**REVIEW ARTICLE** 

# Stem Cell Targeting Natural Products for Therapy-Resistant Triple Negative Breast Cancer

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## **ABSTRACT**

Background: The treatment options for breast cancer are dependent on the expression status of hormones and growth factor receptors. Hormone receptor positive Luminal A and Luminal B breast cancer subtypes exhibit favorable response to targeted endocrine therapy and/or human epidermal receptor-2 (HER-2) HER-2 therapy respectively. Hormone receptor negative, HER positive HER-2-enriched subtypes respond only to HER-2 targeted therapy. Hormone receptor negative and HER-2 negative triple negative (TNBC) subtypes respond only to conventional cytotoxic chemotherapy, and are notable for the presence of putative stem cell population. These subtype selective treatment options are universally associated with phenotypic therapy resistance, leading to emergence of chemo-resistant cancer initiating stem cell population that is responsible for initiation, progression and recurrence of therapy-resistant breast cancer. These limitations emphasize development of reliable drug-resistant cancer stem cell model for TNBC and identification of novel testable alternatives with stem cell selective efficacy.

**Objectives:** The present review article provides an overview of published evidence for i) Molecular characterization of TNBC subtype, ii) Limitations relevant to mainstream treatment options and advantages of natural products as testable therapeutic alternatives, and iii) Development and characterization of reliable drug-resistant TNBC stem cell model.

**Conclusion:** Published evidence discusses relevant information regarding molecular classification of TNBC subtype, significance of natural products as testable alternatives for TNBC subtype and applicability of drugresistant stem cell model to investigate stem cell targeting efficacy of natural products.

**Future Research:** Collectively, discussed evidence defines a scientifically robust rationale to undertake future investigations on patient derived tumor explants and patient derived tumor organoids as a valuable strategy to reduce extrapolation of preclinical data for their clinical relevance and translatability for cancer stem cell targeting efficacy of natural products on breast cancer.

**Keywords:** Triple negative breast cancer, Therapy resistant stem cell, Natural products.

### Introduction

The American Cancer Society has projected 316,950 newly diagnosed breast cancers and 42,170 cancer related deaths in 2026 <sup>1</sup>. Progression of advanced stage metastatic breast cancer represent a major cause of cancer related deaths. Selection of treatment options for breast cancer is dictated by the expression of hormone and growth factor receptors <sup>2</sup>. Hormone receptor and growth factor receptor positive breast cancer subtypes exhibit favorable response to endocrine and growth factor targeted therapy. In contrast, breast cancer subtype that lacks hormone/growth factor receptor expression are classified as triple negative breast cancer (TNBC), and responds only to conventional cytotoxic chemotherapy.

Human breast carcinoma-derived cellular models, characterized by quantifiable mechanistic biomarkers, provide valuable experimental approaches to identify efficacious natural products as testable alternatives for conventional chemotherapy, endocrine therapy and pathway selective molecularly targeted therapy. Data generated from these approaches facilitate identification of mechanistic leads for cancer growth inhibitory efficacy of natural products and/or constitutive bioactive agents. Furthermore, experimental modulation of quantifiable mechanistic biomarkers identifies potential molecular targets.

The objective of present review is to provide a systematic discussion of published evidence relevant to unique aspects of TNBC. The discussion includes molecularly based classification, chemotherapy related limitations for treatment, advantages of natural products as testable

alternatives, and applicability of drug-resistant Cancer Stem Cell Model for TNBC.

In view of therapy resistance commonly observed in TNBC investigations using the drug-resistant stem cell model provide an experimental approach to examine stem cell targeted inhibitory efficacy of natural products. Promising naturally-occurring bioactive agents may also represent potential new drug candidates for therapy resistant TNBC.

The TNBC subtypes are notable for cellular heterogeneity, cellular plasticity, high risk of therapyresistant metastatic progression, and emergence of chemo-resistant cancer initiating stem cell population. Comparative lack of therapeutic options for TNBC emphasize molecular classification and identification of relevant molecularly targeted therapeutic strategies.

Recent evidence <sup>3</sup> has classified the TNBC in to basallike, mesenchymal and Luminal androgen receptor positive subtypes. This classification is based on their molecular characteristics, and has documented subtype specific chemo-therapeutic options. It is notable that the expression of cyclin-dependent kinase, cyclin E and BRCA tumor suppressor gene mutation, epithelial-mesenchymal transition (EMT), expression of vimentin and E-cadherin, programmed death ligand 1 (PDL1), mutation in PI3K/AKT, androgen receptor expression, receptor signaling and mutation in PI3K gene PI3KCA has been correlated in a subtype specific manner. Mainstream treatment options for individual subtypes include the use of cis-platin, carboplatin, capecitabine, gemcitabine and paclitaxel. These aspects are summarized in Table 1.

Table 1: Molecular Characteristics and treatment options for triple negative breast cancer

Cancer Subtype	Molecular Characteristics	Treatment Options		
Basal-like	CDK4/6 expression	Cisplatin, carboplatin, Capecitabine		
	BRCA mutation	PARP inhibitors		
Mesenchymal	EMT, vimetin, E-Cadherin	Paclitaxel, Gemcitabine, Immune checkpoint		
-	PDL1 expression, PI3K/AKT mutation	inhibitors,		
	,	PI3K/AKT inhibitors		
Luminal Androgen	AR expression, AR signaling,	Paclitaxel, AR inhibitors,		
	PI3KCA mutation	PI3K-α inhibitors,		

CDK, cyclin dependent kinase, BRCA, breast cancer susceptibility gene; PARP, poly (ADP-ribose) polymerase; EMT, epithelial-mesenchymal transition; PDL1, program death ligand1; PI3K, phospho-inositol 3 kinase; AKT, protein kinase B; AR, androgen receptor; PI3KCA, PI3K gene.

#### Cellular models for TNBC

Among the TNBC subtypes the mesenchymal subtype M-TNBC is notable for the presence of putative cancer stem cell population. Several human breast carcinoma derived cell lines such as MDA-MB-157, BT549, SUM 150PT and MDA-MB-231 have been extensively used as experimental models for clinical TNBC <sup>3</sup>. The MDA-MB-231 model exhibits expression of mutant TP53, resulting in compromised tumor suppressive function of TP53 <sup>4, 5</sup>. Overexpression and active EGFR signaling involves cyclin D1, cyclin E and MAPK pathways. Furthermore, EGFR signaling influences RAS, PI3K AKT and m TOR signaling pathways that confer growth advantage to parental TNBC as well as to chemo-resistant cancer stem cells <sup>6</sup>. In

this TNBC model active EGFR signaling represents a potential therapeutic target for small molecule tyrosine kinase inhibitors.

The MDA-MB-231 model has been stringently characterized for its growth pattern. Relative to the non-tumorigenic triple negative human breast epithelial 184-B5 cells, the carcinoma derived TNBC MDA-MB-231 cells exhibit substantial increase in cellular proliferation.as evidenced by decreased population doubling time, increased saturation density and decreased quiescent: proliferative ratio in the cell cycle, collectively suggesting hyper-proliferation and accelerated cell cycle progression. These data are summarized in Table 2.

Table 2: Growth pattern of cellular model for triple negative breast cancer

Proliferative end point	Relative to 184-B5 cells
PDT	-55%
Saturation Density	+49%
G1: S+G2/M ratio	-72%

184-B5, Non-tumorigenic triple negative human mammary epithelial cells; PDT, population doubling time

In contrast to chemo-endocrine therapy for breast cancer, natural products such as dietary phytochemicals and herbal formulations used in traditional Chinese medicine have documented low systemic toxicity, lack of phenotypic resistance preclinical efficacy, safe human consumption and stem cell efficacy <sup>7-11</sup>, Preclinical efficacy of natural products for growth inhibition in cellular models for Luminal A, HER-2-enriched and TNBC

breast cancer subtypes has been documented <sup>12-14</sup>. These advantages emphasize the significance of natural products as alternatives for cancer stem cell targeting treatment of therapy resistant breast cancer. The data for front-line chemotherapy for TNBC, efficacy of nutritional herbs, drug-resistant stem cell models and experimental systems for future research are summarized in Table 3.

Table 3: Inhibitory efficacy of nutritional herbs in a cellular model for triple negative breast cancer

Treatment	Breast Cancer Model	Mechanistic Biomarker		
Conventional Chemotherapy	ER, PR, HER-2 negative	DNA damage, cell cycle, apoptosis		
DOX, PCT, CPT	TNBC			
Limitations: Drug resistance, chemo-				
resistant stem cells				
Nutritional herbs				
CO, DA, PC	MDA-MB-231	Cyclin D1, CDK4/6, RB, RAS, PI3K, AKT		
DF, VY	MDA-MB-231	Cyclin E, CDK2, RB, E2F1, BCL-2, BAX,		
		caspase, PARP1		
Drug resistant stem cell	MDA-MB-231/DOX-R	TS, CD 44, NANOG, OCT-4		
Patient-derived therapy resistant stem cell	PDTX, PDTO	Stem cell markers		

ER, estrogen receptor; PR, progesterone receptor; HER-2, human epidermal growth factor receptor-2; TNBC, triple negative breast cancer; DOX, doxorubicin; PCT, paclitaxel; CPT, carboplatin; CO, Cornus officinalis; DA, Dipsacus asperoides; PC, Psoralia corylifolia; DF, Drynaria fortunei; VY, Viola yedeoensis... CDK, cyclin dependent kinase; RB, retinoblastoma; RAS, RAS oncogene; PI3K, phosphor- inositol 3 kinase; AKT, protein kinase B; E2F1, member of E2F family of transcription factors; BCL-2, B cell lymphoma protein-2; BAX, B cell associated protein; PARP, poly(ADP- ribose) polymerase; DOX-R, doxorubicin resistant; TS, tumor spheroid; CD44, cluster of differentiarion44; NANOG, DNA-binding nuclear transcription factor; OCT-4, octamer-binding protein-4, PDTX, patient derived tumor transplants; PDTO, patient derived tumor organoids.

## **Cancer Stem Cell Model**

The maintenance of normal stem cells is accomplished by Wnt/ $\beta$ -catenin, hedgehog and Notch signaling pathways that are responsible for preserving cellular homeostatic growth control essential for physiological function and regeneration of organs. In cancer stem cells these regulatory pathways are dysregulated and favor aberrant cell proliferation essential for progression, metastasis and phenotypic resistance to cancer treatment<sup>15</sup>. Additionally, drug-resistant cancer stem cells exhibit activation of cell survival pathways such as RAS/RAF. MEK/ERK, PI3K and AKT signaling that are responsible for growth advantage of stem cell population 16, 17. TNBC cell lines MDA-MB-468, HCC38 and HCC 1806, in response to treatment with cytostatic concentrations of chemotherapeutics doxorubicin (DOX), paclitaxel (PCT), 5-fluoro-uracil (5-FU) and gemcitabine (GEM), favors the emergence of drug-resistant phenotypes. These resistant phenotypes represent potential stem cells population that facilitate identification of susceptible therapeutic targets and mechanistic biomarkers.

Putative drug-resistant stem cells have also been isolated using positive selection based on phenotypic resistance to selective estrogen receptor modulator tamoxifen (TAM), to growth factor receptor inhibitor lapatinib (LAP) and to

chemotherapeutic DOX, respectively. The TAM-R, LAP-R and DOX-R stem cell models exhibit upregulated expression of stem cell specific phenotypic and molecular biomarkers such as tumor spheroid formation, cluster of differentiotion44 (CD44), DNA-binding nuclear transcription factors (NANOG) and octamer-binding protein-4 (OCT-4). These models provide reliable experimental systems for Luminal A, HER-2-enriched and TNBC subtypes, respectively <sup>12-14</sup>.

Specific nuclear transcription factors such as OCT-4, Kruppel-like factor-4 (Klf-4), sex determining region Y-box-2 (SOX-2) and cellular Myc (c-MYC) are essential for reprogramming and maintenance of induced pluripotent cells derived from adult human somatic cells <sup>18, 19</sup>. These transcription factors are also upregulated in the cancer stem cell population and represent stem specific molecular markers

Maintenance of MDA-MB-231 breast carcinoma-derived cell line under long-term selective pressure of the chemotherapeutic DOX promotes the growth of DOX-R phenotype. The putative DOX-R stem cells are characterized by monitoring the expression status of stem cell specific tumor spheroid (TS) formation and expression cell surface marker CD44, nuclear transcription factors NANOG and OCT-4. The expression of cell surface

protein molecule CD44 and of the transcription factors is measured using a quantitative immuno-fluorescent assay that monitors cellular uptake of fluorescently labeled antibodies. The data are expressed as relative fluorescent units (RLU).

In comparison with the sensitive DOX-S phenotype the DOX-R phenotype exhibits a substantial upregulation of the stem cell markers. The evidence for upregulation of cellular and molecular stem cell specific markers are summarized in Table 4.

Table 4: Stem cell model for triple negative breast cancer

Phenotype	Treatment	Stem Cell Markers			
		TS	CD44	NANOG	OCT-4
DOX-S	0.5 μM DOX	2.5	2.1	2.7	2.9
DOX-R	0.5 μM DOX	24.8	16.8	24.9	18.8
Relative to DOX-S		8.9X	7.0X	8.2X	5.5X

TS, tumor spheroid number; CD44, cluster of differentiation expression; NANOG, DNA-binding transcription factor expression; OCT-4, octamer-binding protein 4 expression; DOX-S, doxorubicin- sensitive; DOX-R, doxorubicin-resistant

## **Preclinical Efficacy of Natural Products**

Published evidence for therapeutic options<sup>2</sup>, growth inhibitory efficacy of natural products <sup>13, 20</sup>, and drug resistant breast cancer stem cell models <sup>21</sup> defines valuable experimental strategies for screening and prioritizing efficacious dietary phytochemicals and bioactive agents such as anthocyanins, prenylflavones, triterpenes, saponins and lignans present in nutritional herbal formulations used in traditional Chinese medicine.

Naturally-occurring bioactive agents present in dietary phytochemicals and nutritional herbs are identified as potential drugs. Prior to evaluate their anti-cancer activity in the clinical setting these bioactive agents are subjected to preclinical in vitro assays for cell proliferation, cellular apoptosis and omics based assays to identify susceptible mechanistic pathways and potential molecular targets. Promising bioactive agents are subsequently subjected to in vivo assays for pharmacokinetics, pharmacodynamics absorption and, distribution, metabolism, excretion (ADME). Promising bioactive agents are evaluated for clinical safety and efficacy <sup>22</sup>.

## Stem cell targeting natural products

Dietary phytochemicals containing polyphenols, flavones and terpenes have documented cancer stem cell targeting efficacy 9, 22, 23. Nutritional herbs from traditional Chinese medicine have documented growth inhibitory efficacy in a cellular model for TNBC 7-11, 13. Bioactive agents such as polyphenols, flavones, and terpenes present in dietary phytochemicals and androgopholide, baicalin, berberine present in herbal formulations used in traditional Chinese medicine have documented cancer stem cell specific efficacy for signaling pathways in breast cancer stem cell models. These regulatory pathways include  $Wnt/\beta$ -catenin, Notch, Hedgehog and TGF- $\beta$ . Naturally-occurring bioactive agents also affect cancer stem cell survival pathways such as PI3K, AKT and m TOR that are involved in chemo-resistance <sup>23-26</sup>.

In the lapatinib resistant stem cell model for HER-2enriched subtype natural products such as vitamin A derivative all-trans retinoic acid and naturally-occurring terpene cornosol inhibit the expression of stem cell specific markers such as tumor spheroids, CD44, NANOG and OCT-4 <sup>27</sup>. These data provide mechanistic leads for stem cell targeting inhibitory efficacy for natural products, and thereby, validate an experimental approach for stem cell targeting efficacy of nutritional herbs and constitutive bioactive agents for TNBC stem cell population.

#### Conclusion

This mini-review discusses published evidence for preclinical efficacy of natural products on TNBC breast cancer subtype. Furthermore, systematic discussion regarding development of reliable drug-resistant stem cell model and mechanistic leads for stem cell targeted efficacy of dietary phytochemicals provides a scientifically robust rationale for future research.

## **Future research**

Investigations focused to establish relevant stem cell models from patient derived tumor xeno-transplants <sup>28</sup> and patient derived tumor organoid <sup>29</sup> using clinical tumor samples from therapy-resistant breast cancer subtypes provide valuable experimental stem cell models. These models are likely to reduce data extrapolation for clinical relevance and translatability of stem cell targeted efficacy of novel therapeutic alternatives such as naturally occurring dietary phytochemicals and bioactive agents present in nutritional herbs.

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