



EDITORIAL

# Long-lasting, Pathogeneses-related Drying of Diabetic Macular Edema

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## ABSTRACT

Center-involved diabetic macular edema (DME) is the major cause of vision loss in the working-age population. Enduring DME might progressively injure the foveal layers with subsequent visual acuity loss. Therefore, the primary aim of DME therapy is to achieve an early, long-lasting dry macula to improve or sustain visual acuity. Current drug treatment has not achieved this aim. Diffuse DME (DDME), the most challenging DME type, is characterized by a compromised diffuse vasculature. Its pathogeneses include vitreofoveal traction and tractional epimacular membrane, as well as two newly-recognized pathogeneses: a) extrafoveal traction, the most common one, which is primarily detectable by 3D optical coherence tomography, and b) transitional-phase type, which represents the early tractional process and is detectable only ultra-structurally. Hence, all DDME eyes are apparently tractional. Consequently, treatment of naïve-treated DDME eyes by early pars plana vitrectomy has achieved long-lasting dry maculae in 92%–100% of eyes, typically in one step, and habitually associated with improved visual acuity. The surgery also naturally included the elimination of leaking microaneurysms (the "focal DME" component) when they were present. The transitional-phase type presents circumstances for attaining efficacious outcome by grid laser photocoagulation as well. Hence, DME seems to approach a curative situation. Accordingly, a revised pathogenesis-related DME classification is presented.

**Keywords:** Dry diabetic macular edema; Extrafoveal traction; 3D-OCT; Curative DME; Diffuse DME; Focal DME; Pars plana vitrectomy; DME pathogenesis; DME classifications; Grid laser photocoagulation; Tractional DME; Transitional-phase DME; Vitreopapillary traction; Anti-VEGF.

## Introduction

Diabetic retinopathy is a leading cause of blindness worldwide.<sup>1</sup> Center-involving diabetic macular edema (DME) is the most common cause of vision loss in diabetic patients.<sup>2-4</sup> Thus, the primary aim of DME therapy is to achieve early, long-lasting macular dryness to improve or maintain visual acuity (VA).<sup>5-8</sup> However, since the pathogenesis of diffuse DME (DDME) in the treated eyes during key randomized controlled trials (RCTs) involving anti-vascular endothelial growth factor (-VEGF) agents, with or without modified grid laser photocoagulation (GLP) was obscure, treatment has largely been approached as trial-and-error (T&Er). Consequently, repeated treatments often fall short of the therapeutic goal.

This article aims to introduce two previously overlooked DDME pathogeneses and their clinical significance in reaching the goal of DME therapy. It is based on peer-reviewed therapeutic, meta-analytic, and review studies published (in PubMed Central and Google Scholar) until September 2024.

Leaking microaneurysms (MAs) are considered the major source of focal DME, associated with capillary nonperfusion.<sup>2-4</sup> In diffuse DME, VEGF and inflammatory cytokine upregulation and advanced glycation end products lead to compromised retinal vasculature.<sup>2-4</sup> While RCTs show that intravitreal treatments temporarily improve VA and central sub-field thickness (CST),<sup>9-14</sup> durable macular dryness is not consistently achieved, signaling a treatment failure.

The Protocol-T RCT of DME by Diabetic Retinopathy Clinical Research Network (DRCR.net) included 660 eyes with undetected traction, comparing three anti-VEGF agents - bevacizumab (BVZ; Avastin; Genentech/Roche), ranibizumab (RBZ; Lucentis, Genentech/Roche), and aflibercept (Eylea, Regeneron) - for DME treatment. The unknown DDME pathogenesis necessitated T&Er administration.<sup>12,13</sup> Focal and diffuse DME were combined for analysis, and recommendations were made for both DME types as a single group.<sup>12,13</sup> First two years of the three anti-VEGF medications, aided by laser rescue therapy in ~45% (mean) of eyes, achieved temporary VA gains and CST improvements (mean). However, VA decline was found in the subsequent three years, continuing as a real-world study.<sup>14</sup> This led to a call by the authors that "a change in therapeutic strategy from anti-VEGF to a long-lasting efficacious treatment is required" in order to save sight.<sup>14</sup> The DRCR.net request has been met.<sup>15-18</sup> This key RCT has reasserted the critical importance of DME therapy to attain early and long-lasting macular drying.

A more recent DRCR.Retina.net study on BVZ monotherapy showed that 70% of eyes required an agent switch,<sup>19</sup> highlighting medication failure. Corticosteroids like dexamethasone implant (Ozurdex, Allergan, Irvine, CA, USA) are acceptable DME treatments. However, cataract formation and intraocular

pressure concerns limit their use as a primary option. A recent study summarized that diabetic blindness remains a substantial challenge despite all the recent advancements in diagnostics and treatments.<sup>20</sup>

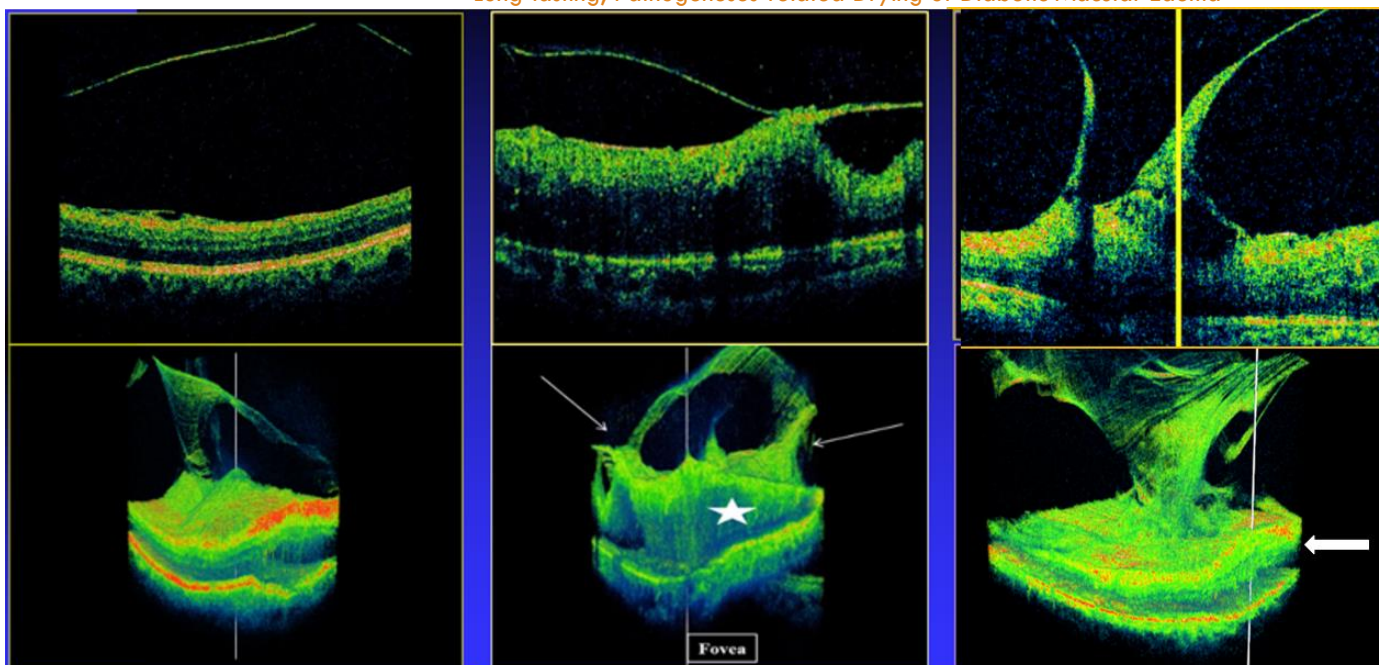
Laser studies using OCT also report poor outcomes for GLP in DDME which were worse pre-OCT era due to undetected vitreoretinal interface (VRI) abnormalities.<sup>10-12,21-23</sup> Another challenge in reviewing GLP literature is that GLP improvement is gradual and necessitates studies that have at least 12 months of follow-up.<sup>23</sup> Furthermore, most RCTs have focused on VA as the key measure, with less available data of CST except for the statistical mean. As well, the RCTs typically combined outcomes of focal laser and GLP as one group.<sup>9-14</sup> Arevalo et al. reported on GLP monotherapy (n=120).<sup>23</sup> Mean CST gradually decreased from base-line of 379µm by 20% and 28% (271µm) after 12 and 24 months, respectively. The percentage of patients who achieved durable dry macula was not reported. The VA improved by ≥2 lines in 30% of eyes at month 12, but decreased to 21% of eyes by month 24. In DME protocol-I of DRCR.net, 39% of 211 eyes achieved CST <250µm post-laser at two years, , but the specific contribution of focal laser and GLP to these results is indeterminate.

## Novel Pathogeneses of Diffuse Diabetic Macular Edema

### A) EXTRAFOVEAL TRACTION: THE MOST COMMON PATHOGENESIS

Most existing OCT scans utilize 2D image slices, thereby missing the complex 3D structure of the VRI.<sup>24-30</sup> When OCT B-scans cross the fovea, they may detect two of the DDME pathogeneses: vitreofoveal traction (VFT) and epimacular membrane (ERM). OCT scans often employ raster or radial lines, which leave the significant areas between scanned lines unexamined, as previously discussed.<sup>31,32</sup> By comparison, continuous scanning systems, such as the 3D spectral-domain OCT (SD-OCT) 1000 (Topcon, Japan), provide more precise imaging of the VRI by scanning every point in the examined area.<sup>24,25</sup> These continuous scans also provide the ability to view the examined field in 3D images and video clips (which differ from a 3D block). These 3D images thus exposed the overlooked vitreoretinal extrafoveal traction (ExFT) membranes and their traction sites, as well as their association with the centrally-involved DDME (**Fig. 1**). ExFT site may appear in any spot in the area centralis, as explained.<sup>24,25,31-34</sup>

The 3D diagnostic information is essential since the meridian of the posterior vitreous cortex (PVC; posterior hyaloid =PH) at the ExFT site is regularly different from that of the macula. The rest of the PH is anteriorly detached and appears in the different B-scan meridians as several free-floating membranes, as previously explained.<sup>33</sup> Vitreopapillary traction (VPT) is a relatively common type of ExFT, detectable by B-scans (**Fig. 1**).<sup>34</sup> ExFT is often linked to splitting of the PVC into anterior and posterior lamellae,<sup>35-37</sup> i.e., vitreoschisis.



**Figure 1:** Diffuse diabetic macular edema related to extrafoveal vitreomacular traction (left and central columns) and vitreopapillary traction (right), detected by 3D SD-OCT. Upper row: B-scans OCT. Eyes 1 and 2 (left and central): The posterior hyaloid (PH) in Eye 1 (left) is away from the mildly thickened macula in this area (ERM is also detected). In Eye 2 (center), one vitreomacular extrafoveal traction site is presented. The corresponding 3D images (lower row) present diffuse macular edema (central figure, star) in each, secondary to at least two extrafoveal traction membranes in each (central figure, arrows); the traction sites are located peripherally at the 6X6 mm<sup>2</sup> scan areas. Eye 3 (right): B-scan presents vitreopapillary traction and adjacent retinal thickening; the corresponding 3D image shows the associated diffuse DME (arrow). All three foveae, marked by vertical lines in the 3D figures, are free from traction. If only OCT B-scans were undertaken, and more so if raster or radial lines were used, each eye could be destined to hopeless repeated intravitreal injections of various medications and to GLPs for months and years.

A study (n= 58 eyes/ patients) using the 3D SD-OCT 1000 identified the following prevalence of DDME pathogeneses:<sup>24</sup> VFT in 19%, ERM in 22.4%, ExFT (including VPT) in 34.5% (making ExFT the most common pathogenesis), and transitional-phase (previously termed 'vasogenic') DDME, after excluding ExFT, accounted for 24.1%.<sup>24</sup> Other studies have verified the association between ExFT and DDME.<sup>38,39</sup> (Adie's study termed ExFT "adhesions-pegs," and reported a prevalence of 41%.<sup>38</sup>) Therefore, based on these data, in eyes treated with medications or laser following the exclusion of VFT and ERM, the mean expected ratio of ExFT to transitional-phase DDME is  $>34.5/24.1 = \geq 60/40\%$ . Researchers have emphasized the importance of 3D-OCT imaging in evaluating the VRI in pathologies other than DME.<sup>40-42</sup> Recently, widefield OCT B-scans were shown to enable detection of ExFT membranes associated with center-involved pathologies, mainly of tractional retinoschisis in high myopia.<sup>43</sup>

#### B) TRANSITIONAL-PHASE: THE EARLY TRACTIONAL PROCESS

Clinicopathologic studies have examined the VRI in DME using electron microscopy and immunocytochemistry following PPV and internal limiting membrane (ILM) peeling. The investigations found tractional membranes, irrespective of OCT classification of DME as tractional or non-tractional.<sup>44-47</sup> The membranes were multilayered, with cell clusters embedded in collagen masses, representing an early stage of extracellular membrane (ECM) formation triggered by oxidative stress and inflammatory cytokines. Hyalocytes, cells residing in the preretinal PVC, are key players in this process, as they transdifferentiate into myofibroblasts, secrete profibrotic cytokines, and produce VEGF. These cellular components can thus promote collagen production and tangential

ECM contraction, thereby leading to diffuse compromised vasculature, subsequent capillary leakage and diffuse macular edema. Consequently, the authors claim for early PPV with ILM peeling, regardless of the presence of traction formation on OCT.<sup>46,47</sup> Johns et al. reviewed the issue and also called for early surgery, which can potentially prevent proliferative vitreoretinal diseases by addressing the role of hyalocytes.<sup>48</sup>

### Understanding treatments in the DDME pathogeneses era

#### A) INTRAVITREAL MEDICATIONS

It seems evident that T&Er DDME studied using medications have regularly targeted the ExFT (including VPT) membranes and/ or the early-tractional (transitional-phase) process.<sup>24,34,38,39,44-47</sup> These medications included anti-VEGFs, their biosimilars, steroids, second-generation intravitreal medications and their combinations.<sup>49-51</sup> However, these therapies have not achieved the key aim of the therapy, durable dry maculae. In DDME, the medications may temporarily reduce VEGF, capillary leakage and inflammation in both the present tractional membranes (ExFT) and the early tractional process, improving VA and edema temporarily. However, they would not address the tractional component in each.<sup>9-14,49-52</sup> In fact, intravitreal injections may sometimes exacerbate traction and worsen edema and VA,<sup>33</sup> possibly by displacing the anterior vitreous anteriorly on removal of the needle, or increasing intravitreal inflammation.<sup>54</sup> Similar complications have been observed in high myopia and retinoschisis.<sup>55</sup>

#### B) GRID LASER PHOTOCOAGULATION

A study on GLP in transitional-phase (earlier termed 'vasogenic') DDME (n=18), after excluding ExFT,



achieved durable macular drying in 13 (72%) eyes over an average of 15.9 months.<sup>56</sup> Recurrent DDME occurred in four eyes between months 5 and 12, secondary to emergence of incomplete posterior vitreous detachment (iPVD) and ExFT (n=3), and ERM formation (n=1), all of which were operable. Overt macular ischemia following a second GLP during the second year resulted in recurrent edema in the fifth eye. GLP has possibly reduced macular oxygen consumption sufficiently enough to halt and reverse the early tractional and leaking process, leading to a durable dry macula in most (13) eyes. Noteworthy, new ExFT membranes were detected in two more eyes, each at month-15 (No. 6 & 8 in Table 2).<sup>56</sup> Each has mildly increased the CST, but did not affect outcome, behaving actually as extrafoveal adherent membrane. This GLP study outcome may explain the circumstances of positive outcome in T&Er GLP studies.<sup>9-11,23</sup> Further studies are required to improve GLP outcome in the transitional-phase process. Other studies may investigate the effect of prevention of hyalocytes transdifferentiation into myofibroblasts.

### C) PARS PLANA VITRECTOMY

Studies on early PPV with ILM peeling in treatment-naïve DDME eyes in which traction was not detected, have achieved complete and long-lasting macular drying in 92-100% of eyes, along with improved VA.<sup>15-18</sup> The largest, multi-national study, included 120 patients with the longest follow-up (two years). Surgery resulted in 100% dry maculae, with a reduction of CST from a mean of 593µm to 260µm, in just one month post-surgery.<sup>17</sup> After two years, all maculae remained dry. The authors noted, "for each day PPV is delayed, the chance of gaining more than 5 letters at month 24 decreases by 1.8%." Notably, no distinction was made between fully attached posterior hyaloid and complete PVD prior to surgery. The surgery outcomes may be explained by the elimination of existing overlooked ExFT membranes, releasing ILM tangential traction and addressing the early tractional (transitional-phase) process located on the PVC. The latter include elimination of hyalocytes and myofibroblasts, removing as well as avoiding proinflammatory and profibrotic cytokines and VEGF production, and improving macular oxygenation.<sup>44-47,57,58</sup> Other studies have reported on PPV's effectiveness for VPT and retinal ExFT,<sup>59,60</sup> as previously discussed.<sup>31</sup> An exclusive T&Er study (n=870) found that PPV with ILM peeling was significantly superior to anti-VEGF, intravitreal triamcinolone acetonide (TCA) or GLP monotherapies after 6-24 months of follow-up.<sup>61</sup> Today, a comparative study between early-PPV in naïve-treated eyes versus the already-approved failed current drug treatment<sup>14</sup> will raise an ethical conflict.

When PPV was performed in refractory DME eyes following anti-VEGF treatments, statistically significant improvements in CST and VA were commonly observed.<sup>62-70</sup> However, many eyes - 64% and 34% in some cases - continued with persistent macular edema.<sup>62,63</sup> Some reports also describe combining surgery with panretinal photocoagulation, steroid implants, macular laser and/ or intravitreal TCA.<sup>64,65</sup> By contrast, when PPV with ILM peeling followed failed focal/GLP, including eyes with taut PH (which essentially are ExFT membranes), it appears that durable macular

drying was attained more frequently, in 82-100% of cases (n = 6-58 eyes).<sup>44,66-70</sup> VA improved by  $\geq 2$  lines in only 40% to  $\geq 92\%$  of these eyes, likely due to advanced preoperative foveal injury, as accurate diagnosis was limited before the OCT era. Notably, Kim's study also suggested potentially worse outcome when PPV followed failed anti-VEGF therapy compared to failed laser treatments.<sup>64</sup> These potential differences in PPV outcomes warrant further investigation. When PPV was performed as a last resort after prolonged treatment failures, it was often too late to restore VA probably due to irreversible foveal layers injuries.<sup>71</sup> Noteworthy, the reason for poorer CST outcomes in intractable DME following PPV and ILM peeling compared to treatment-naïve eyes remains to be elucidated.<sup>62-65</sup>

In cases of residual macular edema following PPV, treatment typically involves anti-VEGF agents and/or intraocular steroids.<sup>72,73</sup> However, after ruling out overt macular ischemia postoperatively,<sup>74</sup> focal/GLP treatment may be added to the armamentarium, for achieving durable outcome. PPV alters the DDME status, and thus the emergence of iPVD and ExFT following GLP, a potential cause of recurrent edema,<sup>56</sup> will not occur.

### Focal DME treatment

After decades of focal laser treatment to leaking MAs, the short-acting anti-VEGFs have improved focal DME but have failed to achieve durable macular drying.<sup>75,76</sup> New approaches, such as advanced laser instruments or surgical removal of MAs, are currently under investigation.<sup>77-79</sup> Faricimab, a bispecific agent, has shown promise in drying focal DME for  $\geq 8$  weeks after three monthly intravitreal injections, due to its effects on MAs.<sup>80</sup>

Given the common presence of leaking MAs in DDME eyes as well, their differentiation into focal and diffuse DME is often based on calculating the relative percentage of leaking MAs in the DME area.<sup>11</sup> Based on the outstanding efficacy of early PPV in treatment-naïve DDME eyes,<sup>15-18</sup> it is anticipated that PPV may also eradicate the leaking MAs and halt new MAs production, probably by improving macular oxygenation and removing oxidants and VEGFs from the PVC.<sup>44-48,57,58</sup> Thus, if further proven, PPV might also be an option for durably drying MA-related DME in selected cases.

### Managing DME with Second-Generation Therapies

Second-generation treatments for DME, such as intravitreal faricimab and anti-VEGF biosimilars, have been studied using a T&Er approach.<sup>49-51</sup> The faricimab RCT included patients with DDME and focal DME (n=1,891) in one combined group for calculations followed by recommendations,<sup>51</sup> similar to earlier pivotal RCTs. Central macular anatomic drying, as observed on OCT, was achieved in 40% of eyes in this study and 39% in another study (n=51) for periods of eight weeks or more.<sup>51,81</sup> (Notably, study outcomes based on an alternative DME definition, which have classified CST as  $\geq 325$  microns,<sup>51</sup> were disregarded. This is because extending injection intervals requires evidence of an anatomically dry macula as seen on OCT). Takamura et

al. identified that the macular drying by faricimab (n=27) was related to its effect on focal DME, with most MAs collapsing associated with a significant reduction in the formation of new MAs.<sup>80,82</sup> This efficacy has been further supported by a clinical observation (n=2).<sup>83</sup> The faricimab efficacy on focal DME was anticipated, since it won't dry maculae for 8 weeks in DDME, which is associated with tractional processes.

In this RCT faricimab study,<sup>51</sup> the 2 mg bi-monthly dose of aflibercept was chosen as the control group. However, this control group could be expected to attain poorer outcomes compared to the 2 mg aflibercept administered monthly, which had already been deemed unsuccessful.<sup>14</sup> In selecting a control group for studying a new medication, the best available treatment should be chosen. Given that all non-surgical treatments have failed to meet the therapeutic goal, early PPV in treatment-naïve DDME eyes ought to be considered the best alternative.<sup>15-18</sup>

The T&Er approach with faricimab is expected to reduce the frequency of injections by half compared to anti-VEGF treatments (8 weeks vs. 4-5 weeks, respectively).<sup>51,81</sup> However, this improvement was observed in these studies in only 40% of eyes, probably the MA-related DME.<sup>80</sup> It means that the remaining 60% with DDME experienced persistent edema throughout the studies. Therefore, this T&Er treatment preference may not be fully justified. If future DME trials separate focal and diffuse DME into distinct groups, it could lead to more clinically useful outcomes for each DME subtype. The use of anti-VEGF biosimilars,<sup>49,50</sup> despite their comparable outcomes to the original agents, does not seem to address the underlying failure of anti-VEGFs in treating DDME, as was previously claimed.<sup>14</sup>

### Proposal to revise DME classifications

There is little evidence that the characteristics of DME described by the terms focal and diffuse explain variations in VA, CST, or response to treatment.<sup>84</sup> A revised classification that is also based on objective diagnosis of the novel pathogenesis is proposed.

**MAs-related DME**(for microaneurysm-dominant cases,<sup>11</sup>)

- **Tractional DME**(including VFT, ERM, VPT and ExFT), and
- **Transitional-phase DME**(the early-tractional stage, undetectable clinically).

Its algorithm would personally define each DME eye (instead of DME and DDME), and consequently direct its optimal therapeutic approach.

### In practice

To achieve long-lasting macular drying following exclusion of MA-related DME and overt macular ischemia, early PPV and ILM peeling in naïve-treatment eyes may be considered the primary treatment, irrespective whether traction is detected or not. Systemic factors influencing DME should also be best controlled.<sup>85</sup> However, in cases in which GLP is preferred, advanced OCT imaging is essential to exclude ExFT before proceeding. When appropriate 3D OCT is unavailable, or when widefield OCT images are indefinite, detecting free-floating PH segments by B-scans should guide a search for ExFT membrane(s). This, by rescanning the PH route in various areas, looking for its contact site in the area centralis.<sup>30</sup> In case of detecting ExFT, rescanning between the edema underlying the traction site (**Fig. 1**, central) and the foveal edema is essential to verify or exclude continuity of the ExFT-related edema and the central DDME. Other options for detecting ExFT sites were earlier described.<sup>32</sup> When ExFT associated with the DDME is unequivocally excluded, GLP may be considered.

### Conclusions

Based on the newly-recognized pathogenesis of DDME, current scientific evidence provides the clinical support, which has achieved the aim of therapy. This, by early PPV in naïve-treated eyes, or by GLP in the transitional-phase type as an alternative. These pathogenesis may also explain the failure of achieving the goal of DME monotherapy by the current medications or by T&Er GLP. PPV treatment is accessible in numerous locations worldwide, offering a cost-effective alternative to the frequent and costly intraocular injections previously needed to manage the disease.–Accordingly, a revised pathogenesis-related DME classification (instead of Diffuse and Focal DME) is proposed, which may direct a personalized optimal therapeutic approach. Further investigations are needed to fully elucidate and improve the potential effects in achieving durable DME drying by PPV when it is encountered in recalcitrant DME eyes, and of GLP in the transitional-phase DME.

**Conflict:** The author declares no conflict of interest

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