis, anthracosis and related physiologic limitations (hyperinflation and diffusion impairment) persisted despite smoking cessation.

Conflict of Interest:

The authors declare they have no financial or non-financial competing interests.

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Declarations:

The study protocol was approved by the institutional review board of West Virginia University (ID 2010131995). The written informed consent was waived by the IRB considering its qualification for exempt research category with minimal to no risk posed to subjects. The data related to study

was collected confidentially to maintain HIPAA compliance. All ethical standards were adhered in accordance with the Declaration of Helsinki.

Consent for publication:

Not applicable.

Authors' contributions:

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work. RGS takes the primary responsibility of accuracy of data presented.

Abbreviations:

FEV₁: forced expiratory volume in one second

FVC: forced vital capacity

RV: residual volume

TLC: total lung capacity

DL_{CO}: diffusion capacity for carbon monoxide

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