



## RESEARCH ARTICLE

# Transcatheter interventional management of postoperative residual shunt lesion after congenital cardiac surgery

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## ABSTRACT

**Background:** Congenital heart defects can be effectively managed through a variety of approaches, including conservative treatment, surgery, or interventional procedures. Complex defects, such as ventricular or atrial septal defects and patent ductus arteriosus, often necessitate congenital cardiac surgery for correction. Residual lesions refer to defects that persist after surgery or develop later on. Hemodynamically significant residual shunts should be addressed through either transcatheter interventions or redo surgery. Transcatheter closure is increasingly favored as it is less invasive, has a high safety profile, and typically results in lower morbidity, faster recovery times, and reduced costs compared to traditional redo open-heart surgery. This approach allows for effective management of congenital heart defects while minimizing the impact on the patient's overall health. This study aimed to understand the outcomes of these transcatheter management strategies, providing insight into effective clinical practices for managing post-surgical congenital cardiac shunt lesions.

**Methods:** The patients who developed residual lesion after cardiac surgical correction of shunt lesion like ventricular septal defect (VSD), arterial switch operation (ASO) with atrial septal defect (ASD), ASD with mitral valve cleft, patent ductus arteriosus (PDA) ligation were included. This is a prospective observational study which was done in National Heart Foundation Hospital & Research Institute during 2014 August to 2024 September.

**Results:** Among the seven patients with post-operative residual shunt lesions, four were male and three were female, with a median age of 11 years, ranging from 1 to 28 years. Six of these patients (85.7%) underwent elective closures, while one required an emergency intervention (14.3%). On average, the interval between surgery and the subsequent transcatheter intervention was 6.6 years, with a range from 15 days to 13 years. The average hospital stay across all cases was 7 days, varying from 4 to 22 days, and the follow-up duration averaged 5.84 years. Among these patients, one individual with VSD had a residual shunt. Importantly, there were no vascular access-related complications, postprocedural heart block, hemolysis, significant new valvular regurgitation, or procedure-related mortality.

**Conclusion:** Transcatheter-based interventions are typically the first-line treatment for newly diagnosed cases. Here a few rare interventional techniques have demonstrated successfully resolved complex surgical complications, ensuring optimal patient outcomes with minimal risks.

**Keywords:** Congenital heart disease, shunt lesion, transcatheter interventions, postoperative complications, ventricular septal defect (VSD), arterial switch operation (ASO), atrial septal defect (ASD), mitral valve cleft, patent ductus arteriosus (PDA) ligation.



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## Introduction:

Morbidity and mortality-free survival after surgical intervention for congenital heart disease is influenced by presence or residual lesion after surgery. The effect of residual lesions and the timing of reintervention has significant effect on postoperative length of stay, adverse events, and cost. Decision about repairing a residual lesion and its timing is commonly influenced by many factors like patient features, criteria for reintervention, and the complexity of the procedure. It is pertinent to say residual lesions tend to occur in the youngest and smallest patients with the most complex lesions. It is obvious that re-thoracotomy poses significant risks. Transcatheter closure offers a minimally invasive solution for managing residual lesions that may remain after surgery or develop over time, often following repairs of congenital heart defects. These residual lesions, which include valve leaks and defects like atrial septal defects (ASD), ventricular septal defects (VSD), and patent ductus arteriosus (PDA), are relatively common. Residual ASD lesions are seen in about 7-8% of cases. For VSDs, the incidence of residual lesions can vary significantly, ranging from 5% to 25% depending on the defect type and repair technique. In cases of PDA, residual lesions occur in approximately 6%.<sup>1,2,3</sup>

Residual lesions after congenital heart defect repair aren't solely attributed to surgical technique; they can arise from anatomical variations, incomplete preoperative assessment, or even complications, such as infections associated with prosthetic patches. Small, hemodynamically insignificant defects often require only regular medical follow-up, as they generally don't disrupt blood flow significantly. However, larger residual defects may necessitate reintervention to prevent a range of complications. Potential issues include electrophysiological disturbances, valvular disease, and persistent shunting, as well as more severe consequences like thromboembolic events, vascular disease, myocardial dysfunction, or infection. Early detection and careful monitoring help guide appropriate management, minimizing risks for affected patients.<sup>4</sup>

Transcatheter closure offers an alternative to redo open-heart surgery, providing a less invasive option with a high safety profile, lower morbidity, and faster recovery times. Although rare, potential complications of this procedure, such as device dislodgement or embolization, are generally manageable. The literature on patients undergoing transcatheter closure for postoperative residual defects is limited, making further research valuable in understanding long-term outcomes.

## Methods:

The study was done from August 2014 to September 2024 and analyzed a total of 7,597 cardiac catheterization cases, including 4,289 diagnostic catheterizations (56.45%), 3,308 therapeutic interventions (43.54%), 338 emergency interventions (10.21%), and 2,970 elective routine interventions (89.78%). Notably, 7 cases (0.21%) involved post-operative residual shunt lesions requiring intervention. These residual lesions typically arose after congenital heart surgeries such as tetralogy of Fallot repair, arterial switch operation with ASD closure, ASD repair with mitral

valve cleft correction, and PDA closure. All patients with residual shunt were clinically assessed and done twelve leads electrocardiogram (ECG), chest X-ray, and complete transthoracic echocardiography (TTE), the pulmonary to systemic flow (QP/QS:  $\geq 1.5$ ) ratio, pulmonary arterial pressure, pulmonary and systemic vascular resistance and ratio during catheterization were done for all patients. Procedures are done under general anesthesia for small children especially in risky patients with significant residual shunt infants, and critical patients. Adult patients underwent procedures with conscious sedation or local anesthesia. After vascular sheath insertion, unfractionated heparin 100 IU/kg was given.

In this case of atrial septal defect (ASD) management, three patients underwent comprehensive evaluations and ASD closure procedures with distinct considerations for each because the normal anatomy was distorted for patch dehiscence in post operative residual case. Transesophageal Echocardiography (TEE) was necessary for one patient to provide enhanced visualization of the septal anatomy. TEE is typically used when more detailed imaging is needed, often in cases where transthoracic echocardiography (TTE) does not provide sufficient clarity or when precise guidance is required during interventions. The other two patients were evaluated using transthoracic echocardiography (TTE). Findings for all three patients included right-sided chamber dilation (likely to be due to volume overload from the left-to-right shunt associated with the ASD) and mild pulmonary arterial hypertension. During catheterization, a significant shunt was confirmed, with a QP/QS ratio  $\geq 1.5$ , indicating hemodynamically significant ASD requiring intervention. Balloon sizing was performed for all patients to accurately measure the defect and guide device selection for ASD closure. Balloon sizing is crucial for determining the optimal device size and ensuring effective closure with minimal risk of complications. One patient required balloon-assisted device deployment, a technique that provides additional stability and control when deploying the closure device. This approach was chosen for challenging ASD and we were concerned about device positioning. For the other two patients, the device was deployed from the right upper pulmonary vein approach. Fluoroscopy and echocardiography were both utilized to guide device deployment, allowing real-time visualization of device position and septal alignment to ensure optimal closure without residual shunting or encroachment on nearby structures. 3D echocardiography was performed for one patient before device deployment, providing a more detailed and spatial view of the defect. 3D echocardiography allowed us to evaluate the exact shape, location, and surrounding structures of the defect.

In case of VSD, transthoracic echo done to all patient which demonstrated size, location, and proximity to tricuspid and aortic valves. VSD is considered hemodynamically significant if it meets certain criteria indicating it may be affecting the heart's function such as clinical symptoms of heart failure, left heart chambers dilatation, greater than 33–66% of the diameter of the left ventricular outflow tract (LVOT) and during catheterization, if the QP/QS ratio is  $\geq 1.5$ . Such VSDs may be selected for percutaneous closure.<sup>5</sup>

### Transcatheter interventional management of postoperative residual shunt lesion after congenital cardiac surgery

In this study, patients presented with hemodynamically significant ventricular septal defects (VSDs), requiring careful assessment of residual shunts following initial repair. Of the three patients, two had a single residual shunt each, both of which were positioned more than 2 mm away from the tricuspid and aortic valves, causing no immediate concern regarding valve interference. The third patient, however, presented with two residual VSD shunts at either end of the patch. While one of these residual shunts was also safely positioned away from the valves, the other was located in proximity to the aortic valve leaflet, potentially posing a risk of interference or other complications. To address this, the treatment plan included closing the residual shunt situated near the tricuspid valve, given its easier accessibility and lower risk to adjacent structures. The other residual shunt, close to the aortic valve, was intentionally left open to avoid potential damage or complications that could arise from interventions near the aortic leaflet. This conservative approach aimed to balance effective shunt management while safeguarding the integrity of the valves.

Residual postoperative VSDs of hemodynamic significance carry a high risk of morbidity and mortality, especially after complex congenital cardiac surgery. In one of our complex cases, a patient could not be successfully extubated following surgery, prompting an emergency transcatheter closure of the residual ventricular septal defect (VSD). Factors such as the patch material, geometry, size, and shunt location were carefully considered in determining the feasibility and approach for the transcatheter closure. All patients underwent assessment using fluoroscopy and transthoracic echocardiography to guide the selection of a suitable VSD occluder device based on availability and compatibility. Angiography was performed in 4 chamber

projections and a left anterior oblique (LAO) to delineate the residual VSD size, location, and proximity to the aortic valve.

This study utilized a range of devices, including the Amplatzer Duct Occluders (ADO I & II, Abbott Medical, MN, USA) and the Multifunctional Konar VSD occluder (MFO, Lifetech Scientific, Shenzhen, China). The ADO I device was deployed via an antegrade approach in one patient, where an arteriovenous loop was established to facilitate access. For the other two patients, the ADO II and MFO devices were delivered through a retrograde approach. This tailored selection of devices and deployment techniques aimed to optimize outcomes in the management of complex residual VSDs following surgical intervention.

In case of PDA, transthoracic echo demonstrated residual PDA flow with hemodynamic significance and PDA duct was closed by antegrade approach with ADO I(8X6 )device. There was considerable resistance while pulling the device into place due to distorted anatomy. Before deployment of device, position was confirmed by fluoroscopy & echocardiography.

Next day TTE was done to all patient and discharged with antiplatelet to ASD patients but not to VSD or PDA patient. Discharged after 2-4 days, only emergency patient took longer time than usual. Patients were followed up after one, three, six months and one year.

This prospective observational study aimed to understand the outcomes of these transcatheter management strategies, providing insight into effective clinical practices for managing post-surgical congenital heart shunt lesions.

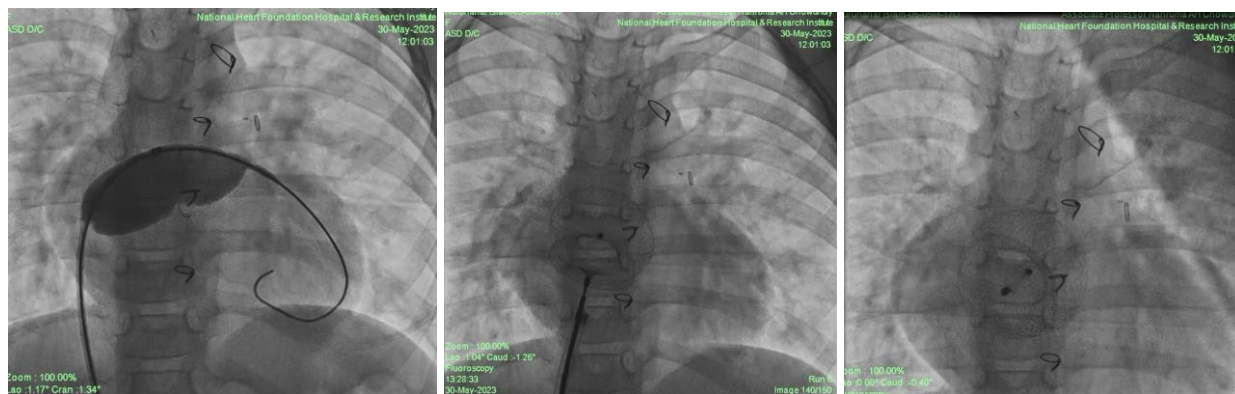
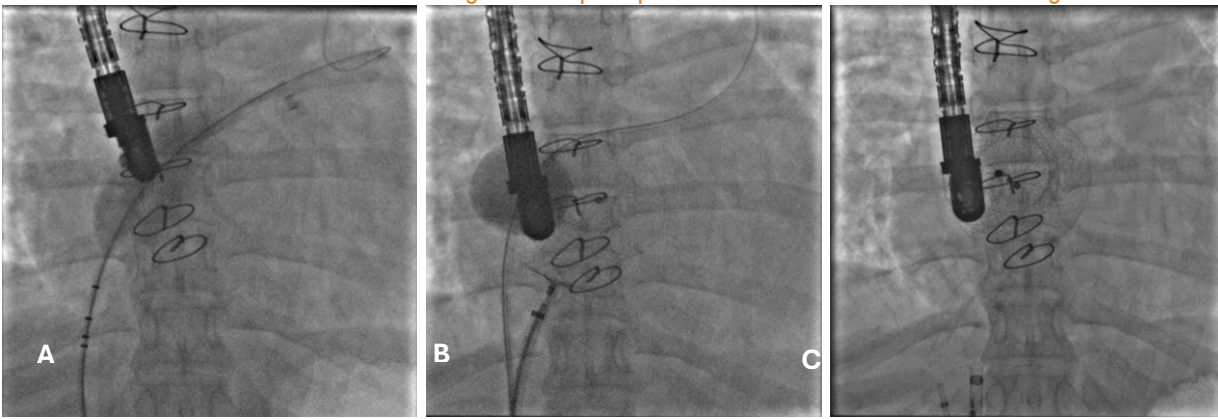


Fig 1: Cardiac angiogram balloon size confirms the size of the ASD then deployed 24 mm size ASD.



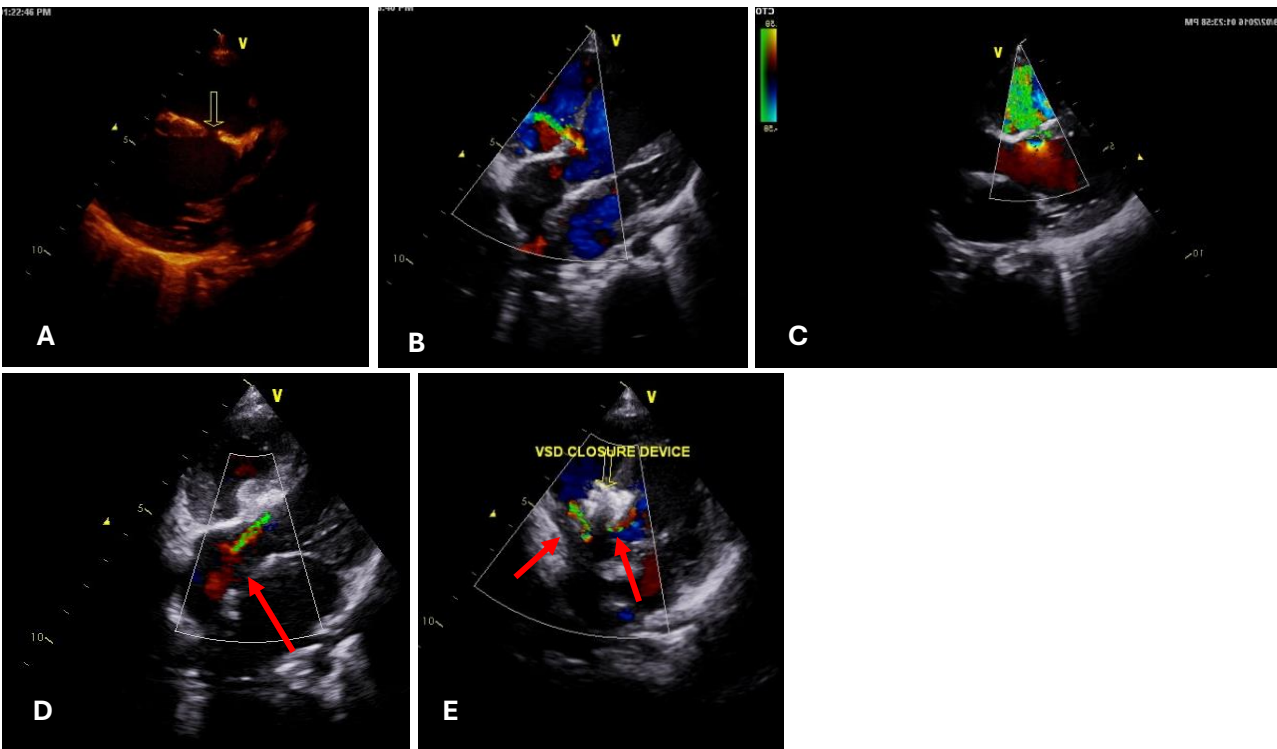
Fig 2: Echo showed ASD device in situ with no residual flow with secure position



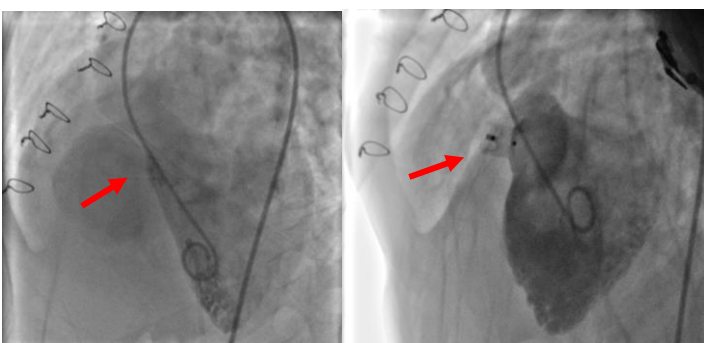
**Fig 3:** Cardiac angiogram(A,B,C) ASD size confirmed by the sizing balloon and deployed with balloon assistant.



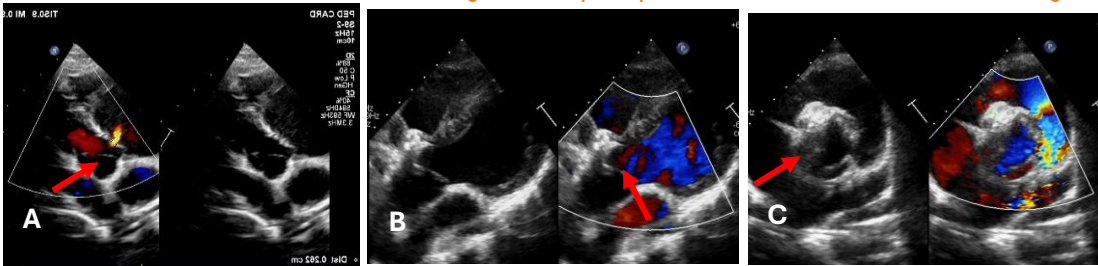
**Fig 4:** TEE 3D showed ASD device holding all the rim nicely.



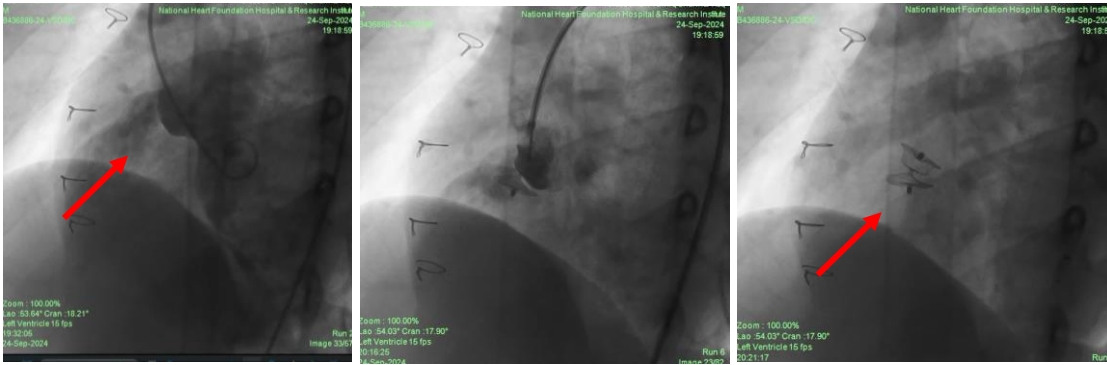
**Fig 5:** Echocardiography showed(A,B,C) two residual shunt, one at lower end of patch another at upper end near aortic leaflet.(D)Aortic regurgitation.(E)Closed one residual shunt with ADO I and another one near aortic leaflet left alone .



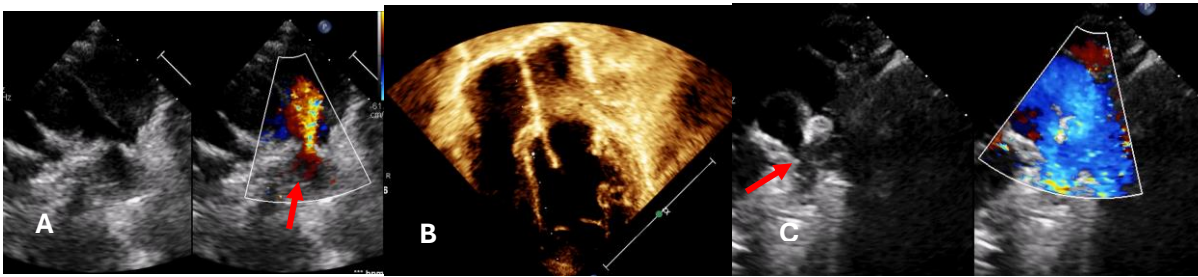
**Fig 6:** Cardiac angiogram showed significant L-R shunt which was closed by ADO I(10X8) device.



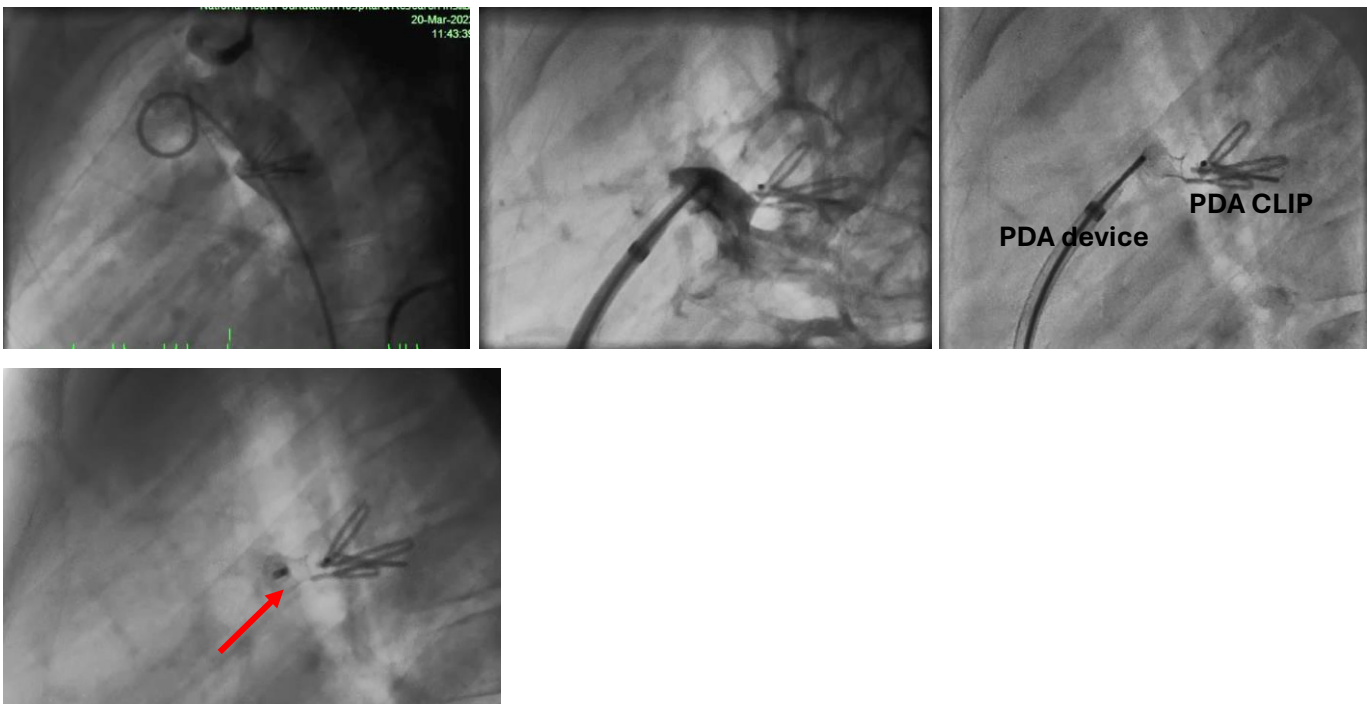
**Fig 7:** Echocardiography( A,B) showed residual VSD flow with L-R shunt &(C) device in situ with good position with no residual flow.



**Fig 8:** Cardiac angiogram showed VSD flow with L-R shunt which was closed by MFO(12X10 mm) device.



**Fig 9:** Echocardiography (A,B) showed residual PDA flow with L-R shunt with dilated LA, LV. & (C) PDA device in situ with good position with no residual flow.



**Fig 10:**Cardiac angiogram showed PDA flow with L-R shunt which was closed by ADO(8X6) device.

**Results:****Table 1:** Particulars of the patient with diagnosis and treatment of shunt lesion patient:

Case	Age	Sex	Weight	Presentation	Diagnosis	Name of surgery	Time interval between surgery & intervention	Nature of intervention	Type of shunt closure/residual
<b>ASD</b>									
1	5 yrs 5 mo	F	16 kg	Repeated respiratory infection	d-Transposition of Aorta with ASD	Arterial switch with ASD closure	5 yr 4 m	Elective	Residual ASD closure
2	28 yrs	M	56 kg	Shortness of breath	ASD with cleft in mitral leaflet with moderate MR	ASD closure with mitral valve repair	2 yrs 3m	Elective	Residual ASD closure
3	16 yrs	F	30 kg	Effort breathing	ASD with mild pulmonary hypertension	ASD patch closure	1 yr 8 m	Elective	Residual ASD closure
<b>VSD</b>									
4	1 yrs 11 m	M	9.2 kg	Repeated respiratory infection	Double outlet right ventricle with ventricular septal defect with pulmonary stenosis	VSD closure with right ventricular muscle band resection	1 yr 1 m	Elective	Residual VSD closure
5	1 yr 10 m	M	9.5kg	Intubated child for 15 days after surgery	Tetralogy of Fallots	VSD closure with RVOT resection	15 d	Emergency	Residual VSD closure
6	15 yrs	M	49 kg	Shortness of breath	Double chamber right ventricle with RVOTO	VSD closure with RVOT resection	13 yrs	Elective	Residual VSD closure
<b>PDA</b>									
7	7 yrs	F	20 kg	Repeated respiratory infection	PDA	PDA Clipping	3 yrs 3 m	Elective	Residual PDA closure

**Table 2:** Echocardiography, Cardiac catheterization, Intervention & follow up of shunt lesion patient:

Case	Echocardiography	Cardiac cath	Type of device	Length of hospital stay	Complication	Duration of Follow up
<b>ASD</b>						
1	S/P ASO with large ASD (17X18 mm) with L-R shunt with mild AR with dilated RA & RV	PASP:40/15/29 mmhg Qp:Qs=1.6:1	ASO (24 mm)	5 days	No	1 yr 5 mo
2	Secundum ASD (14X12X12) and cleft mitral valve with mild MR	PASP: 45/15/30mmhg Qp:Qs=2.9:1	ASO (20 mm)	4 days	No	10 yrs
3	Secundum ASD (16X14X12)	PASP: 35/15/26mmhg Qp:Qs=1.7:1	ASO (22 mm)	4 days	No	6 yrs
<b>VSD</b>						
4	S/P VSD closure with residual VSD (5X5 mm) right ventricular muscle band resection	PASP: 40/15/22mmhg Qp:Qs=1.65:1	ADO I (10 X 8)	5 days	Residual flow L-R	9 yrs
5	S/P VSD closure with residual VSD (3X5 mm) right ventricular muscle band resection	PASP: 45/15/30mmhg Qp:Qs=2.9:1	ADOII(6X5)	22 days	No	12 yrs
6	S/P VSD closure with residual VSD (5X7 mm) with no RVOT	PASP: 30/10/13mmhg Qp:Qs=1.6:1	MFO (12X10)	5 days	No	1 m
<b>PDA</b>						
7	S/P PDA ligation with residual PDA flow (3X5 mm) with L-R shunt	PASP: 30/10/13mmhg Qp:Qs=1.6:1	ADOI(8X6)	4 days	No	2 yrs 7m

MR: Mitral regurgitation, AR: Aortic regurgitation, PASP: Pulmonary arterial systolic pressure, Qp: Pulmonary flow, Qs: Systemic flow, ADO (Amplatzer device occluder), ASO ( Amplatzer septal occluder), MFO (Multifunctional occluder)

Among the seven patients with post-operative residual shunt lesions, four were male and three were female, with a median age of 11 years (ranging from 1 to 28 years). Six patients underwent elective closures (85.7%), while one patient required an emergency intervention (14.3%). The average interval between surgery and subsequent transcatheter intervention was 6.6 years, varying from 15 days to 13 years. The emergency case involved a patient with a residual VSD following TOF repair, who failed to be weaned off mechanical ventilation. Hemodynamic assessment revealed a significant shunt, which was closed using an ADO II device and discharged after 7 days of device closure.

The average hospital stay across all cases was 7 days (ranges from 4 days to 22 days), though the emergency case had a longer ICU stay post-surgery. The average follow-up duration was 5.84 years, providing insight into long-term outcomes and stability. One VSD patient (14.3%) had a residual shunt lesion which was kept intentionally due to adverse location (near aortic valve). This patient remained stable with ongoing follow-up. There were no reported vascular access-related complications, postprocedural heart block, hemolysis, or significant new valvular regurgitation after the procedure or procedure-related mortality.

### Discussion:

Postoperative residual lesions often develop in patients with more complex congenital heart conditions and may result from factors like patch dehiscence, suture disruption, incomplete defect closure, or bacterial endocarditis. In patients with intricate anatomical abnormalities or extensive repair needs, these challenges increase the likelihood of residual defects. The complexity of their condition may involve greater tissue tension around the repair site or increased vulnerability to infection.<sup>6,7,8</sup>

No intentional fenestrations in the patches or dehiscence secondary to infective endocarditis near the surgical patches were reported in this study. Suture disruption may be a cause for residual lesion. Patch dehiscence most frequently occurs in the posteroinferior and posterosuperior quadrants, regions close to the conductive tissue. This proximity to critical conduction pathways limits the surgeon's ability to place continuous sutures in these areas, as excessive manipulation could damage the conductive tissue and cause arrhythmias. Consequently, these areas are more prone to dehiscence due to the need for more delicate suturing techniques, which may provide less reinforcement and contribute to the risk of residual lesions.<sup>9</sup>

So these individuals present the greatest challenges in terms of imaging, access, and the technical aspects of surgery, particularly intracardiac repair. However a number of factors including patient features, criteria for reintervention, and the complexity of the procedure play an important role in this decision.

Some residual shunts are restrictive and well-tolerated, but even these can lead to left-to-right shunting, causing persistent ventricular volume overload or pulmonary hypertension over time. Although patients may initially tolerate these residual lesions, the resulting hemodynamic

burden on the heart and lungs can gradually lead to more significant issues. This is why certain postoperative residual defects require close monitoring and, in some cases, reintervention to prevent complications such as ventricular strain, progressive pulmonary hypertension, and other adverse effects on cardiac function. Early reintervention can help mitigate these risks and improve long-term outcomes.<sup>10</sup>

Consider the side effects and potential morbidity and mortality associated with prolonged and repeated operations, including extended duration of cardiopulmonary bypass, including myocardial ischemia. Longer ICU stay, greater hospital cost, and worse neurodevelopmental outcomes in different studies.<sup>11,12</sup> Therefore, deciding what reintervention and when to perform it is of paramount importance. This manuscript reviewed residual lesion treated with transcatheter intervention rather than redo surgery avoiding the complication related with redo surgery.

However, concerns remained about whether the tissue around the residual shunt was strong enough to hold an occluder in place. Moreover, the dehiscence site had been expected to have deficient rims in ASD, and adjacent to leaflet of tricuspid valve or multiple residual lesion in VSD, clips or suture material on the course of residual PDA duct. As such, prepared for open-heart surgery as a backup.

In residual shunt lesion after surgery specially ASD, balloon-sizing technique which is recognized as the gold standard for estimation of defect diameter and device size. Two-dimensional TTE, TEE, and three-dimensional TEE have been shown to be effective and reliable methods for determination of the defect diameter without balloon sizing.<sup>13</sup> Balloon sizing done to all ASD cases and one VSD case in this study.

Residual defects with hemodynamic significance can complicate the postoperative course due to significant heart failure and low cardiac output; moreover, patients with residual VSDs, especially those related to surgical patches, have a greater risk of infective endocarditis.<sup>14,15,16</sup> Most residual defects < 2 mm in size will close spontaneously, while those > 2 mm will not.<sup>14,17</sup>

The Amplatzer Septal Occluder is the most frequently employed device in transcatheter ASD closure procedures.<sup>18</sup> The Amplatzer muscular VSD occluders, perimembranous VSD occluders, ADOs, and septal occluders have previously been used to close VSDs percutaneously.<sup>19,7</sup> ASO device used in all ASD cases and ADO I, II & MFO device used in VSD closure, and ADO I for PDA closure.

Improper use of adequate size devices may be associated with a high risk of embolization. In clinical practice, a 1–2 mm larger device is essential to guarantee good closure of the VSD. VSDs related to surgical patches have difficulty estimating the actual size due to the complex geometry of residual VSDs.<sup>14,15</sup>

The potential major complications associated with this procedure include death, device embolization, heart block, new valvular regurgitation, hemolysis requiring

blood transfusion, or the need for surgical or transcatheter re-intervention. The rate of major complications ranges from 0% to 8.6%.<sup>1,10,7,20,21</sup> None of these complications evident in our patients. A complete atrioventricular (AV) block is indeed one of the more serious complications associated with VSD device closure, though it occurs infrequently. The incidence range of 0%–5.7% reflects variability based on factors like patient age, defect size, and device type. For postoperative residual VSD cases, patches and scar tissue from prior surgical repair can create a buffer that reduces direct pressure on the conduction system, thereby potentially lowering the risk of AV block. The presence of these protective structures helps mitigate the mechanical stress the closure device may otherwise exert on the atrioventricular node and surrounding tissue.<sup>1</sup>

A residual patent ductus arteriosus (PDA) following surgical ligation may occur due to incomplete closure or later recanalization of the ductus. Suboptimal occlusion might result from factors like surgical technique, the ductus's initial size, or tissue characteristics. Recanalization, on the other hand, involves the reopening of the ductus arteriosus after an initially successful closure, potentially due to vessel wall elasticity or insufficient fibrosis. In these cases, residual shunting can persist, and further intervention may be considered to prevent complications such as left heart volume overload or endarteritis.<sup>22</sup> Since residual PDAs are narrow, coil closure is a common practice. Coil closure is best suited to close a PDA with a minimal internal diameter of less than 2.5 mm.<sup>23</sup> In our patient, the size of PDA was 3.5 mm. So, the device compared to coil, was a better choice.

Interventional catheterization has largely replaced surgery for the closure of all types of Patent Ductus

Arteriosus (PDA), except in the newborn period. However, percutaneous closure of a residual PDA after surgical ligation can be more challenging due to the aortopulmonary window-like appearance. Additionally, the management of a tiny or "silent" PDA can be controversial.<sup>24</sup>

Residual PDAs can indeed pose additional technical challenges during closure because reactive fibrosis can make them less distensible and more rigid than native PDAs. This reduced flexibility can complicate device positioning and secure placement. To address these challenges, interventionalists often tried for a retrograde approach, entering through the aorta, or use a snare technique to improve control and alignment of the closure device. These methods provide better access and stability for device placement, helping to achieve a successful closure despite the more resistant, fibrotic tissue.<sup>25</sup> In this study PDA closed through antegrade approach and it was a residual lesion followed by a PDA clip and there was no procedure related complications.

### Conclusion:

Transcatheter closure is shown to be effective in closing residual defects that were either missed during initial surgery or developed later. Transcatheter closure provides a minimally invasive, effective solution for managing residual heart lesions post-surgery. It offers a significant benefit in reducing the need for additional open-heart surgeries, with improved patient quality of life, reduced post procedure length of stay, adverse events, and cost



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